- This is a fillable form. Click in each righthand column to enter data or check the applicable box(es).
- For YES responses, enter or attach a summary/description and supply links to, or copies of all related documentation.
- Identify attachments by the item number below and note if any attachments are confidential.

Facility Name:	Winooski 8	
LIHI Certificate No:	77	
Application Date:	3/24/2021	
1. Updates and Changes Needed to Facility Webpage	<ul> <li>N/A – webpage is accurate.</li> <li>Changes are needed. [see facility info table]</li> </ul>	
2. Updated Facility Information Table:	Updated form attached, either the <u>Single Facility Form</u> or <u>Multiple Facility Excel sheet</u>	
See Attachment 1         3. During the current LIHI term, have there been any:		
FERC license or exemption proceedings, applications, amendments and/or FERC orders?	<ul> <li>N/A, facility is not under FERC jurisdiction.</li> <li>No.</li> <li>Yes.</li> <li>Draft relicense application submitted 01/29/21</li> <li><a href="https://elibrary.ferc.gov/eLibrary/filedownload?fileid=1">https://elibrary.ferc.gov/eLibrary/filedownload?fileid=1</a></li> <li><a href="https://elibrary.ferc.gov/eLibrary/filedownload?fileid=1">fttps://elibrary.ferc.gov/eLibrary/filedownload?fileid=1</a></li> </ul>	
Water Quality Certification (WQC) or amendment proceedings?	<ul> <li>N/A, no WQC for the facility.</li> <li>No.</li> <li>Yes.</li> <li>WQC application will be filed as part of relicensing</li> </ul>	
FERC-required facility or operational changes?	⊠ No. □ Yes.	
Changes to facility-related management plans, settlement agreements, MOUs or other agreements?	⊠ No. □ Yes.	

Any formal or informal resource	□ No.	
agency communications related	https://elibrary.ferc.gov/eLibrary/filedownload?fileid=1	
to the LIHI criteria?	<u>5708553</u>	
	Yes. Joint Agency Meeting 11/16/18, relicensing	
	study requests received, study meetings – see Appendix	
	A of draft license application	

# 4. During the current LIHI term, have there been any activities or changes at the facility:

# 5. During the current LIHI term have there been any:

Deviations or excursions from	⊠ None have occurred.
regulatory requirements?	$\Box$ Yes.
FERC compliance orders, notices	🖾 None received.
of non-compliance or violations	$\Box$ Yes.
from FERC, from regulatory	
agencies, or from stakeholders	
or the general public?	
C Factorized Flower (if not continued above)	
6. Ecological Flows (if not captured above):	

Are there any temporary or	⊠ No changes have occurred or are planned.	
permanent changes in	□ Yes.	
impoundment operations that		
have occurred or are planned,	Studies conducted for relicensing could impact future	
including significant drawdowns	operations:	
(planned for maintenance or	Bypass IFIM	
dam repairs or extended	https://elibrary.ferc.gov/eLibrary/filedownload?fileid=1	
flashboard removal); and/or	5636487	
changes in flow release quantity	Aesthetic Flow	
or schedules, including white	https://elibrary.ferc.gov/eLibrary/filedownload?fileid=1	
water releases?	<u>5636488</u>	

# 7. Water Quality:

If applicable, are there any	N/A, no WQC for the facility.
amendments or applications for	No WQC amendment proceedings.
amendment to the facility's	□ Yes, there have been amendment proceedings.
Water Quality Certification	
(WQC) during the current LIHI	See above – new WQC expected with relicense
term?	
If there is a WQC and you	□ N/A, no WQC for the facility.
selected Standard B-2, Agency	No, did not select Standard B-2.
Recommendation in the prior	No, WQC is still < 10 years old.
LIHI application, is the WQC is	$\boxtimes$ Yes, WQC is > 10 years old.
now > 10 years old?	
	Agency letter is available in Attachment 1 of 2017 LIHI
Provide a letter from the state	review report. <a href="https://lowimpacthydro.org/wp-">https://lowimpacthydro.org/wp-</a>
water quality agency confirming	content/uploads/2020/07/Stage-II-Recertification-
that the terms and conditions of	Winooski8-4-10-17-final-mjs.pdf
the existing WQC are still valid	
and applicable to the facility.	
Review the most recent	⊠ No changes.
published state impaired waters	□ Yes.
list (draft or final).	The project area and the reach from Molly's Falls Brook
	upstream to the Stevens Branch of the Winooski River
Have there been any changes in	downstream is only listed on the 2020 Stressed Rivers
the listing (new impairments,	List based on physical alteration, sediments, nutrients,
impairments removed, etc.) for	turbidity, and coli arising from stream bank erosion,
the facility vicinity since the	channel instability, road runoff, (e coli source unknown)
prior LIHI application?	https://dec.vermont.gov/sites/dec/files/documents/mp
	PriorityWatersList PartC 2020.pdf

Identify any changes in stream	⊠ None identified.	
designation such as state water	🗆 Yes.	
quality classification changes for		
the river, Wild and Scenic Rivers		
designation, or other protected		
river status changes.		
If water quality monitoring has	⊠ N/A, no monitoring conducted.	
been conducted at or near the	🗆 Yes.	
facility by you or others during		
the current LIHI term, provide	Relicensing WQ study delayed to after license per	
links to, or copies of, the final	01/13/21 VANR request (see Attachment 2.	
monitoring report, and if		
applicable, all related FERC and		
agency consultation and		
communications.		

8. Upstream and Downstream Fish Passage:		
Have there been any completed or ongoing fish passage studies	☑ N/A, no fish passage. ☑ No studies have accurred or are planned.	
during the current LIHI term,	<ul> <li>No studies have occurred or are planned.</li> <li>Yes, studies have occurred or are planned.</li> </ul>	
including any data collected on		
fish passage (e.g., return	No fish passage studies requested for relicensing	
numbers, changes made in		
seasonal operations, mortalities reported, effectiveness results)?		
Have there been any temporary	⊠ N/A, no fish passage.	
or permanent changes in	$\boxtimes$ No changes have occurred or are planned.	
passage facilities or related	$\Box$ Yes, changes have occurred or are planned.	
operations that have occurred	, 5	
during the current LIHI term, or that are planned?	No fish passage studies requested for relicensing	
· · · · ·		
9. Shoreline and Watershed Prot	ection:	
Are there any new or revised	$\Box$ None identified.	
agency watershed-related	$\boxtimes$ Yes.	
management plans that may affect the facility (e.g.,	Undeted Wineseli: Diver Testical Desig Disc 2010.	
watershed management plan,	Updated Winooski River Tactical Basin Plan 2018: https://dec.vermont.gov/sites/dec/files/documents/201	
fishery restoration plan,	8%20Winooski%20River%20TBP.pdf	
recovery plan for threatened or	Statewide management plan for bass 2017:	
endangered species, or other	https://vtfishandwildlife.com/sites/fishandwildlife/files/	
agency plans)?	documents/Learn%20More/Library/REPORTS%20AND%	
	20DOCUMENTS/FISHERIES%20MANAGEMENT/BASS%20	
	MANAGEMENT%20PLAN%20-%202017.pdf	
	Statewide Comprehensive Outdoor Recreation Plan Draft 2019:	
	https://fpr.vermont.gov/sites/fpr/files/Recreation/Recr	
	eation Grants/Library/SCORP Draft Plan.NPS .Public%	
	20Comment.pdf	
	The planned water quality study will provide data to the	
	basin plan. It is not clear if bass are present in the	
	project area. There may be increased recreational use	
	in the project area in the future.	

10. Threatened and Endangered Species:		
Conduct a U.S. Fish and Wildlife	□ IPaC report attached.	
Service IPaC online data check		
( <u>https://ecos.fws.gov/ipac/</u> ) for	In lieu of an IPaC report, see draft license application	
newly observed or newly listed	Section E.6 – only Northern long-eared bat may be	
federally threatened and	present.	
endangered species.		
Conduct a state threatened and endangered species data check	$\Box$ State listed species report attached.	
(may require payment of fees)	In lieu of a state report, see draft license application	
for newly observed or newly	Section E.6 – eastern pearlshell mussel (threatened) was	
listed state threatened and	found in relicensing mussel study.	
endangered species.		
Describe and summarize any	$\Box$ No studies have occurred or are planned	
studies of protected species at	Yes, studies have occurred or are planned.	
the facility that were completed		
or are ongoing during the current LIHI term.	Mussel Study, 2019 (privileged)	
current Lini term.	Mussel relocation plan will be prepared for relocating	
	mussels during drawdowns.	
11. Cultural and Historic Resources:		
Are there any structural or	☑ No changes have occurred or are planned.	
operational changes that have	□ Yes, changes have occurred or are planned.	
occurred or are planned that		
affect or could affect cultural or	SHPO indicated no resources are present, but project	
historic resources?	facilities will become eligible for listing based on age	
	during the new FERC license term (by 2035).	
Describe and summarize any	$oxed{\boxtimes}$ No studies have occurred or are planned	
cultural or historic resource	□ Yes, studies have occurred or are planned.	
studies at the facility, that were		
completed or are ongoing during		
the current LIHI term including		

mitigation measures taken or

planned.

12. Recreational Resources:	
Provide a description and current photos of formal and informal recreation areas/facilities along with a description of any temporary or permanent changes in recreational facilities or services, including consultation with recreational stakeholders during the current LIHI term or planned in the foreseeable future.	<ul> <li>N/A, the facility has no formal or informal recreation access.</li> <li>Yes, recreation area photos attached.</li> <li>No changes have occurred or are planned.</li> <li>Yes, changes have occurred or are planned.</li> <li>See Attachment 3</li> </ul>
Provide a summary of inquiries and comments received from stakeholders about recreation sites during the current LIHI term, including any changes made as a result.	<ul> <li>□ No inquiries received.</li> <li>☑ Yes, inquiries were received.</li> <li>Interactions with Central VT Trails Association regarding a project easement for a planned trail. The trail starts at the intersection of Gallison Road and PowerPlant Road (PowerPlant Road is in the FERC Project Boundary) and eventually crosses onto the Licensee's property near the dam before crossing the Winooski River via a proposed bridge (currently under construction). On November 26, 2018, the Licensee filed an easement with FERC allowing CVTA to extend recreation trails over the Licensee's property. In a December 11, 2018 letter to the Licensee, FERC approved the easement.</li> </ul>
If applicable, provide links to or copies of, any FERC Environmental and Recreation Inspection reports and reports of follow up activities during the current LIHI term.	<ul> <li>☑ N/A, no FERC inspection has occurred</li> <li>□ Yes, FERC inspection occurred on:</li> </ul>
If there is a recreation management plan for the facility, provide any updates to the plan that have occurred during the current LIHI term.	<ul> <li>N/A, no recreation management plan for the facility.</li> <li>No changes have occurred or are planned.</li> <li>Yes, updated recreation management plan attached.</li> </ul>
13. Other:	
Provide updated photos of key project features.	<ul> <li>No changes in key project features.</li> <li>Yes, photos attached.</li> </ul>

If the FERC boundary has	⊠ No FERC boundary changes have occurred.	
changed during the current LIHI	□ Yes, FERC boundary has changed, map attached.	
term, provide updated maps.		
Review and update the facility,	No changes in any contacts.	
agency and stakeholder contact	Yes, updated contact list(s) attached	
lists from the prior LIHI	See Attachment 4	
application, including all		
requested contact information		
therein.		
Provide a signed Sworn	Signed statement attached.	
Statement and Waiver Form.	See Attachment 5	

Item	Information Requested	Response (include references to further details)
Name of the Facility	Facility name (use FERC project name or other legal name)	Winooski No. 8
Reason for	1. To participate in state RPS program	100%
<ul> <li>Reason for applying for LIHI</li> <li>Certification</li> <li>1. To participate in state RPS program</li> <li>2. and specify the state and the total MW/MWh associated with that participation (value and % of facility total Mw/MWh).</li> <li>3. To participate in voluntary REC market (e.g., Green-e)</li> <li>4. To satisfy a direct energy buyer's purchasing requirement</li> <li>5. To satisfy the facility's own corporate sustainability goals</li> <li>6. For the facility's corporate marketing purposes</li> <li>7. Other (describe)</li> <li>If applicable, amount of annual generation (MWh and % of total generation) for which RECs are currently</li> </ul>		MA Class II 0.88 MW 2,550 MWh 100% 2,550 MWh 100%
	received or are expected to be received upon LIHI Certification	
Location	River name (USGS proper name)	Winooski River
	Watershed name - Select region, click on the area of interest until the 8-digit HUC number appears. Then identify watershed name and HUC-8 number from the map at: <u>https://water.usgs.gov/wsc/map_index.ht</u> <u>ml</u>	Winooski River HUC 08: 04150403
	Nearest town(s), <u>county(ies)</u> , and state(s) to dam	E. Montpelier, Washington, VT
	River mile of dam above mouth	59.7
	Geographic latitude of dam	44.238350°
	Geographic longitude of dam	-72.524783°
Facility Owner	Application contact names (Complete the Contact Form in <u>Section B-4</u> also):	Matthew Rubin

Table B-1.1. Facility Information.

ltem	Information Requested	Response (include references to further details)
	Facility owner company and authorized owner representative name. For recertifications: If ownership has changed since last certification, provide the effective date of the change.	Winooski Hydroelectric Company
	FERC licensee company name (if different from owner)	n/a
Regulatory Status	FERC Project Number (e.g., P-xxxxx), issuance and expiration dates, or date of exemption	P-6470 Issued Aug 29, 1983, expires July 31, 2023
	FERC license type (major, minor, exemption) or special classification (e.g., "qualified conduit", "non-jurisdictional")	Minor license
	Water Quality Certificate identifier, issuance date, and issuing agency name. Include information on amendments.	401 Water Quality Certificate issued Dec 29, 1982, amended April 5, 1984 See both at <u>https://lowimpacthydro.org/lihi-</u> <u>certificate-77-winooski-8-hydroelectric-</u> <u>project-vermont/</u>
	Hyperlinks to key electronic records on FERC e-library website or other publicly accessible data repositories	FERC License https://lowimpacthydro.org/wp- content/uploads/2020/08/FERC-Lic- Order-8-29-83063-2.pdf
Powerhouse	Date of initial operation (past or future for pre-operational applications)	1985
	Total installed capacity (MW) For recertifications: Indicate if installed capacity has changed since last certification	856 KW Adjusted from last certification based on relicense application
	Average annual generation (MWh) and period of record used For recertifications: Indicate if average annual generation has changed since last certification	3,507 MWh (1986-2017) Somewhat less than last certification
	Mode of operation (run-of-river, peaking, pulsing, seasonal storage, diversion, etc.) For recertifications: Indicate if mode of operation has changed since last certification	Run of river No change
	Number, type, and size of turbine/generators, including maximum and minimum hydraulic capacity and maximum and minimum output of each turbine and generator unit	3 Flygt Submersible propeller turbines: TG1 = 63 kW, 25-34 cfs TG2 = 263 kW, 20-139 cfs TG3 = 530 kW, 24-272 cfs

Item	Information Requested	Response (include references to further details)
	Trashrack clear spacing (inches) for each trashrack	1.75 inches
	Approach water velocity (ft/s) at each intake if known	TG1 = 0.23 f/s TG2 = 07. f/s TG3 = 0.91 f/s
	Dates and types of major equipment upgrades For recertifications: Indicate only those since last certification	none
	Dates, purpose, and type of any recent operational changes For recertifications: Indicate only those since last certification	none
	Plans, authorization, and regulatory activities for any facility upgrades or license or exemption amendments	In relicensing, draft license application filed 01/29/21 https://elibrary.ferc.gov/eLibrary/filedow nload?fileid=15708552 appendices: https://elibrary.ferc.gov/eLibrary/filedow nload?fileid=15708553
Dam or Diversion	Date of original dam or diversion construction and description and dates of subsequent dam or diversion structure modifications	1908, reconstructed in 1985
	Dam or diversion structure length, height including separately the height of any flashboards, inflatable dams, etc. and describe seasonal operation of flashboards and the like	Height = 26 ft. Length 222.5 ft including 148 ft wide spillway section and 24 ft wide crest gate. 4-ft wooden flashboards on spillway, replaced as needed.
	Spillway maximum hydraulic capacity	The approximate zero-freeboard discharge capacity of the spillway is 13,000 cfs with the flashboards failed. This does not include the hydraulic capacity of the crest gate which has an additional approximate zero-freeboard discharge capacity of 2,000 cfs with the gate completely lowered.
	Length and type of each penstock and water conveyance structure between the impoundment and powerhouse	n/a
	Designated facility purposes (e.g., power, navigation, flood control, water supply, etc.)	Power generation
Conduit Facilities Only	Date of conduit construction and primary purpose of conduit	n/a

Item	Information Requested	Response (include references to further details)
	Source water	n/a
	Receiving water and location of discharge	n/a
Impoundment and Watershed	Authorized maximum and minimum impoundment water surface elevations For recertifications: Indicate if these values have changed since last certification	n/a – run of river
	Normal operating elevations and normal fluctuation range For recertifications: Indicate if these values have changed since last certification	n/a – run of river normal El. 615 ft NGVD29 at top of flashboards. Drawdowns to El. 610.8 ft for flashboard maintenance, and for routine dredging every 3-5 yrs, and periodic dam/gate inspections
	Gross storage volume and surface area at full pool For recertifications: Indicate if these values have changed since last certification	4.8 acres surface area, total storage unknown. Value revised per license application
	Usable storage volume and surface area For recertifications: Indicate if these values have changed since last certification Describe requirements related to impoundment inflow and outflow, elevation restrictions (e.g., fluctuation limits, seasonality) up/down ramping and refill rate restrictions.	n/a – run of river 20-acre-ft storage between spillway crest and flashboards. Value revised per license application run-of-river 10% refill / 90% outflow during impoundment refilling.
	Upstream dams by name, ownership and river mile. If FERC licensed or exempt, please provide FERC Project number of these dams. Indicate which upstream dams have downstream fish passage.	Clarks Sawmill Dam, Edward Larson Old Batchelder Mill, Town of Plainfield Several breached dams and one partially breached. Unknown status of downstream fish passage

ltem	Information Requested	Response (inclue details)	de references to	further
	Downstream dams by name, ownership, river mile and FERC number if FERC licensed or exempt. Indicate which		3 Dam, RM 57.41 ed, owner unkno	
	downstream dams have upstream fish passage	Bailey Pond, RM	56.1, City of Mo	ntpelier
			Dam RM 49.4 (nd n RM 39.7, FERC   stream passage	-
		Gorge No. 18 Da (all owned by GI Winooski One/C FERC No. 2756,	M 17.6, FERC No am, RM 11.4 (nor MP) Chace Mill Dam, R Burlington Elec. E as an upstream fi	n-FERC) RM 10, Dept.
		and trucking abo		ISTITIT
	Operating agreements with upstream or downstream facilities that affect water availability and facility operation	None		
	Area of land (acres) and area of water	10.9 acres total		
	(acres) inside FERC project boundary or	4.5 acres land		
	under facility control. Indicate locations and acres of flowage rights versus fee- owned property.	6.4 acres water riverine wetland	including 1.07 ac	res
Hydrologic Setting	Average annual flow at the dam, and period of record used	3,507 cfs (1986 - 2017)		
	Average monthly flows and period of	1986 - 2017		
	record used	Month	Mean Flow (cfs)	
		January	227	
		February	211	
		March	430	
		April	832	
		May	459	
		June	256	
		July	164	
		August	136	
		September	117	
		October	184	
		November	255	
		December	269	

Item	Information Requested	Response (include references to further details)
	Location and name of closest stream gaging stations above and below the facility	Upstream: none on mainstem Downstream: USGS # 0428600 WINOOSKI River @ Montpelier
	Watershed area at the dam (in square miles). Identify if this value is prorated from gage locations and provide the basis for proration calculation.	199 sq miles
	Other facility specific hydrologic information	n/a
Designated	Number of zones of effect	3
Zones of Effect	Type of waterbody (river, impoundment, bypassed reach, etc.)	Zone 1 Regulated downstream riverine reach Zone 2 Tailrace bypass reach Zone 3 Impoundment
	Upstream and downstream locations by river miles	Zone 1 58.30 to 59.66 river miles Zone 2 59.66 to 59.70 river miles Zone 3 59.70 to 60.20 river miles
	Delimiting structures or features	Zone 1 - Stevens Branch tributary is the downstream extent Zones 2 and 3 – dam and powerhouse delimit zones Zone 3 – area downstream of breached dam upstream is the upper extent of the impoundment
Pre-Operationa	Il Facilities Only	
Expected operational date	Date generation is expected to begin	n/a
Dam, diversion structure or conduit modification	Description of modifications made to a pre-existing conduit, dam or diversion structure needed to accommodate facility generation. This includes installation of flashboards or raising the flashboard height. Date the modification is expected to be completed	n/a
Change in water flow regime	Description of any change in impoundment levels, water flows or operations required for new generation	n/a



Vermont Department of Environmental Conservation Watershed Management Division 1 National Life Drive, Davis 3 [phone] Montpelier, VT 05620-3522 https://dec.vermont.gov/watershed

802-585-8189

Agency of Natural Resources

January 13, 2021

Mathew Rubin **General Partner** Winooski Hydroelectric Co. 26 Maple Street Montpelier, VT 05602

RE: Winooski 8 Hydroelectric Project (FERC No. 6470) - Status of FERC Relicensing

Dear Mr. Rubin,

As you are aware there are a number of hydroelectric projects currently undergoing FERC relicensing in Vermont. Given the large workload associated with these projects, the Vermont Agency of Natural Resources (Agency) wanted to touch base on where the Winooski 8 Hydroelectric Project (Project) is within the FERC relicensing process. Below, the Agency provides its understanding of the status of the project and the information that has been received by the Agency to date. The FERC process has a final license application filing date in July 2021.

General Comments: The Pre-Application Document for Winooski 8 Hydroelectric Project was submitted in July 2018 and the Project is using the TLP process. The Project is currently in the second stage of the TLP where the applicant completes studies, drafts reports/application, and resource agency comments on drafts. With the 2020 field season completed, this concludes the second year of study for the Project. As the Agency understands it, the Applicant is proposing the Project be relicensed as a runof-river facility with no change in operations or major upgrades at the Project.

### **Status of Studies**

Study	Study Plan	Study Report
Siudy	Received	Received
Bypass Flow Study	Yes	Yes
Sediment Removal Study	Yes	In part
Water Quality Study	Yes	No*
Mussel Study	Yes	Yes
Aesthetic Flow Study	Yes	Yes

\*At Agency's recommendation

Given the table above, the remining outstanding information needs include a sediment removal plan as part of the sediment removal study and the water quality study. The Agency hopes to continue to work with Winooski Hydroelectric Co. to complete the collection of any necessary information and complete second stage consultation. The Agency notes that the water quality study will be delayed until after relicensing due to operational changes occurring upstream at the Mollys Falls Hydroelectric Project. This approach will ensure the data reviewed accurately reflects the baseline conditions that will occur over the term of the new license and identify any potential project effects.

If there is any information you can provide on an anticipated timeline for completing second stage consultation it would be greatly appreciated. Please reach out if there are any questions, corrections, or concerns. We look forward to continuing to work with you on the relicensing process for the Winooski 8 Hydroelectric Project.

Truly Yours,

Elizhter Dmard

Betsy Simard, River Ecologist

cc. Mark Wamser, Gomez and Sullivan Jeff Crocker, VTDEC Eric Davis, VTDEC Hannah Harris, VTFWD Bret Ladago, VTFWD





### **Contacts Forms**

All applications for LIHI Certification must include complete contact information.

### A. Applicant-related contacts

Facility Owner:	
Name and Title	Mathew Rubin, General Partner
Company	Winooski Hydroelectric Company
Phone	802-223-7141
Email Address	m@mrubin.biz
Mailing Address	26 State Street Montpelier, VT 05602
-	(if different from Owner):
Name and Title	Same as above
Company	
Phone /	
Email Address	
Mailing Address	
	Agent for LIHI Program (if different from above):
Name and Title	n/a
Company	
Phone	
Email Address	
Mailing Address	
<b>Compliance Cont</b>	act (responsible for LIHI Program requirements):
Name and Title	Same as above
Company	
Phone	
Email Address	
Mailing Address	
Party responsible for accounts payable:	
Name and Title	Same as above
Company	
Phone	
Email Address	
Mailing Address	

### B. Agency and Stakeholder Contacts

Agency Contact		Area of Responsibility
Agency Name Name and Title	U.S. Fish and Wildlife Service Melissa Grader, Fish and Wildlife Biologist	_x Flows Water Quality
Phone Email address	413-548-8002 x8124 Melissa Grader@fws.gov	_x Fish/Wildlife Watershed T&E Species
Mailing Address	New England Regional Field Office 103 East Plumtree Road	Cultural/Historic
	Sunderland, MA 01375 Agency Contact	Area of Responsibility
Agency Name Name and Title	Vermont DEC, Watershed Division Mr. Jeff Crocker, Streamflow Protection Coordinator	_x Flows _x Water Quality Fish/Wildlife
Phone Email address	802-490-6151 jeff.crocker@vermont.gov	Watershed T&E Species
Mailing Address	One National Life Drive Main Building, 2nd Floor Montpelier, VT 05620	Cultural/Historic Recreation
	Agency Contact	Area of Responsibility
Agency Name Name and Title	Vermont Fish and Wildlife Department Ms. Hannah Harris, Flow Protection Biologist	_x Flows _x Water Quality
Phone Email address	802-279-7913 <u>Hannah.harris@vermont.gov</u>	_x Fish/Wildlife _x Watershed T&E Species
Mailing Address	One National Life Drive Main Building, 2nd Floor Montpelier, VT 05620	Cultural/Historic
Agency Contact		Area of Responsibility
Agency Name Name and Title	Vermont DEC, Watershed Division Eric Davis, River Ecologist	_x Flows Water Quality
Phone Email address	802-490-6180 eric.davis@vermont.gov	_x Fish/Wildlife Watershed
Mailing Address	- One National Life Drive Main Building, 2nd Floor Montpelier, VT 05620	T&E Species Cultural/Historic Recreation

	Agency Contact	Area of Responsibility
Agency Name Name and Title	Vermont Department of Environmental Protection Ms. Betsy Simard	_x Flows x Water Quality
		Fish/Wildlife
Phone	Betsy.simard@vermont.gov	_x Watershed
Email address		T&E Species
Mailing Address	One National Life Drive, Davis 3	Cultural/Historic
	Montpelier, VT 05620-3208	Recreation
	Agency Contact	Area of
		Responsibility
Agency Name	Vermont Fish & Wildlife	Flows
Name and Title	Mr. Steve Parren, Wildlife Diversity Program Mgr.	Water Quality
Phone	802-371-7142	_x Fish/Wildlife
Email address	steve.parren@vermont.gov	Watershed
Mailing Address	Department 111, West Street	_x T&E Species
Maining Address	Essex Junction, VT 05452	Cultural/Historic
		Recreation
	Agency Contact	Area of
		Responsibility
Agency Name	Vermont Division for Historic Preservation	Flows
Name and Title	Laura Trieschmann State Historic Preservation Officer	Water Quality
Dhana	802-828-3540	Fish/Wildlife
Phone Email address	laura.trieschmann@vermont.gov	Watershed
	1 National Life Drive	T&E Species
Mailing Address	Davis Building, 6th Floor Montpelier, VT 05620	_x_ Cultural/Historic
		Recreation

C. Current stakeholder contacts that are actively engaged with the facility (copy and repeat the following table as needed).

Stakeholder Contact Area of Responsibility		
Organization	Friends of the Winooski River	_x Flows
Name		_x Water Quality
Name and Title	Ms. Ann Smith	_x Fish/Wildlife
Dhana	4	_x Watershed
Phone Email address	ann@winooskiriver.org	_x T&E Species
	P.O. Box 777	Cultural/Historic
Mailing Address	Montpelier, VT 05601	_x Recreation
	Stakeholder Contact	Area of Responsibility
Organization	MadDog Trout Unlimited	_x_ Flows
Name		x Water Quality
Name and Title	Clark Amadon, Jared Carpenter	x Fish/Wildlife
Dhawa	4	Watershed
Phone Exactly address	clark@amadononline.net,	T&E Species
Email address	rjaredcarpenter@gmail.com	Cultural/Historic
Mailing Address	PO Box 892	_x Recreation
	Montpelier, VT 05601	
	Stakeholder Contact	Area of Responsibility
Organization	Stakeholder Contact Central Vermont Regional Planning Commission	-
Name	Central Vermont Regional Planning Commission	Responsibility
-		Responsibility       _x Flows
Name Name and Title	Central Vermont Regional Planning Commission	Responsibility         _x Flows         _x Water Quality
Name Name and Title Phone	Central Vermont Regional Planning Commission	Responsibility_x Flows_x Water Quality_x Fish/Wildlife
Name Name and Title Phone Email address	Central Vermont Regional Planning Commission Daniel Currier, Program Manager <u>currier@cvregion.com</u> 29 Main Street, Suite 4	Responsibility_x Flows_x Water Quality_x Fish/Wildlife_x Watershed
Name Name and Title Phone	Central Vermont Regional Planning Commission Daniel Currier, Program Manager <u>currier@cvregion.com</u>	Responsibility_x Flows_x Water Quality_x Fish/Wildlife_x Watershed_x T&E Species
Name Name and Title Phone Email address	Central Vermont Regional Planning Commission Daniel Currier, Program Manager <u>currier@cvregion.com</u> 29 Main Street, Suite 4	Responsibility_x Flows_x Water Quality_x Fish/Wildlife_x Watershed_x T&E Species_x_ Cultural/Historic_x RecreationArea of
Name Name and Title Phone Email address Mailing Address	Central Vermont Regional Planning Commission Daniel Currier, Program Manager <u>currier@cvregion.com</u> 29 Main Street, Suite 4 Montpelier, VT 05602 <u>Stakeholder Contact</u>	Responsibility_x Flows_x Water Quality_x Fish/Wildlife_x Watershed_x T&E Species_x_ Cultural/Historic_x RecreationArea ofResponsibility
Name Name and Title Phone Email address	Central Vermont Regional Planning Commission Daniel Currier, Program Manager <u>currier@cvregion.com</u> 29 Main Street, Suite 4 Montpelier, VT 05602	Responsibility_x Flows_x Water Quality_x Fish/Wildlife_x T&E Species_x_ T&E Species_x_ Cultural/Historic_x_ RecreationArea ofResponsibility_x_ Flows
Name Name and Title Phone Email address Mailing Address Organization	Central Vermont Regional Planning Commission Daniel Currier, Program Manager <u>currier@cvregion.com</u> 29 Main Street, Suite 4 Montpelier, VT 05602 <u>Stakeholder Contact</u> Vermont Paddlers Club	Responsibility         _x Flows         _x Water Quality         _x Fish/Wildlife         _x Watershed         _x T&E Species         _x_ Cultural/Historic         _x Recreation         Area of         Responsibility         _x Flows         Water Quality
Name Name and Title Phone Email address Mailing Address Organization Name Name and Title	Central Vermont Regional Planning Commission Daniel Currier, Program Manager <u>Currier@cvregion.com</u> 29 Main Street, Suite 4 Montpelier, VT 05602 Stakeholder Contact Vermont Paddlers Club Michael Mainer Hugh Pritchard	Responsibility         _x Flows         _x Water Quality         _x Fish/Wildlife         _x T&E Species         _x_ Cultural/Historic         _x_ Recreation         Area of         Responsibility         _x_ Flows        X_ Flows         Water Quality         Flows         Water Quality         Fish/Wildlife
Name Name and Title Phone Email address Mailing Address Organization Name Name and Title Phone	Central Vermont Regional Planning Commission Daniel Currier, Program Manager <u>currier@cvregion.com</u> 29 Main Street, Suite 4 Montpelier, VT 05602 Stakeholder Contact Vermont Paddlers Club Michael Mainer Hugh Pritchard <u>Mainer.michael@gmail.com</u>	Responsibility         _x Flows         _x Water Quality         _x Fish/Wildlife         _x T&E Species         _x_ Cultural/Historic         _x_ Recreation         Area of         Responsibility         _x_ Flows         _Water Quality         _x_ Flows         _Water Quality         _Fish/Wildlife         _Watershed
Name Name and Title Phone Email address Mailing Address Organization Name Name and Title Phone Email address	Central Vermont Regional Planning Commission Daniel Currier, Program Manager <u>Currier@cvregion.com</u> 29 Main Street, Suite 4 Montpelier, VT 05602 Stakeholder Contact Vermont Paddlers Club Michael Mainer Hugh Pritchard <u>Mainer.michael@gmail.com</u> hughpritchard@gmail.com	Responsibility         _x Flows         _x Water Quality         _x Fish/Wildlife         _x T&E Species         _x_ Cultural/Historic         _x Recreation         Area of         Responsibility         _x Flows        Water Quality         _Fish/Wildlife        Water Quality        Fish/Wildlife        Watershed        T&E Species
Name Name and Title Phone Email address Mailing Address Organization Name Name and Title Phone	Central Vermont Regional Planning Commission Daniel Currier, Program Manager <u>currier@cvregion.com</u> 29 Main Street, Suite 4 Montpelier, VT 05602 Stakeholder Contact Vermont Paddlers Club Michael Mainer Hugh Pritchard <u>Mainer.michael@gmail.com</u>	Responsibility         _x Flows         _x Water Quality         _x Fish/Wildlife         _x T&E Species         _x_ Cultural/Historic         _x_ Recreation         Area of         Responsibility         _x_ Flows         _Water Quality         _x_ Flows         _Water Quality         _Fish/Wildlife         _Watershed

Native American Tribes		
Roger Longtoe Sheehan, Chief	Ronny Burt, Chief	
Elnu Tribe of the Abenaki	Abenaki Nation at Missisquoi	
Tribal Headquarters	100 Grand Avenue	
5243 VT Route 30	Swanton, VT 05488	
Jamaica, VT, 05343		
gitceedadann@yahoo.com		
Don Stevens, Chief	Paul J. Bunnell, Chief	
Nulhegan Abenaki Tribe	Koasek Traditional Band of the Koas Abenaki Nation	
156 Bacon Drive	32 Hoit Mill Road, #202	
Shelburne, VT 05482	Weare, NH 03281	
chiefdonstevens@comcast.net	koasek@yahoo.com	
	BunnelLoyalist@aol.com	

### Sworn Statement and Waiver Form

All applications for LIHI Certification must include the following sworn statement before they can be reviewed by LIHI:

#### SWORN STATEMENT

As an Authorized Representative of Winooski Hydroelectric Company, the Undersigned attests that the material presented in the application is true and complete.

The Undersigned acknowledges that the primary goal of the Low Impact Hydropower Institute's certification program is public benefit, and that the LIHI Governing Board and its agents are not responsible for financial or other private consequences of its certification decisions.

The Undersigned further acknowledges that if LIHI Certification of the applying facility is granted, the LIHI Certification Mark License Agreement must be executed prior to marketing the electricity product as LIHI Certified<sup>®</sup>.

The Undersigned further agrees to hold the Low Impact Hydropower Institute, the Governing Board and its agents harmless for any decision rendered on this or other applications, from any consequences of disclosing or publishing any submitted certification application materials to the public, or on any other action pursuant to the Low Impact Hydropower Institute's certification program.

#### FOR PRE-OPERATIONAL CERTIFICATIONS:

The Undersigned acknowledges that LIHI may suspend or revoke the LIHI Certification should the impacts of the facility, once operational, fail to comply with the LIHI program requirements.

Company Name: Winooski Hydroelectric Company

Authorized Representative:

Name: Matthew Rubin

Title: General Partner

Authorized Signature: Mathew Rubin Date: 3-25-2021

LIHI Handbook 2<sup>nd</sup> Edition – Revision 2.04, April 1, 2020