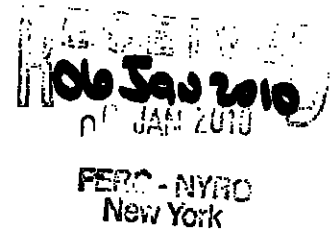


Pawtucket Hydropower LLC
87 Senexet Road
Woodstock, CT 06281
putnamhydro@charter.net
(860) 928-7100



December 31, 2009

Mr. Peter Valeri
Regional Engineer
Federal Energy Regulatory Commission
New York Regional Office
19 West 34th St.-Suite 400
New York, NY 10001

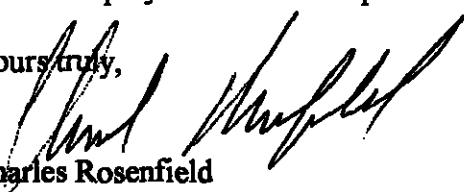
Re: Annual Report, P-3689

Dear Mr. Valeri,

Pawtucket Hydropower, P-3689, was in compliance with its minimum flow requirement in 2009.

There were no changes at the project or in upstream or downstream conditions which would affect this project's EAP exemption.

Yours truly,


Charles Rosenfield
Pawtucket Hydropower

Pawtucket Hydropower LLC
87 Senexet Road
Woodstock, CT 06281
putnamhydro@charter.net
(860) 928-7100

RECEIVED
18 JAN 2011
FERC - NYRO
New York

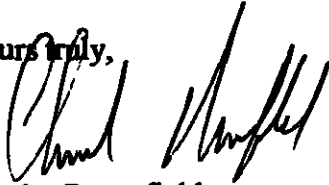
January 12, 2011

Mr. Gerald L. Cross, P.E.
Regional Engineer
Federal Energy Regulatory Commission
New York Regional Office
19 West 34th St.-Suite 400
New York, NY 10001

Dear Mr. Cross;

This letter is to certify that Pawtucket Hydropower, project P-3689, was in compliance with its minimum flow requirement in 2010 and there have been no changes to conditions upstream or downstream from the project.

Yours truly,



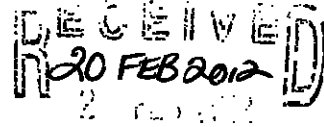
Charles Rosenfield
Pawtucket Hydropower

Pawtucket Hydropower LLC

**87 Senexet Road
Woodstock, CT 06281**

putnamhydro@charter.net

(860) 928-7100



**February 17, 2012
New York**

**Mr. Gerald L. Cross, P.E
Regional Engineer
Federal Energy Regulatory Commission
New York Regional Office
19 West 34th St., Suite 400
New York, NY 10001**

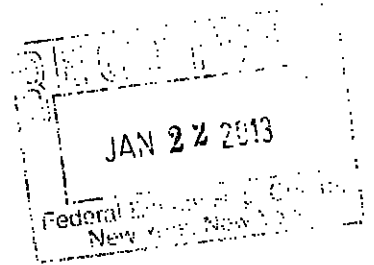
Dear Mr. Cross;

This letter is to certify that Pawtucket Hydropower, P-3689, was in compliance with its minimum flow requirement in 2011 and there have been no changes to conditions upstream or downstream from the project.

Yours truly,

A handwritten signature in black ink, appearing to read "Charles Rosenfield". The signature is fluid and cursive, with a large, stylized "C" and "R".

**Charles Rosenfield
Pawtucket Hydropower**



Pawtucket Hydropower LLC
87 Senexet Road
Woodstock, CT 06281
putnamhydro@charter.net
(860) 928-7100

January 18, 2013

P-3689

Mr. Gerald L. Cross, P.E.
Regional Engineer
Federal Energy Regulatory Commission
New York Regional Office
19 West 34th St., Suite 400
New York, NY 10001

Re: P-3689 RI

Dear Mr. Cross:

This letter is to confirm that Pawtucket Hydropower, P-3689, was in compliance with its minimum flow requirement in 2012 and there have been no changes to conditions upstream or downstream from the project.

Yours truly,

A handwritten signature in black ink, appearing to read "Charles Rosenfield".

Charles Rosenfield
Pawtucket Hydropower

Document Content(s)

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June 26th, 2014

Mr. Gerald L. Cross, P.E.
Regional Engineer
Federal Energy Regulatory Commission
New York Regional Office
19 West 34th St. Suite 400
New York, NY 10001

Re: Pawtucket No. 2, FERC Project No.3689 2013 Min Flow Requirements in Compliance

Dear Mr. Cross,

On March 17th, 2014, Gravity Renewables, Inc. purchased Pawtucket Hydropower, LLC., which is the exemptee for the above-captioned project. During the transition, a minimum flow requirements letter was not sent to FERC regarding compliance in 2013. This letter is to certify that Pawtucket Hydropower, P-3689, was in compliance with its minimum flow requirement in 2013 and there have been no changes to conditions upstream or downstream from the project.

If there are any questions regarding this matter, do not hesitate to contact the undersigned at 303.440.3378 ext 303.

Best regards,

Jonathan Miller
Director of Financial Analysis and Regulatory Affairs
Gravity Renewables, Inc.

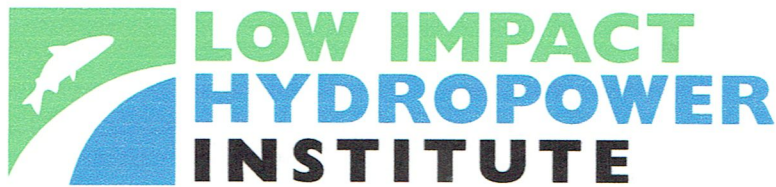
Cc: Jim Fulmer, Gravity Renewables
Anthony Marra, Gravity Renewables
Mark Boumansour, Gravity Renewables

Certificate of Service

I hereby certify that I have this day served the foregoing document upon each person designated on the official service list [or the restricted service list, if applicable compiled by the Secretary in this proceeding.

June 26th, 2014

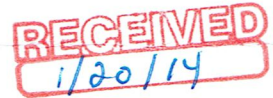
Jonathan Miller
1401 Walnut St. Suite 220
Boulder, CO, 80302
303.440.3378 ext 303



PO BOX 194 HARRINGTON PARK, NEW JERSEY 07640

ANNUAL COMPLIANCE STATEMENT

Certified facility name: Pawtucket Hydroelectric Project (FERC no. 3689)
Location: Blackstone River, Rhode Island
LIHI Certificate Number: 00011
Date of Issuance: April 23, 2004
Statement period: April 23, 2012- December 23, 2013



Statement

I declare that during the period from April 23, 2012 to December 23, 2013 in regards to the Pawtucket Hydroelectric Project (FERC #3689), there has been:

1. No violation of the low impact criteria, including any special standards described in the certificate.
2. No violation of the Certification Use Requirements;
3. No change in conditions relevant to the certification; and
4. No notice of violation or non-compliance relevant to the facility's certification from any government agency

Further, please be reminded that as a condition of your recertification as outlined in your notification of March 26, 2010, you are obliged to document adherence to the following:

- A. The Rhode Island Department of Environmental Management (RIDEM) has implemented a plan for restoring anadromous fish to the Blackstone River. If the Applicant contests a requirement developed under Exemption Standard Article 2 (which incorporates the U.S. Fish and Wildlife Service's May 21, 1981 comment letter), LIHI certification of the Pawtucket Project shall be suspended subject to the project's continuing compliance with other requirements of LIHI certification.
- B. If the resource agencies prevail in the dispute, and the project complies with the resource agencies' orders, LIHI will restore the project's certification.
- C. If the resource agencies prevail in the dispute, and the project refuses to comply with the resource agencies' orders, LIHI will revoke the project's certification.
- D. If the project prevails in the dispute and the resource agencies' recommendations are overturned by a legal proceeding, those recommendations will cease to be valid and LIHI will restore the project's certification subject to the project's continuing compliance with other requirements of LIHI certification

I understand that this annual statement is in addition to, and not in lieu of, my responsibility to report any such violations or changes during the course of the year. I understand that this annual statement is a requirement for maintaining the Low Impact certification of the Pawtucket Hydroelectric Project, and that any material misrepresentation of fact may result in the revocation of that certification.

Date: 1/14/14

Name: CHARLES ROSENFELD
Please print

Signature: Charles Rosenfeld