GLENDALE HYDROELECTRIC PROJECT LIHI APPLICATION

ATTACHMENT #6

ORDER ISSUING SUBSEQUENT LICENSE

and

WATER QUALITY CERTIFICATE

128 FERC ¶ 62,123 UNITED STATES OF AMERICA FEDERAL ENERGY REGULATORY COMMISSION

Littleville Power Company, Inc.

Project No. 2801-027

ORDER ISSUING SUBSEQUENT LICENSE

(August 19, 2009)

INTRODUCTION

1. Pursuant to Part I of the Federal Power Act (FPA), Littleville Power Company, Inc. (Littleville Power) filed an application on October 31, 2007, for a subsequent license to continue to operate the existing 1,140-kilowatt (kW) Glendale Hydroelectric Project No. 2801. Littleville Power's application includes a proposal to install a new 165-kW minimum flow turbine-generator unit, increasing the project's installed capacity to 1.305 kW. The Glendale Project is located on the Housatonic River in Berkshire County, Massachusetts. The project does not occupy any federal land. As discussed below, I am issuing a subsequent license for the project.

BACKGROUND

2. The Commission issued a 30-year minor license for the project on November 23, 1979, and the license will expire on October 31, 2009.³

¹ 16 U.S.C. §§ 791(a) – 825(r) (2006).

² Because the Housatonic River is a navigable waterway of the United States, the project is required to be licensed by section 23(b)(1) of the FPA, 16 U.S.C. 816(1) (2006). See The Connecticut Light & Power Co., 55 F.P.C. 2397 (1975), reh'g denied, 55 F.P.C. 473 (1976), aff'd, Connecticut Light & Power Co. v. FPC, 557 F.2d 349 (2d Cir. 1977).

³ Mary C. Heather, 9 FERC ¶ 62,110 (1979). The Commission approved the transfer of the license from Mary C. Heather to Joseph A. Guerrieri in 1989, 49 FERC ¶ 62,156 (1989), and, subsequently, from Joseph A. Guerrieri to Littleville Power Co. in 1995, 73 FERC ¶ 62,126 (1995).

- 3. On October 30, 2008, the Commission issued a public notice accepting the license application, setting December 30, 2008, as the deadline for filing motions to intervene and protests. No motions to intervene or protests were filed.
- 4. The Commission's October 30, 2008 notice also indicated the project was ready for environmental analysis and solicited comments, recommendations, terms and conditions, and prescriptions. In response, timely recommendations and a prescription were filed by the Massachusetts Division of Fisheries and Wildlife (Massachusetts DFW) and the U.S. Department of the Interior (Interior) on December 22 and 30, 2008, respectively. Littleville Power filed reply comments on February 12, 2009.
- 5. On March 23, 2009, Commission staff issued an Environmental Assessment (EA). Littleville Power filed comments on the EA on April 22, 2009. The comments, recommendations, and prescription have been fully considered in determining whether, and under what conditions, to issue this license.

PROJECT DESCRIPTION

A. Project Facilities

6. The existing Glendale Project consists of a 250-foot-long, 30-foot-high concrete gravity dam with a 182-foot-long spillway impounding a 23-acre reservoir (with a normal water surface elevation of 810.9 feet National Geodetic Vertical Datum (NGVD). A gatehouse with intake gates connects to a 1,500-foot-long, 40-foot-wide intake canal, and a 250-foot-long, 12-foot-diameter steel penstock, leading to a powerhouse containing four turbine generating units with a combined installed capacity of 1,140 kW. Water discharges from the powerhouse into a 300-foot-long tailrace. Project power is transmitted through an 83-foot-long, 13.8-kilovolt (kV) transmission line connected to the regional grid. An approximately 1,000-foot-long access road connects Glendale Road (Route 183) to the project dam. Littleville Power proposes to install a new, 165-kW minimum flow turbine generating unit, including new trash racks with 1-inch clear spacing, in the waste gate slot located at the gatehouse adjacent to the project dam. A more detailed project description is contained in ordering paragraph (B)(2). The intake canal, penstock, powerhouse, and tailrace create a 2,500-foot-long bypassed reach of the Housatonic River. All land within the project boundary is owned by Littleville Power.

B. Project Boundary

7. The project boundary encloses all the facilities described above, including the dam, reservoir, intake canal, penstock, powerhouse, primary transmission line, tailrace, and access road. There are currently no designated recreational facilities at the project.

C. Project Operation

- 8. Under Article 25 of the existing license, as amended, Littleville Power is required to operate the project in a run-of-river mode and to discharge a continuous minimum flow of 10 cubic feet per second (cfs) or inflow, whichever is less, over the spillway crest, or alternatively, through a notch in the spillway crest. Littleville Power maintains run-of-river operation through use of an automatic pond level control (PLC). When about 2.5 inches (about 70 cfs) of spill occurs over the dam, the PLC unit is programmed to start one of the four existing generating units beginning at a 55 percent gate opening and then gradually increasing to an 80 percent gate opening. If the level of spill exceeds 2.5 inches with one unit operating, the PLC is programmed to start additional units sequentially as flows become available while maintaining the required 10-cfs minimum flow. All inflow in excess of the total maximum hydraulic capacity of the four turbine generating units, approximately 400 cfs, is passed over the dam.
- 9. Littleville Power voluntarily refrains from taking each turbine unit off line until after it is operating at its minimum hydraulic capacity (55 cfs). The purpose of this down-ramping is to minimize or eliminate excess downstream flow fluctuations. The project's current estimated total annual generation is 5,000 megawatt-hours (MWh).

D. Proposed Measures

10. Littleville Power proposes to: (1) continue run-of-river operation; (2) release a 90-cfs minimum flow (or inflow, whichever is less) into the bypassed reach; (3) provide a canoe portage around the dam; and (4) provide public parking at the bypassed reach.

WATER QUALITY CERTIFICATION

11. Under section 401(a) of the Clean Water Act (CWA),⁵ the Commission may not issue a license authorizing the construction or operation of a hydroelectric project unless the state water quality certifying agency either has issued water quality certification (certification) for the project or has waived certification by failing to act on a request for certification within a reasonable period of time, not to exceed one year. Section 401(d)

⁴ See Order Amending License, 28 FERC ¶ 62,439 (1984); and Order Amending License and Setting Minimum Flows, 24 FERC ¶ 62,230 (1983).

⁵ 33 U.S.C. § 1341(a)(1) (2006).

of the CWA provides that the certification shall become a condition of any federal license that authorizes construction or operation of the project.⁶

- 12. On November 14, 2007, Littleville Power applied to the Massachusetts Department of Environmental Protection (DEP) for certification for the Glendale Project. Massachusetts DEP received this request on November 20, 2007. On November 3, 2008, Littleville Power received a letter from Massachusetts DEP requesting that Littleville Power withdraw and resubmit its application in order to extend the processing deadline one additional year. By letter dated November 11, 2008, Littleville Power withdrew and resubmitted its application. On July 8, 2009, Massachusetts DEP issued its certification for the Glendale Project with conditions as set forth in Appendix A of this order and incorporated into the license (see ordering paragraph D).
- The certification includes 27 conditions that require: a plan to monitor and control erosion during construction activities; run-of-river operation; a minimum flow of 90 cfs or inflow, whichever is less, into the bypassed reach; release of 90 percent of inflow to the project during refilling of the project impoundment after dam maintenance or emergency drawdown; an operation monitoring plan; an invasive species control plan; installation of trash racks at the intakes to the main and minimum flow units⁷ with 1-inchclear spacing and velocities less than or equal to 2 feet per second; design, construction, operation, and maintenance of upstream eel passage facilities within 1 year of the installation of the same at the Risingdale dam⁸ downstream from the project; a plan to provide for safe downstream eel passage within 1 year of the installation of upstream eel passage facilities at the project; design, construction, operation, and maintenance of upstream and downstream anadromous fish passage facilities within 1 year of the same at Risingdale dam; and effectiveness monitoring plans for the eel and anadromous fish upstream and downstream passage facilities. Article 401 requires the licensee to file, for Commission approval, the plans required by the certification conditions, and to notify the Commission of planned and unplanned deviations from license requirements.

⁷ The existing trash racks at the project's main units already meet the 1-inch clear spacing, 2-foot per second velocity standard required by certification condition 21; therefore, Article 404 requires design drawings and a schedule for the new (minimum flow unit) trash racks only.

⁶ 33 U.S.C. § 1341(d) (2006).

⁸ The Risingdale dam has no generation facilities.

The certification includes conditions requiring the installation of upstream eel passage and upstream and downstream anadromous fish passage facilities at the project within one year of the installation of fish passage facilities at the Risingdale dam located about four miles downstream. In addition to the passage barrier at the Risingdale dam, migrations of anadromous fish and American eel are blocked by several other downstream dams. Currently, there are no plans to restore anadromous fish to the Massachusetts portion of the Housatonic River and the base of the Bulls Bridge dam (part of the Housatonic River Project No. 2576)⁹ is the uppermost extent of the planned restoration of anadromous fish to the Connecticut portion of the river basin. The restoration of American eel is currently planned to the base of the Falls Village dam. The Housatonic River Project license 10 requires upstream and downstream eel passage facilities to be operational at the Bulls Bridge Development by April 1, 2024. That license also requires upstream and downstream anadromous fish passage facilities to be operational at the Stevenson Development by April 1, 2014, and at the Shepaug Development by April 1, 2024, contingent on the installation of fish passage facilities at the Derby dam. 11 Given that there are no plans for restoring American eel and anadromous fish to the part of the river basin occupied by the Glendale Project for the foreseeable future, it seems premature to condition the Glendale Project to include measures for American eel and anadromous fish passage. Nevertheless, the certification conditions are mandatory and therefore these measures are included in the license by ordering paragraph D and license Articles 404 and 405, respectively.

COASTAL ZONE MANAGEMENT ACT

15. Under section 307(c)(3)(A) of the Coastal Zone Management Act (CZMA), ¹² the Commission cannot issue a license for a project within or affecting a state's coastal zone unless the state CZMA agency concurs with the license applicant's certification of

⁹ The Housatonic River Project consists of five developments. From upstream to downstream the developments are as follows: Falls Village (river mile 78), Bulls Bridge (river mile 53), Rocky River pumped storage (river mile 47), Shepaug (river mile 30), and Stevenson (river mile 19).

¹⁰ Northeast Generation Services Co., 107 FERC ¶ 61,305 (2004).

¹¹ Derby dam is the only structure in the river downstream of the Stevenson Development before the tidally-controlled Housatonic estuary.

¹² 16 U.S.C. § 1456(c)(3)(A) (2006).

consistency with the state's CZMA program, or the agency's concurrence is conclusively presumed by its failure to act within 180 days of its receipt of the applicant's certification.

16. By letter filed July 8, 2008, the Connecticut Department of Environmental Protection (Connecticut DEP) confirmed that the project is located beyond the limit of tidal influence on the Housatonic River and would otherwise have no reasonably foreseeable effect on coastal resources or uses in Connecticut, thus the project is not subject to Connecticut coastal zone program review. Therefore, no consistency certification is required.

SECTION 18 FISHWAY PRESCRIPTIONS

17. Section 18 of the FPA¹³ provides that the Commission shall require the construction, maintenance, and operation by a licensee of such fishways as may be prescribed by the Secretary of Commerce or the Secretary of the Interior, as appropriate. In a letter filed December 30, 2008, Interior requested that the Commission reserve its authority to require fishways that it may prescribe in the future. Consistent with the Commission's policy, Article 402 of this license reserves the Commission's authority to require fishways that may be prescribed by Interior for the project.

THREATENED AND ENDANGERED SPECIES

- 18. Section 7(a)(2) of the Endangered Species Act of 1973,¹⁴ requires federal agencies to ensure their actions are not likely to jeopardize the continued existence of federally listed threatened and endangered species, or result in the destruction or adverse modification of their designated critical habitat.
- 19. The EA noted that U.S. Fish and Wildlife Service (FWS) staff informed Littleville Power in an April 27, 2007 letter that there are no known federally listed endangered or threatened species and there is no critical habitat for these species within the project area. In addition, no listed species were identified during a 2006 mussel survey. Because the presence of listed species has not been documented at the project, the EA concluded that issuing a license would not affect federally listed threatened and endangered species.

¹³ 16 U.S.C. § 811 (2006).

¹⁴ 16 U.S.C. § 1536(a) (2006).

NATIONAL HISTORIC PRESERVATION ACT

- 20. Under section 106 of the National Historic Preservation Act (NHPA),¹⁵ and its implementing regulations,¹⁶ federal agencies must take into account the effect of any proposed undertaking on properties listed or eligible for listing in the National Register of Historic Places (defined as historic properties) and afford the Advisory Council on Historic Preservation a reasonable opportunity to comment on the undertaking. This generally requires the Commission to consult with the State Historic Preservation Officer (SHPO) to determine whether and how a proposed action may affect historic properties, and to seek ways to avoid or minimize any adverse effects.
- 21. The project's powerhouse is listed on the National Register of Historic Places for its engineering and industrial uses from 1900 to 1924. However, Littleville Power is not proposing any alterations to the Glendale powerhouse. By letter filed October 30, 2008, the SHPO determined that the relicensing proposal will not adversely affect the significant historic characteristics of the property. The SHPO commented that operation of the powerhouse for its historical purposes assists in maintaining the historic property.
- 22. By letter filed February 12, 2009, the SHPO recommended that an historic properties management plan (HPMP) for the project be developed, using an Historical Overview Report filed January 14, 2009, and other existing materials. The SHPO recommended consultation with the Commission, SHPO, and the Stockbridge Historical Commission prior to Littleville Power undertaking any future new construction, demolition, or rehabilitation. The EA recommended that Littleville Power prepare an HPMP. Article 407 requires Littleville Power to develop and implement an HPMP.

RECOMMENDATIONS OF FEDERAL AND STATE FISH AND WILDLIFE AGENCIES PURSUANT TO SECTION 10(j) OF THE FPA

23. Section 10(j) (1) of the FPA¹⁷ requires the Commission, when issuing a license, to include conditions based on recommendations by federal and state fish and wildlife agencies submitted pursuant to the Fish and Wildlife Coordination Act, ¹⁸ to "adequately

¹⁵ 16 U.S.C § 470f (2006).

¹⁶ 36 C.F.R. Part 800 (2009).

¹⁷ 16 U.S.C. § 803(j)(1) (2006).

¹⁸ 16 U.S.C. §§ 661-667e. (2006).

and equitably protect, mitigate damages to, and enhance fish and wildlife (including related spawning grounds and habitat)" affected by the project.

24. In letters filed December 22 and 30, 2008, Massachusetts DFW and Interior, respectively, submitted identical 10(j) recommendations, which included six fish and wildlife related measures. In the EA, Commission staff found all six recommendations to be within the scope of 10(j) and recommended their adoption. This license includes conditions consistent with the six recommendations. These measures are: (1) operate the project in a run-of-river mode (certification condition 14); (2) provide a 90-cfs minimum flow in the bypassed reach year-round (certification condition 15); (3) release 90 percent of inflow downstream of the project during impoundment refilling (certification condition 18); (4) install trash racks with 1-inch clear spacing and approach velocities of less than or equal to 2 feet per second at the intakes to the main and minimum flow turbine units (Article 403; certification condition 21); (5) develop an operation compliance monitoring plan (certification condition 19); and (6) develop and implement an invasive species control plan (certification condition 20).

SECTION 10(a)(1) OF THE FPA

25. Section 10(a)(1) of the FPA¹⁹ requires that any project for which the Commission issues a license shall be best adapted to a comprehensive plan for improving or developing a waterway or waterways for the use or benefit of interstate or foreign commerce; for the improvement and utilization of waterpower development; for the adequate protection, mitigation, and enhancement of fish and wildlife; and for other beneficial public uses, including irrigation, flood control, water supply, recreation, and other purposes.

A. Recreation

26. The current license does not require any project recreation facilities. Littleville Power proposes to construct a canoe portage around the dam, with the take-out site located upstream of the dam near the gatehouse. It would also establish a portage trail using the existing project access road, which is approximately 1,000 feet long and runs adjacent to the power canal, connecting Glendale Road (Route 183) to the project dam. The portage trail would cross over the power canal at a point where the canal travels underground, and lead to a new stairway/ramp to the bypassed reach. This access at the bypassed reach would serve as both a put-in site for canoeists and an access point for

¹⁹ 16 U.S.C. § 803(a)(1) (2006).

bank fishing. The final location for the proposed stairway/ramp would be determined through consultation with Massachusetts DFW and the Housatonic Valley Association.²⁰

- 27. Additionally, Littleville Power proposes to provide a parking area adjacent to the new stairway/ramp. The existing dam access road would connect Glendale Road (Route 183) to this parking area, and pedestrians would be able to continue on this access road to the Glendale Dam area and the impoundment. This parking area would serve those using the canoe portage as well as those using the new bypassed reach access for bank fishing.
- 28. The EA found that Littleville Power's proposed canoe portage with new access to the bypassed reach and proposed parking area would improve public access and recreation opportunities at the project and recommended that any subsequent license require a recreation plan for the project that includes these facilities. Article 406 requires Littleville Power to file a recreation plan for the project that includes these proposed facilities.
- 29. The EA recommended that any recreation plan for the project include a monitoring component because of the expected increase in recreation use. Under the current license, Littleville Power was exempted from filing the Licensed Hydropower Development Recreation Report (Form 80)²¹ due to the limited amount of recreation use at the project. That exemption does not carry over to this subsequent license. Thus, Littleville Power is required, pursuant to 18 C.F.R. § 8.11 (2009), to monitor use of its recreation facilities via FERC Form 80 filings every six years.

B. Agency Notification

- 30. Interior asks that the license require Littleville Power to serve any Interior representative "on the service list" with a copy of any request to amend the license or extend the deadlines for construction or implementation of license conditions, and any appeal of fish and wildlife-related license conditions.
- 31. The service list for this relicensing proceeding expires upon issuance of this order (and after the time for rehearing has passed), so Interior's status as an intervenor also terminates at that point. Consequently, the Commission's regulations on service of

²⁰ An organization whose stated purpose includes maintaining and improving public use of the Housatonic Watershed.

²¹ Form 80 documents the use of project recreation facilities and includes a facility capacity component.

documents, 18 C.F.R. § 385.2010 (2009), do not require that former parties be served with relevant pleadings filed after a permit, license, or exemption has been issued. However, Littleville Power is required to consult or notify Interior, through FWS, on a number of measures: Article 401(b) requires consultation with FWS for proposed changes to run-of-river operation or bypassed reach flows, condition 19 requires a monitoring plan for impoundment and flow management, and conditions 22, 23, and 24 require fish passage and an associated effectiveness study. Ordering paragraph (G) requires Littleville Power to serve copies of any Commission filing required by this order on any entity specified in this order to be consulted on matters related to the filing. Moreover, entities interested in a specific project may register for the Commission's "esubscription" in order to be notified by e-mail about future correspondence regarding a specific docket.²²

ADMINISTRATIVE PROVISIONS

A. Annual Charges

32. The Commission collects annual charges from licensees for administration of the FPA. Article 201 provides for the collection of funds for administration of the FPA and use and occupancy of U.S. lands, where appropriate. However, under the regulations currently in effect, projects with authorized installed capacity of less than or equal to 1,500 kW, like this project, will not be assessed an annual charge.

B. Exhibit F and G Drawings

33. The Commission requires licensees to file sets of approved project drawings on microfilm and in electronic file format. Article 202 requires this filing for these drawings.

C. Start of Construction

34. Article 301 requires the licensee to start construction of the new 165-kW generating unit within two years and complete construction within four years.

D. Review of Final Plans and Specifications

35. This license authorizes the installation of a new 165-kW minimum flow turbine

²²See http://www.ferc.gov/docs-filing/esubscription.asp. E-Subscription subscribers receive docketed correspondence, issuances, and news releases electronically.

generating unit, including new trash racks, in the existing waste gate slot located at the gatehouse adjacent to the project dam. Article 302 requires the licensee to provide the Commission's Division of Dam Safety and Inspection New York Regional Office (D2SI-NYRO) with cofferdam construction drawings at least 30 days prior to starting construction of the cofferdams. Article 303 requires the licensee to provide D2SI-NYRO, for its approval, final contract drawings and specifications and supporting design documentation consistent with the Commission's regulations, including a Quality Control and Inspection Program, Temporary Construction Emergency Action Plan, and a Soil Erosion and Sediment Control Plan. Article 304 requires the licensee to file for Commission approval, within 90 days of completing construction, revised Exhibits A, F, and G, as applicable, describing and showing the facilities as built.

E. Use and Occupancy of Project Lands and Waters

36. Requiring a licensee to obtain prior Commission approval for every use or occupancy of project land or waters would be unduly burdensome. Therefore, Article 408 allows the licensee to grant permission, without prior Commission approval, for the use and occupancy of project lands and waters for such minor activities as landscape planting and boat docks that meet certain requirements. Such uses must be consistent with the purposes of protecting and enhancing the scenic, recreational, and environmental values of the project.

F. Consultation on Resource Plans

37. Appendix A includes certification conditions that require the licensee to file plans with the Massachusetts DEP. The conditions, however, do not require Commission review and approval of these plans. Therefore, Article 401(a) requires the licensee to file the plans with the Commission for approval, file notification of planned and unplanned deviations of project operation (401(b)), and file amendment applications in case of project modifications (401(c)).

STATE AND FEDERAL COMPREHENSIVE PLANS

38. Section 10(a)(2)(A) of the FPA,²³ requires the Commission to consider the extent to which a project is consistent with federal or state comprehensive plans for improving, developing, or conserving a waterway or waterways affected by the project.²⁴ Under

²³ 16 U.S.C. § 803(a)(2)(A) (2006).

²⁴ Comprehensive plans for this purpose are defined at 18 C.F.R. § 2.19 (2009).

section 10(a)(2)(A), staff identified and reviewed five comprehensive plans that are relevant to the project.²⁵ No conflicts were found.

APPLICANT'S PLANS AND CAPABILITIES

39. In accordance with section 10 of the FPA,²⁶ and the Commission's regulations, Commission staff has evaluated Littleville Power's record as a licensee with respect to the following: (A) need for power; (B) safe management, operation, and maintenance of the project;²⁷ and (C) conservation efforts. I accept the staff's finding in each of the following areas.

A. Need for Power

40. To assess the need for project power, staff looked at the needs in the operating region in which the project is located which is the Northeast Power Coordinating Council (NPCC) region of the North American Electric Reliability Council (NERC). The projected summer peak 10-year compound annual average growth rate is about 1.2 percent over the 2008-2017 period in the New England area. Staff concluded that the project's power, potential displacement of non-renewable fossil-fired generation, and contribution to the region's diversified generation mix help meet the need for power in this region.

B. Safe Management, Operation, and Maintenance of the Project

41. Staff reviewed Littleville Power's management, operation, and maintenance of the project pursuant to the requirements of 18 C.F.R. Part 12 and the Commission's Regulations and Engineering Guidelines. Staff concluded that the dam and other project works are safe, and find that there is no reason to believe that Littleville Power cannot continue to safely manage, operate, and maintain project facilities under a subsequent license.

²⁵ The list of comprehensive plans can be found in section 5.5 of the final EA.

²⁶ 16 U.S.C. § 803 (2006).

In Order No. 513, the Commission exempted licenses of minor projects, such as the Glendale Project, whose licenses waive sections 14 and 15 of the FPA, from the information requirements of 18 C.F.R. § 16.10. *See Hydroelectric Relicensing Regulations Under the Federal Power Act*, 54 *Fed. Reg.* 23756 (June 2, 1989) and 55 *Fed. Reg.* 10768 (March 23, 1990), FERC Statutes and Regulations, Regs. Preambles 1986 1990 ¶ 30,854 at p. 31,445 (May 17, 1989).

C. Conservation Efforts

42. Littleville Power sells the project's energy to Groton Massachusetts Municipal Light Department, a member of the Massachusetts Municipal Wholesale Electric Company (Wholesale Electric). Wholesale Electric provides services to help municipal utilities develop and manage their power requirements including the Home Energy Loss Prevention Services (HELPS) Program. HELPS offers a house energy audit, appliance and lighting rebates and other incentives for installation and implementation of measures recommended in an audit. MMWEC also provides services to help municipal utilities purchase energy efficient lighting, publications, and other conservation products. Staff concluded that, given the limits of its ability to influence users of the electricity generated by the project, Littleville Power complies with section 10(a)(2)(C) of the FPA.

PROJECT ECONOMICS

- 43. In determining whether to issue a subsequent license for an existing hydroelectric project, the Commission considers a number of public interest factors, including the economic benefits of project power. Under the Commission's approach to evaluating the economics of hydropower projects, as articulated in *Mead Corp*, the Commission uses current costs to compare the costs of the project and likely alternative power with no forecasts concerning potential future inflation, escalation, or deflation beyond the license issuance date. The basic purpose of the Commission's economic analysis is to provide a general estimate of the potential power benefits and the costs of a project, and of reasonable alternatives to project power. The estimate helps to support an informed decision concerning what is in the public interest with respect to a proposed license.
- 44. In applying this analysis to the Glendale Project, staff considered two licensing alternatives: Littleville Power's proposal and the project as licensed herein with staff-recommended measures and certification conditions. As proposed by Littleville Power, the levelized annual cost of operating the Glendale Project is \$451,410, or \$77.83/MWh. The proposed project would generate an estimated average of 5,800 MWh of energy annually. When we multiply our estimate of average annual generation by the alternative power cost of \$71.44/MWh, ³⁰ we get a total value of the project's power of \$414,360 in

²⁸ See http://www.mmwec.org/html/members.htm.

²⁹ 72 FERC ¶ 61,027 (1995).

³⁰ The alternative power cost of \$71.44 per MWh is based on information in Energy Information Administration's Annual Energy Outlook.

2009 dollars. To determine whether the proposed project is currently economically beneficial, staff subtracts the project's cost from the value of the project's power. Therefore, in the first year of operation, the project would cost \$37,050, or \$6.39/MWh, more than the likely alternative cost of power.

- 45. As licensed herein with certification conditions and staff-recommended measures,³² the levelized annual cost of operating the project would be about \$455,170, or \$78.48/MWh. Based on the same alternative power cost and estimated average annual generation of 5,800 MWh, the project would cost \$40,810, or \$7.04/MWh, more than the likely alternative cost of power.
- 46. In considering public interest factors, the Commission takes into account that hydroelectric projects, like the Glendale Project, offer unique operational benefits to the electric utility system (ancillary service benefits). These benefits include their capability to provide an almost instantaneous load-following response to dampen voltage and frequency instability on the transmission system, system-power-factor-correction through condensing operations, and a source of power available to help in quickly putting fossilfuel based generating stations back on line following a major utility system or regional blackout.
- 47. Although Commission staff's analysis shows that the project as licensed herein would cost more to operate than our estimated cost of alternative power, it is the applicant who must decide whether to accept this license and any financial risk that entails.
- 48. Although staff does not explicitly account for the effects inflation may have on the future cost of electricity, the fact that hydropower generation is relatively insensitive to inflation compared to fossil-fueled generators is an important economic consideration for power producers and the consumers they serve. This is one reason project economics is only one of the many public interest factors the Commission considers in determining whether or not, and under what conditions, to issue a license.

Details of staff's economic analysis for the project as licensed herein and for various alternatives are included in the EA issued March 23, 2009.

³² The additional staff-recommended measures include developing plans for recreation and historic properties management.

COMPREHENSIVE DEVELOPMENT

- 49. Sections 4(e) and 10(a)(1) of the FPA³³ require the Commission to give equal consideration to power development purposes and to the purposes of energy conservation, the protection, mitigation of damage to, and enhancement of fish and wildlife, the protection of recreational opportunities, and the preservation of other aspects of environmental quality. Any license issued shall be such as in the Commission's judgment will be best adapted to a comprehensive plan for improving or developing a waterway or waterways for all beneficial public uses. The decision to license this project, and the terms and conditions included herein, reflect such consideration.
- 50. The EA for the project contains background information, analysis of effects, and support for related license articles. I conclude, based on the record of this proceeding, including the EA and the comments thereon, that licensing the Glendale Project as described in this order would not constitute a major federal action significantly affecting the quality of the human environment. The project will be safe if operated and maintained in accordance with the requirements of this license.
- 51. Based on my independent review and evaluation of the Glendale Project, recommendations from resource agencies and other stakeholders, certification conditions, and the no-action alternative, as documented in the EA, I have selected the proposed Glendale Project, including the new turbine generating unit, the staff-recommended measures, and the certification conditions, and find that it is best adapted to a comprehensive plan for improving or developing the Housatonic River waterway.
- 52. I selected this alternative because: (1) issuance of the subsequent license would serve to maintain a beneficial and dependable source of electric energy; (2) the required environmental measures would protect and enhance fish and wildlife resources, water quality, recreational resources, and historic properties; and (3) the 1.305-MW of electric energy generated from a renewable resource may offset the use of fossil-fueled, steam-electric generating plants, thereby conserving nonrenewable resources and reducing atmospheric pollution.

LICENSE TERM

53. The Commission's general policy is to establish 30-year terms for projects with little or no redevelopment, new construction, new capacity, or environmental mitigation and enhancement measures; 40-year terms for projects with a moderate amount of such

³³ 16 U.S.C. §§ 797(e) and 803(a)(1) (2006).

activities; and 50-year terms for projects with extensive measures.³⁴ This license requires a moderate amount of new construction including constructing a new turbine generating unit in the waste gate slot located at the gatehouse adjacent to the project dam, and constructing new trash racks with 1-inch clear spacing. Consequently, a 40-year license for the Glendale Project is appropriate. Because the term of the current license does not expire until October 31, 2009, this license is not effective until November 1, 2009.³⁵

The Director orders:

(A) This license is issued to Littleville Power Company, Inc. (licensee), for a period of 40 years, effective November 1, 2009, to construct, operate, and maintain the Glendale Project. This license is subject to the terms and conditions of the FPA, which is incorporated by reference as part of this license, and subject to the regulations the Commission issues under the provisions of the FPA.

(B) The project consists of:

(1) All lands, to the extent of the licensee's interests in those lands, enclosed by the project boundary shown by Exhibit G drawings filed June 9, 2008:

Exhibit G Drawings	FERC No. 2801-	Description
Sheet 1 of 2	1001	General Project Location Map
Sheet 2 of 2	1002	Detailed Project Location Map

(2) The Glendale Project consists of: (a) a 250-foot-long, 30-foot-high concrete gravity dam with a 182-foot-long spillway and a gatehouse containing two manually-operated 10- by 10-foot-square canal intake gates and a waste gate slot equipped with two 8- by 8-foot-square waste gates; (b) a new 165-kW turbine generating unit in the waste gate slot equipped with new trash racks with 1-inch clear spacing; (c) a 23-acre reservoir with a normal water surface elevation of 810.9 feet National Geodetic Vertical Datum (NGVD); (d) a 1,500-foot-long, 40-foot-wide intake canal; (e) a forebay structure containing two manually-operated headgates (with trash racks with 1-inch clear bar

³⁴ See Consumers Power Company, 68 FERC ¶ 61,077 at 61,383-84 (1994).

³⁵ For this reason, the various deadlines in the license articles are measured from November 1, 2009, the effective date, rather than from the order issuance date.

spacing) and one hydraulically-operated canal waste gate; (f) a 250-foot-long, 12-foot-diameter steel penstock; (g) a powerhouse with four turbine generating units with a combined installed capacity of 1,140 kW; (h) a 300-foot-long tailrace channel; (i) a step-up transformer and 83-foot-long, 13.8-kilovolt transmission line; and (j) appurtenant facilities.

The project works generally described above are more specifically shown and described by those portions of Exhibits A and F shown below:

Exhibit A: Pages -8 through 11 of the license application filed October 31, 2007.

Exhibit F: The following Exhibit F drawings filed on October 31, 2007:

Exhibit F Drawings	FERC No. 2801-	Description
Sheet 1 of 4	1003	Plan View, Elevation View,
		and Cross-Sections of
		Glendale Dam
Sheet 2 of 4	1004	Generating Equipment Plan
		and Section Views of
		Powerhouse
Sheet 3 of 4	1005	Generating Equipment Plan
		and Section Views of
		Powerhouse
Sheet 4 of 4	1006	Plan View and Cross
		Section of Proposed
		Minimum Flow Turbine

- (3) All of the structures, fixtures, equipment or facilities used to operate or maintain the project and located within the project boundary, all portable property that may be employed in connection with the project, and all riparian or other rights that are necessary or appropriate in the operation or maintenance of the project.
- (C) The Exhibits A, F, and G described above are approved and made part of the license.
- (D) This license is subject to the conditions of the water quality certification issued by the Massachusetts Department of Environmental Protection pursuant to Section 401(a) of the Clean Water Act, 33 U.S.C. §1341(a)(1), as those conditions are set forth in Appendix A to this order.

- (E) The following sections of the FPA are waived and excluded from the license for this minor project:
- 4(b), except the second sentence; 4(e), insofar as it relates to approval of plans by the Chief of Engineers and the Secretary of the Army; 6, insofar as it relates to public notice and to the acceptance and expression in the license of terms and conditions of the Act that are waived here; 10(c), insofar as it relates to depreciation reserves; 10(d); 10(f); 14, except insofar as the power of condemnation is reserved; 15; 16; 19; 20; and 22.
- (F) This license is also subject to articles set forth in Form L-9 (revised October 1975), entitled "Terms and Conditions of License for Constructed Minor Project Affecting Navigable Waters of the United States" (see 54 FPC 1799 *et seq_*), and the following additional articles:
- Article 201. Administrative Annual Charges. The licensee shall pay the United States annual charges, effective the first day of the month in which the license becomes effective, and as determined in accordance with provisions of the Commission's regulations in effect from time to time, for the purposes of reimbursing the United States for the cost of administration of Part I of the Federal Power Act. The authorized installed capacity for that purpose is 1,140 kilowatts, until the date of commencement of construction of the new capacity authorized by this license, after which time the authorized installed capacity is 1,305 kilowatts. Under the regulations currently in effect, projects with authorized installed capacity of less than or equal to 1,500 kilowatts will not be assessed an annual charge.
- Article 202. Exhibit F and G Drawings. Within 45 days of the date of the effective date of the license, the licensee shall file the approved Exhibit drawings in aperture card and electronic file formats.
- (a) Three sets of the approved Exhibit drawings shall be reproduced on silver or gelatin 35mm microfilm. All microfilm shall be mounted on type D (3-1/4" X 7-3/8") aperture cards. Prior to microfilming, the FERC Drawing Number (i.e., P-2801-1001 through P-2801-1006) shall be shown in the margin below the title block of the approved drawing. After mounting, the FERC Drawing Number shall be typed on the upper right corner of each aperture card. Additionally, the Project Number, FERC Exhibit (i.e., F-1, G-1, etc.), Drawing Title, and date of this license shall be typed on the upper left corner of each aperture card.

Two of the sets of aperture cards shall be filed with the Secretary of the Commission, ATTN: OEP/DHAC. The third set shall be filed with the Commission's Division of Dam Safety and Inspections, New York Regional Office.

(b) The licensee shall file two separate sets of Exhibit drawings in electronic raster format with the Secretary of the Commission, ATTN: OEP/DHAC. A third set shall be filed with the Commission's Division of Dam Safety and Inspections New York Regional Office. Exhibit F drawings must be indentified as (CEII) material under 18 CFR §388.113(c). Each drawing must be a separate electronic file, and the file name shall include: FERC Project-Drawing Number, FERC Exhibit, Drawing Title, date of this license, and file extension in the following format [P-2801-1001, F-1, Description, MM-DD-YYYY.TIF]. Electronic drawings shall meet the following format specification:

IMAGERY – black and white raster file FILE TYPE – Tagged Image File Format, (TIFF) CCITT Group 4 RESOLUTION – 300 dpi desired, (200 dpi min) DRAWING SIZE FORMAT – 24" X 36" (min), 28" X 40" (max) FILE SIZE – less than 1 MB desired

Each Exhibit G drawing that includes the project boundary must contain a minimum of three known reference points (i.e., latitude and longitude coordinates, or state plane coordinates). The points must be arranged in a triangular format for GIS geo-referencing the project boundary drawing to the polygon data, and must be based on a standard map coordinate system. The spatial reference for the drawing (i.e., map projection, map datum, and units of measurement) must be identified on the drawing and each reference point must be labeled. In addition, each project boundary drawing must be stamped by a registered land surveyor.

(c) The licensee shall file two separate sets of the project boundary data in a geo-referenced electronic file format (such as ArcView shape files, GeoMedia files, MapInfo files, or a similar GIS format) with the Secretary of the Commission, ATTN: OEP/DHAC. The filing shall include both polygon data and all reference points shown on the individual project boundary drawings. A single electronic boundary polygon data file is required for the project boundary. Depending on the electronic file format, the polygon and point data can be included in a single file with multiple layers. The geo-referenced electronic boundary data file must be positionally accurate to ± 40 feet in order to comply with National Map Accuracy Standards for maps at a 1:24,000 scale. The file name(s) shall include: FERC Project Number, data description, date of this license, and file extension in the following format [P-2801, boundary polygon/or point data, MM-DD-YYYY.SHP]. The data must be accompanied by a separate text file describing the spatial reference for the geo-referenced data: map projection used (i.e., UTM, State Plane, Decimal Degrees, etc.), the map datum (i.e., North American 27, North American 83, etc.), and the units of measurement (i.e., feet, meters, miles, etc.). The text file name shall include: FERC Project Number, data description, date of this license, and file extension in the following format [P-2801, project boundary metadata, MM-DD-YYYY.TXT].

<u>Article 301</u>. *Start of Construction*. The licensee shall commence construction of the new turbine generating unit and trash racks within two years of the effective date of this license and shall complete construction within four years thereafter.

Article 302. Cofferdam Construction Drawings. Before starting any construction, the licensee shall review and approve the design of contractor-designed cofferdams and deep excavations. At least 30 days before starting construction of the cofferdams, the licensee shall submit one copy to the Commission's New York Regional Engineer and two copies to the Commission (one of these shall be a courtesy copy to the Director, Division of Dam Safety and Inspections), of the approved cofferdam construction drawings and specifications and the letters of approval.

Article 303. Contract Plans and Specifications. At least 60 days prior to the start of any construction, the licensee shall submit one copy of its plans and specifications and supporting design document to the Commission's New York Regional Engineer, and two copies to the Commission (one of these shall be a courtesy copy to the Director, Division of Dam Safety and Inspections). The submittal to the Regional Engineer must also include as part of preconstruction requirements: a Quality Control and Inspection Program, Temporary Construction Emergency Action Plan, and Soil Erosion and Sediment Control Plan. The licensee shall not begin construction until the Regional Engineer has reviewed and commented on the plans and specifications, determined that all preconstruction requirements have been satisfied, and authorized start of construction.

Article 304. As-built Drawings. Within 90 days of completion of construction, the licensee shall file for Commission approval, revised Exhibits A, F, and G as applicable, to describe and show those facilities as built. A courtesy copy shall be filed with the Commission's New York Regional Engineer, the Director, Division of Dam Safety and Inspections, and the Director, Division of Hydropower Administration and Compliance.

<u>Article 401</u>. Commission Approval, Reporting, Notification, and Filing of Amendments Required by Mandatory Conditions.

(a) Requirement to file plans for Commission approval.

Various conditions of this license found in the Massachusetts Department of Environmental Protection's (DEP) certification (Appendix A) require the licensee to

prepare and implement plans in consultation with other entities for approval by Massachusetts DEP without prior Commission approval. Each such plan shall also be submitted to the Commission for approval. These plans are listed below:

Massachusetts DEP	Plan Name	Date Due to Commission
Condition No.		
19	Plan to monitor run-of-	Within 6 months of the
	river and flow releases	effective date of the
		license
20	Invasive species control	Within 6 months of the
	plan	effective date of the
		license
22	American eel passage	6 months prior to
	effectiveness monitoring	operating upstream eel
	plan	passage facilities
23	Downstream passage plan	Within 1 year of the
	for American eel	installation of upstream eel
		passage facilities
24	Upstream and	6 months prior to
	downstream effectiveness	operating the upstream and
	plan for anadromous fish	downstream anadromous
	passage	fish passage facilities

The licensee shall submit to the Commission documentation of its consultation, copies of comments and recommendations by consulted entities made in connection with each plan and a description of how each plan accommodates the comments and recommendations. The licensee shall allow a minimum of 30 days for the agencies to comment and to make recommendations before filing the plan with the Commission. If the licensee does not adopt a recommendation, the filing shall include the licensee's reasons, based on project-specific information. The Commission reserves the right to make changes to any plan or recommendation submitted. Upon Commission approval, each plan or recommended measure becomes a requirement of the license, and the licensee shall implement the plan or measure, including any changes required by the Commission.

(b) Requirement to Notify Commission of Planned and Unplanned Deviations from License Requirements.

Two certification conditions in Appendix A would allow the licensee to temporarily modify project operation under certain conditions. The Commission shall be notified prior to implementing such modifications, if possible, or in the event of an

emergency, as soon as possible, but no later than 10 days after each such incident. The Massachusetts DEP, Massachusetts Department of Fisheries and Wildlife, and the U.S. Fish and Wildlife Service shall also be notified prior to implementing any modifications to run-of-river operation or minimum flows required by certification conditions 14 and 15, respectively.

Massachusetts DEP	License Requirement
Condition No.	
14	Run-of-river operation
15	Minimum flows

(c) Requirement to File Amendment Applications.

The Massachusetts DEP's certification conditions noted below contemplate unspecified long-term changes to project operations or facilities for the purpose of mitigating environmental impacts. These changes may not be implemented without prior Commission authorization granted after the filing of an application to amend the license. These conditions are listed below.

Massachusetts DEP	Project Modification
Condition No.	
11	Unspecified modifications
12	Unspecified modifications

Article 402. Reservation of Authority to Prescribe Fishways. Authority is reserved to the Commission to require the licensee to construct, operate, and maintain, or provide for the construction, operation, and maintenance of such fishways as may be prescribed by the Secretary of the Interior pursuant to section 18 of the Federal Power Act.

Article 403. Trash racks. The licensee, at least 90 days before the start of any land-clearing or land-disturbing activities at the project site associated with installation of the minimum flow turbine-generator, shall file, for Commission approval, detailed design drawings of the trash racks to be installed at the intake for the minimum flow turbine unit as required by condition 21 of the water quality certification in Appendix A to reduce impingement and entrainment of fish together with a schedule to construct and install the trash racks.

The filing shall include, but not be limited to: (1) specifications of the size of the openings between the trash rack bars not to exceed 1 inch; (2) the maximum intake

approach velocity not to exceed 2 feet per second; and (3) a description of the methods and a schedule for installing the trash racks.

The licensee shall prepare the aforementioned drawings and schedule after consultation with the Massachusetts Department of Environmental Protection, Massachusetts Department of Fisheries and Wildlife, and the U.S. Fish and Wildlife Service. The licensee shall include with the drawings and schedule documentation of consultation, copies of comments and recommendations on the drawings and schedule after they have been prepared and provided to the agencies, and specific descriptions of how the agencies' comments are accommodated by the licensee's facilities. The licensee shall allow a minimum of 30 days for the agencies to comment and to make recommendations before filing the drawings and schedule with the Commission. If the licensee does not adopt a recommendation, the filing shall include the licensee's reasons, based on site-specific information.

The Commission reserves the right to require changes to the proposed facilities and schedule. Construction of the facilities shall not begin until the licensee is notified by the Commission that the filing is approved. Upon Commission approval, the licensee shall implement the proposal, including any changes required by the Commission

Article 404. *Upstream eel passage*. The licensee, at least 90 days before the start of any land-clearing or land-disturbing activities at the project site that involve eel passage, shall file, for Commission approval, detailed design drawings of the upstream eel passage facilities required by condition 22 of the water quality certification together with a construction and installation schedule.

The licensee shall prepare the aforementioned drawings and schedule after consultation with the Massachusetts Department of Environmental Protection, Massachusetts Department of Fisheries and Wildlife, and the U.S. Fish and Wildlife Service. The licensee shall include with the drawings and schedule documentation of consultation, copies of comments and recommendations on the drawings and schedule after they have been prepared and provided to the agencies, and specific descriptions of how the agencies' comments are accommodated by the licensee's facilities. The licensee shall allow a minimum of 30 days for the agencies to comment and to make recommendations before filing the drawings and schedule with the Commission. If the licensee does not adopt a recommendation, the filing shall include the licensee's reasons, based on site-specific information.

The Commission reserves the right to require changes to the proposed facilities and schedule. Construction of the facilities shall not begin until the licensee is notified

by the Commission that the filing is approved. Upon Commission approval, the licensee shall implement the proposal, including any changes required by the Commission.

Article 405. Upstream and downstream anadromous fish passage. The licensee, at least 90 days before the start of any land-clearing or land-disturbing activities at the project site that involve anadromous fish passage, shall file, for Commission approval, detailed design drawings of the upstream and downstream anadromous fish passage facilities required by condition 24 of the water quality certification together with a construction and installation schedule.

The licensee shall prepare the aforementioned drawings and schedule after consultation with the Massachusetts Department of Environmental Protection, Massachusetts Department of Fisheries and Wildlife, and the U.S. Fish and Wildlife Service. The licensee shall include with the drawings and schedule documentation of consultation, copies of comments and recommendations on the drawings and schedule after they have been prepared and provided to the agencies, and specific descriptions of how the agencies' comments are accommodated by the licensee's facilities. The licensee shall allow a minimum of 30 days for the agencies to comment and to make recommendations before filing the drawings and schedule with the Commission. If the licensee does not adopt a recommendation, the filing shall include the licensee's reasons, based on site-specific information.

The Commission reserves the right to require changes to the proposed facilities and schedule. Construction of the facilities shall not begin until the licensee is notified by the Commission that the filing is approved. Upon Commission approval, the licensee shall implement the proposal, including any changes required by the Commission.

The plan shall be consistent with, and if preferred, combined with the soil erosion and sediment control plan required by condition 9 of the water quality certification and Article 303.

Article 406. Recreation Plan. Within 6 months of the effective date of the license, the licensee shall file a recreation plan for the Glendale Project for Commission approval, which shall include:

(a) The recreation facilities proposed in the license application at pages 89 and 91 which include construction of: a canoe portage around the dam consisting of a new take-out located upstream of the dam on the right bank near the gatehouse; a portage trail that uses an existing access road and crosses the power canal at an existing bridge; a new stairway/ramp at the bypassed reach that will serve as a canoe put-in location; formal vehicular and pedestrian access to the Glendale Dam area and bypassed reach via a

parking area at an existing access road adjacent to the new portage trail and bypassed reach put-it; and signage and safety fencing as needed;

- (b) Conceptual drawings of the facilities described in item (a) above; and
- (c) An operation and maintenance component that specifies dates and times the recreation facilities will be available for public use.

The licensee shall prepare the recreation plan after consultation with the Massachusetts Department of Fisheries and Wildlife and the Housatonic Valley Association. The licensee shall include with the recreation plan an implementation schedule, documentation of consultation, copies of comments and recommendations on the completed recreation plan after it has been prepared and provided to the agencies, and specific descriptions of how the agencies comments are accommodated by the plan. The licensee shall allow a minimum of 30 days for the agencies to comment and make recommendations before filing the plan with the Commission. If the licensee does not adopt a recommendation, the filing shall include the licensees' reasons, based on project-specific information.

The Commission reserves the right to require changes to the recreation plan. Implementation of the recreation plan shall not begin until after the plan is approved by the Commission. Upon Commission approval, the licensee shall implement the measures specified in the plan, including any changes required by the Commission.

Article 407. Historic Properties Management Plan. Within six months of the effective date of the license, the licensee shall file, for Commission approval, an historic properties management plan. The plan shall include, at a minimum:

- (a) The Historic Overview Report, Glendale Hydroelectric Plant, prepared by Hartgen Archeological Associates, Inc, January 2009;
- (b) Photocopies of information showing the existing and proposed project facilities (Exhibits F and G drawings) already prepared for the license application;
- (c) A procedure to submit to the Commission, Massachusetts State Historic Preservation Office, and Stockbridge Historical Commission any plans for future new project construction, demolition, or rehabilitation for review and comment pursuant to 36 C.F.R. Part 800 (2009) prior to beginning the undertaking;

- (d) A statement that new construction or rehabilitation within the project should be completed in accordance with the Secretary of the Interior's Standards for Rehabilitation, 36 C.F.R. Part 67 (2009); and
- (e) A stipulation that if the licensee discovers previously unidentified archeological or historic properties during the course of constructing or developing project works or other facilities at the project, the licensee shall stop all land-clearing and land-disturbing activities in the vicinity of the properties and consult with the SHPO.

The licensee shall prepare the plan after consultation with the Massachusetts State Historic Preservation Office and Stockbridge Historic Commission. The licensee shall include with the plan documentation of agency consultation, copies of comments and recommendations on the completed plan after it has prepared and provided to the agencies, and specific descriptions of how the agencies comments are accommodated by the plan. The licensee shall allow a minimum of 30 days for the resource agencies to comment and to make recommendations before filing the final plan with the Commission. If the licensee does not adopt a recommendation, the filing shall include the licensee's reasons, based on project-specific information.

The Commission reserves the right to require changes to the plan. No ground-disturbing or land-clearing activities shall begin until after the licensee is notified by the Commission that the plan is approved. Upon Commission approval, the licensee shall implement the measures specified in the plan, including any changes required by the Commission.

Article 408. Use and Occupancy. (a) In accordance with the provisions of this article, the licensee shall have the authority to grant permission for certain types of use and occupancy of project lands and waters and to convey certain interests in project lands and waters for certain types of use and occupancy, without prior Commission approval. The licensee may exercise the authority only if the proposed use and occupancy is consistent with the purposes of protecting and enhancing the scenic, recreational, and other environmental values of the project. For those purposes, the licensee shall also have continuing responsibility to supervise and control the use and occupancies for which it grants permission, and to monitor the use of, and ensure compliance with the covenants of the instrument of conveyance for, any interests that it has conveyed, under this article. If a permitted use and occupancy violates any condition of this article or any other condition imposed by the licensee for protection and enhancement of the project's scenic, recreational, or other environmental values, or if a covenant of a conveyance made under the authority of this article is violated, the licensee shall take any lawful action necessary to correct the violation. For a permitted use or occupancy, that action includes, if

necessary, canceling the permission to use and occupy the project lands and waters and requiring the removal of any non-complying structures and facilities.

- (b) The type of use and occupancy of project lands and waters for which the licensee may grant permission without prior Commission approval are: (1) landscape plantings; (2) non-commercial piers, landings, boat docks, or similar structures and facilities that can accommodate no more than 10 water craft at a time and where said facility is intended to serve single-family type dwellings; (3) embankments, bulkheads, retaining walls, or similar structures for erosion control to protect the existing shoreline; and (4) food plots and other wildlife enhancement. To the extent feasible and desirable to protect and enhance the project's scenic, recreational, and other environmental values, the licensee shall require multiple use and occupancy of facilities for access to project lands or waters. The licensee shall also ensure, to the satisfaction of the Commission's authorized representative, that the use and occupancies for which it grants permission are maintained in good repair and comply with applicable state and local health and safety requirements. Before granting permission for construction of bulkheads or retaining walls, the licensee shall: (1) inspect the site of the proposed construction, (2) consider whether the planting of vegetation or the use of riprap would be adequate to control erosion at the site, and (3) determine that the proposed construction is needed and would not change the basic contour of the impoundment shoreline. To implement this paragraph (b), the licensee may, among other things, establish a program for issuing permits for the specified types of use and occupancy of project lands and waters, which may be subject to the payment of a reasonable fee to cover the licensee's costs of administering the permit program. The Commission reserves the right to require the licensee to file a description of its standards, guidelines, and procedures for implementing this paragraph (b) and to require modification of those standards, guidelines, or procedures.
- (c) The licensee may convey easements or rights-of-way across, or leases of project lands for: (1) replacement, expansion, realignment, or maintenance of bridges or roads where all necessary state and federal approvals have been obtained; (2) storm drains and water mains; (3) sewers that do not discharge into project waters; (4) minor access roads; (5) telephone, gas, and electric utility distribution lines; (6) non-project overhead electric transmission lines that do not require erection of support structures within the project boundary; (7) submarine, overhead, or underground major telephone distribution cables or major electric distribution lines (69-kV or less); and (8) water intake or pumping facilities that do not extract more than one million gallons per day from a project impoundment. No later than January 31 of each year, the licensee shall file three copies of a report briefly describing for each conveyance made under this paragraph (c) during the prior calendar year, the type of interest conveyed, the location of

the lands subject to the conveyance, and the nature of the use for which the interest was conveyed.

- (d) The licensee may convey fee title to, easements or rights-of-way across, or leases of project lands for: (1) construction of new bridges or roads for which all necessary state and federal approvals have been obtained; (2) sewer or effluent lines that discharge into project waters, for which all necessary federal and state water quality certification or permits have been obtained; (3) other pipelines that cross project lands or waters but do not discharge into project waters; (4) non-project overhead electric transmission lines that require erection of support structures within the project boundary, for which all necessary federal and state approvals have been obtained; (5) private or public marinas that can accommodate no more than 10 water craft at a time and are located at least one-half mile (measured over project waters) from any other private or public marina; (6) recreational development consistent with an approved report on recreational resources of an Exhibit E; and (7) other uses, if: (i) the amount of land conveyed for a particular use is five acres or less; (ii) all of the land conveyed is located at least 75 feet, measured horizontally, from project waters at normal surface elevation; and (iii) no more than 50 total acres of project lands for each project development are conveyed under this clause (d)(7) in any calendar year. At least 60 days before conveying any interest in project lands under this paragraph (d), the licensee must submit a letter to the Director, Office of Energy Projects, stating its intent to convey the interest and briefly describing the type of interest and location of the lands to be conveyed (a marked Exhibit G map may be used), the nature of the proposed use, the identity of any federal or state agency official consulted, and any federal or state approvals required for the proposed use. Unless the Director, within 45 days from the filing date, requires the licensee to file an application for prior approval, the licensee may convey the intended interest at the end of that period.
- (e) The following additional conditions apply to any intended conveyance under paragraph (c) or (d) of this article:
- (1) Before conveying the interest, the licensee shall consult with federal and state fish and wildlife or recreation agencies, as appropriate, and the State Historic Preservation Officer.
- (2) Before conveying the interest, the licensee shall determine that the proposed use of the lands to be conveyed is not inconsistent with any approved report on recreational resources of an Exhibit E; or, if the project does not have an approved report on recreational resources, that the lands to be conveyed do not have recreational value.

- (3) The instrument of conveyance must include the following covenants running with the land: (i) the use of the lands conveyed shall not endanger health, create a nuisance, or otherwise be incompatible with overall project recreational use; and (ii) the grantee shall take all reasonable precautions to ensure that the construction, operation, and maintenance of structures or facilities on the conveyed lands will occur in a manner that will protect the scenic, recreational, and environmental values of the project.
- (4) The Commission reserves the right to require the licensee to take reasonable remedial action to correct any violation of the terms and conditions of this article, for the protection and enhancement of the project's scenic, recreational, and other environmental values.
- (f) The conveyance of an interest in project lands under this article does not in itself change the project boundaries. The project boundaries may be changed to exclude land conveyed under this article only upon approval of revised Exhibit G drawings (project boundary maps) reflecting exclusion of that land. Lands conveyed under this article will be excluded from the project only upon a determination that the lands are not necessary for project purposes, such as operation and maintenance, flowage, recreation, public access, protection of environmental resources, and shoreline control, including shoreline aesthetic values. Absent extraordinary circumstances, proposals to exclude lands conveyed under this article from the project shall be consolidated for consideration when revised Exhibit G drawings would be filed for approval for other purposes.
- (g) The authority granted to the licensee under this article shall not apply to any part of the public lands and reservations of the United States included within the project boundary.
- (G) The licensee shall serve copies of any Commission filing required by this order on any entity specified in this order to be consulted on matters related to the filing. Proof of service on these entities must accompany the filing with the Commission.

(H) This order constitutes final agency action. Any party may file a request for rehearing of this order within 30 days from the date of its issuance, as provided in section 313(a) of the FPA, 16 U.S.C. § 8251 (2006), and section 385.713 of the Commission's regulations, 18 C.F.R. § 385.713 (2009). The filing of a request for rehearing does not operate as a stay of the effective date of this license or of any other date specified in this order. The licensee's failure to file a request for rehearing shall constitute acceptance of this order.

Jeff C. Wright
Director
Office of Energy Projects

Form L-9 (October, 1975)

FEDERAL ENERGY REGULATORY COMMISSION TERMS AND CONDITIONS OF LICENSE FOR CONSTRUCTED MINOR PROJECT AFFECTING NAVIGABLE WATERS OF THE UNITED STATES

<u>Article 1</u>. The entire project, as described in this order of the Commission, shall be subject to all of the provisions, terms, and conditions of the license.

Article 2. No substantial change shall be made in the maps, plans, specifications, and statements described and designated as exhibits and approved by the Commission in its order as a part of the license until such change shall have been approved by the Commission: Provided, however, That if the Licensee or the Commission deems it necessary or desirable that said approved exhibits, or any of them, be changed, there shall be submitted to the Commission for approval a revised, or additional exhibit or exhibits covering the proposed changes which, upon approval by the Commission, shall become a part of the license and shall supersede, in whole or in part, such exhibit or exhibits theretofore made a part of the license as may be specified by the Commission.

Article 3. The project area and project works shall be in substantial conformity with the approved exhibits referred to in Article 2 herein or as changed in accordance with the provisions of said article. Except when emergency shall require for the protection of navigation, life, health, or property, there shall not be made without prior approval of the Commission any substantial alteration or addition not in conformity with the approved plans to any dam or other project works under the license or any substantial use of project lands and waters not authorized herein; and any emergency alteration, addition, or use so made shall thereafter be subject to such modification and change as the Commission may direct. Minor changes in project works, or in uses of project lands and waters, or divergence from such approved exhibits may be made if such changes will not result in a decrease in efficiency, in a material increase in cost, in an adverse environmental impact, or in impairment of the general scheme of development; but any of such minor changes made without the prior approval of the Commission, which in its judgment have produced or will produce any of such results, shall be subject to such alteration as the Commission may direct.

Article 4. The project, including its operation and maintenance and any work incidental to additions or alterations authorized by the Commission, whether or not conducted upon lands of the United States, shall be subject to the inspection and supervision of the Regional Engineer, Federal Energy Regulatory Commission, in the

region wherein the project is located, or of such other officer or agent as the Commission may designate, who shall be the authorized representative of the Commission for such purposes. The Licensee shall cooperate fully with said representative and shall furnish him such information as he may require concerning the operation and maintenance of the project, and any such alterations thereto, and shall notify him of the date upon which work with respect to any alteration will begin, as far in advance thereof as said representative may reasonably specify, and shall notify him promptly in writing of any suspension of work for a period of more than one week, and of its resumption and completion. The Licensee shall submit to said representative a detailed program of inspection by the Licensee that will provide for an adequate and qualified inspection force for construction of any such alterations to the project. Construction of said alterations or any feature thereof shall not be initiated until the program of inspection for the alterations or any feature thereof has been approved by said representative. The Licensee shall allow said representative and other officers or employees of the United States, showing proper credentials, free and unrestricted access to, through, and across the project lands and project works in the performance of their official duties. The Licensee shall comply with such rules and regulations of general or special applicability as the Commission may prescribe from time to time for the protection of life, health, or property.

Article 5. The Licensee, within five years from the date of issuance of the license, shall acquire title in fee or the right to use in perpetuity all lands, other than lands of the United States, necessary or appropriate for the construction maintenance, and operation of the project. The Licensee or its successors and assigns shall, during the period of the license, retain the possession of all project property covered by the license as issued or as later amended, including the project area, the project works, and all franchises, easements, water rights, and rights or occupancy and use; and none of such properties shall be voluntarily sold, leased, transferred, abandoned, or otherwise disposed of without the prior written approval of the Commission, except that the Licensee may lease or otherwise dispose of interests in project lands or property without specific written approval of the Commission pursuant to the then current regulations of the Commission. The provisions of this article are not intended to prevent the abandonment or the retirement from service of structures, equipment, or other project works in connection with replacements thereof when they become obsolete, inadequate, or inefficient for further service due to wear and tear; and mortgage or trust deeds or judicial sales made thereunder, or tax sales, shall not be deemed voluntary transfers within the meaning of this article.

<u>Article 6</u>. The Licensee shall install and thereafter maintain gages and stream-gaging stations for the purpose of determining the stage and flow of the stream or streams on which the project is located, the amount of water held in and withdrawn from storage,

and the effective head on the turbines; shall provide for the required reading of such gages and for the adequate rating of such stations; and shall install and maintain standard meters adequate for the determination of the amount of electric energy generated by the project works. The number, character, and location of gages, meters, or other measuring devices, and the method of operation thereof, shall at all times be satisfactory to the Commission or its authorized representative. The Commission reserves the right, after notice and opportunity for hearing, to require such alterations in the number, character, and location of gages, meters, or other measuring devices, and the method of operation thereof, as are necessary to secure adequate determinations. The installation of gages, the rating of said stream or streams, and the determination of the flow thereof, shall be under the supervision of, or in cooperation with, the District Engineer of the United States Geological Survey having charge of stream-gaging operations in the region of the project, and the Licensee shall advance to the United States Geological Survey the amount of funds estimated to be necessary for such supervision, or cooperation for such periods as may be mutually agreed upon. The Licensee shall keep accurate and sufficient records of the foregoing determinations to the satisfaction of the Commission, and shall make return of such records annually at such time and in such form as the Commission may prescribe.

Article 7. The Licensee shall, after notice and opportunity for hearing, install additional capacity or make other changes in the project as directed by the Commission, to the extent that it is economically sound and in the public interest to do so.

Article 8. The Licensee shall, after notice and opportunity for hearing, coordinate the operation of the project, electrically and hydraulically, with such other projects or power systems and in such manner as the Commission may direct in the interest of power and other beneficial public uses of water resources, and on such conditions concerning the equitable sharing of benefits by the Licensee as the Commission may order.

Article 9. The United States specifically retains and safeguards the right to use water in such amount, to be determined by the Secretary of the Army, as may be necessary for the purposes of navigation on the navigable waterway affected; and the operations of the Licensee, so far as they affect the use, storage and discharge from storage of waters affected by the license, shall at all times be controlled by such reasonable rules and regulations as the Secretary of the Army may prescribe in the interest of navigation, and as the Commission may prescribe for the protection of life, health, and property, and in the interest of the fullest practicable conservation and utilization of such waters for power purposes and for other beneficial public uses, including recreational purposes, and the Licensee shall release water from the project reservoir at such rate in cubic feet per second, or such volume in acre-feet per

specified period of time, as the Secretary of the Army may prescribe in the interest of navigation, or as the Commission may prescribe for the other purposes hereinbefore mentioned.

Article 10. On the application of any person, association, corporation, Federal agency, State or municipality, the Licensee shall permit such reasonable use of its reservoir or other project properties, including works, lands and water rights, or parts thereof, as may be ordered by the Commission, after notice and opportunity for hearing, in the interests of comprehensive development of the waterway or waterways involved and the conservation and utilization of the water resources of the region for water supply or for the purposes of steam-electric, irrigation, industrial, municipal or similar uses. The Licensee shall receive reasonable compensation for use of its reservoir or other project properties or parts thereof for such purposes, to include at least full reimbursement for any damages or expenses which the joint use causes the Licensee to incur. Any such compensation shall be fixed by the Commission either by approval of an agreement between the Licensee and the party or parties benefiting or after notice and opportunity for hearing. Applications shall contain information in sufficient detail to afford a full understanding of the proposed use, including satisfactory evidence that the applicant possesses necessary water rights pursuant to applicable State law, or a showing of cause why such evidence cannot concurrently be submitted, and a statement as to the relationship of the proposed use to any State or municipal plans or orders which may have been adopted with respect to the use of such waters.

Article 11. The Licensee shall, for the conservation and development of fish and wildlife resources, construct, maintain, and operate, or arrange for the construction, maintenance, and operation of such reasonable facilities, and comply with such reasonable modifications of the project structures and operation, as may be ordered by the Commission upon its own motion or upon the recommendation of the Secretary of the Interior or the fish and wildlife agency or agencies of any State in which the project or a part thereof is located, after notice and opportunity for hearing.

Article 12. Whenever the United States shall desire, in connection with the project, to construct fish and wildlife facilities or to improve the existing fish and wildlife facilities at its own expense, the Licensee shall permit the United States or its designated agency to use, free of cost, such of the Licensee's lands and interests in lands, reservoirs, waterways and project works as may be reasonably required to complete such facilities or such improvements thereof. In addition, after notice and opportunity for hearing, the Licensee shall modify the project operation as may be reasonably prescribed by the Commission in order to permit the maintenance and operation of the fish and wildlife facilities constructed or improved by the United States under the provisions of this article. This article shall not be interpreted to place any obligation on the United States to

construct or improve fish and wildlife facilities or to relieve the Licensee of any obligation under this license.

Article 13. So far as is consistent with proper operation of the project, the Licensee shall allow the public free access, to a reasonable extent, to project waters and adjacent project lands owned by the Licensee for the purpose of full public utilization of such lands and waters for navigation and for outdoor recreational purposes, including fishing and hunting: Provided, That the Licensee may reserve from public access such portions of the project waters, adjacent lands, and project facilities as may be necessary for the protection of life, health, and property.

Article 14. In the construction, maintenance, or operation of the project, the Licensee shall be responsible for, and shall take reasonable measures to prevent, soil erosion on lands adjacent to streams or other waters, stream sedimentation, and any form of water or air pollution. The Commission, upon the request or upon its own motion, may order the Licensee to take such measures as the Commission finds to be necessary for these purposes, after notice and opportunity for hearing.

Article 15. The Licensee shall clear and keep clear to an adequate width lands along open conduits and shall dispose of all temporary structures, unused timber, brush, refuse, or other material unnecessary for the purposes of the project which results from the clearing of lands or from the maintenance or alteration of the project works. In addition, all trees along the periphery of project reservoirs which may die during operations of the project shall be removed. All clearing of the lands and disposal of the unnecessary material shall be done with due diligence and to the satisfaction of the authorized representative of the Commission and in accordance with appropriate Federal, State, and local statutes and regulations.

Article 16. Material may be dredged or excavated from, or placed as fill in, project lands and/or waters only in the prosecution of work specifically authorized under the license; in the maintenance of the project; or after obtaining Commission approval, as appropriate. Any such material shall be removed and/or deposited in such manner as to reasonably preserve the environmental values of the project and so as not to interfere with traffic on land or water. Dredging and filling in a navigable water of the United States shall also be done to the satisfaction of the District Engineer, Department of the Army, in charge of the locality.

<u>Article 17</u>. If the Licensee shall cause or suffer essential project property to be removed or destroyed or to become unfit for use, without adequate replacement, or shall abandon or discontinue good faith operation of the project or refuse or neglect to comply

with the terms of the license and the lawful orders of the Commission mailed to the record address of the Licensee or its agent, the Commission will deem it to be the intent of the Licensee to surrender the license. The Commission, after notice and opportunity for hearing, may require the Licensee to remove any or all structures, equipment and power lines within the project boundary and to take any such other action necessary to restore the project waters, lands, and facilities remaining within the project boundary to a condition satisfactory to the United States agency having jurisdiction over its lands or the Commission's authorized representative, as appropriate, or to provide for the continued operation and maintenance of nonpower facilities and fulfill such other obligations under the license as the Commission may prescribe. In addition, the Commission in its discretion, after notice and opportunity for hearing, may also agree to the surrender of the license when the Commission, for the reasons recited herein, deems it to be the intent of the Licensee to surrender the license.

Article 18. The right of the Licensee and of its successors and assigns to use or occupy waters over which the United States has jurisdiction, or lands of the United States under the license, for the purpose of maintaining the project works or otherwise, shall absolutely cease at the end of the license period, unless the Licensee has obtained a new license pursuant to the then existing laws and regulations, or an annual license under the terms and conditions of this license.

Article 19. The terms and conditions expressly set forth in the license shall not be construed as impairing any terms and conditions of the Federal Power Act which are not expressly set forth herein.

APPENDIX A

COMMONWEALTH OF MASSACHUSETTS DEPARTMENT OF ENVIRONMENTAL PROTECTION WATER QUALITY CERTIFICATION CONDITIONS ISSUED JULY 8, 2009

- 1. Massachusetts DEP (MassDEP) APPROVES the application of Littleville Power Company, Inc. and CERTIFIES that there is reasonable assurance that Glendale Hydroelectric Project, as described above and subject to the conditions below, can be operated in compliance with the applicable provisions of § 303 of the Federal Act, 33 U.S.C. § 1313.
- 2. This Water Quality Certification shall become a condition on the FERC License issued to the Project Owner.
- 3. This Certification shall become effective on the date that the license issued for the Project by FERC becomes effective.
- 4. The state and federal resource agencies referred to in this Certification include the MassDEP, the Massachusetts Department of Fisheries and Wildlife (MADFW), and the U.S. Department of the Interior, Fish and Wildlife Service (USFWS).
- 5. The Project shall be operated by the Project Owner in accordance with the conditions contained in this Certification and the information included in the FERC application dated October 2007. Any modifications made to the FERC application during the initial licensing process that would have a significant or material effect on the conclusions or conditions contained in this Certification, as determined by MassDFP, must be submitted to MassDEP for prior review and approval.
- 6. The Project shall be operated to maintain the existing and designated uses of the Housatonic River as outlined in the Standards at 314 CMR 4.00, and to maintain an integrated and diverse biological community within the Housatonic River.
- 7. The Project Owner shall obtain and comply with all applicable federal, state and local licenses, permits, authorizations, conditions, agreements and orders required for the operation of the project in accordance with the terms of this Certification.
- 8. All activities shall be conducted in compliance with the Massachusetts Wetlands Protection Act, including the Rivers Protection Act, G.L. Chapter 131, Section 40, and

the implementing regulations at 310 CMR 10.00. A Water Quality Certification shall be obtained from MassDEP prior to initiating any activity that will cause a discharge subject to § 404 of the federal Act, 33 U.S.C., § 1344. The Project Owner shall comply with all applicable provisions of the Public Waterfront Act, G.L. c. 91, and the implementing regulations at 310 CMR 9.00.

- 9. Prior to beginning any construction on the Project, the Project Owner shall submit a plan to monitor and control erosion during construction activities to keep impacted waters free from turbidity in concentrations that are aesthetically objectionable or would impair any designated use(s) of such waters. The Project Owner shall implement the plan as approved by MassDEP.
- 10. All construction, maintenance and repair activities, including disposal of debris and removal of sediments in impounded areas, shall be conducted in a manner so as not to impair water quality, and pursuant to and in compliance with any required approvals.
- 11. Any proposed change to the Project that MassDEP determines would have a significant or material effect on the findings, conclusions, or conditions of this Certification, including Project operation, shall be submitted to MassDEP for prior review and approval.
- 12. MassDEP may request, at any time during which this Certification is in effect, that FERC reopen the license to make modifications MassDEP deems necessary to maintain compliance with the Standards at 314 CMR 4.00, or other appropriate requirements of state law.
- 13. MassDEP reserves the right to add and alter the terms and conditions of this Certification when authorized by law, and as it deems appropriate to carry out its responsibilities during the life of the Project with respect to water quality and the protection of the existing and designated uses of the waters of the Commonwealth.
- 14. The Project Owner shall operate the project in a run-of-river mode such that inflow to the project equals outflow from the project on an instantaneous basis and fluctuations of the head pond water level are minimized. This operating regime may be temporarily modified by approved maintenance activities, agreement between the Project Owner and appropriate state and/or federal resource agencies, or by extreme hydrologic conditions or emergency electrical system conditions, as these terms are defined below.
- 15. The Project Owner shall release to the project bypass reach a continuous minimum flow of 90 cfs, or inflow, if less, for the protection and enhancement of fish and aquatic life habitat. Minimum flows may be temporarily modified by approved maintenance

activities, by agreement between the Project Owner and appropriate state and federal resource agencies, or by extreme hydrologic conditions or emergency electrical system conditions, as these terms are defined below.

- 16. "Extreme Hydrologic Conditions" signifies the occurrence of events beyond the Project Owner's control including without limitation, abnormal precipitation, extreme runoff, flood conditions, ice conditions or other hydrologic conditions which render the operational restrictions and requirements contained within this Certification impossible to achieve, or are inconsistent with the safe operation of the Project.
- 17. "Emergency Electrical System Conditions" signifies operating emergencies beyond the Project Owner's control which require changes in flow regimes to eliminate such emergencies including without limitation, equipment failure or other abnormal temporary operating condition, generating unit operation or third-party mandated interruptions under power supply emergencies, and orders from local, state or federal law enforcement or public safety authorities.
- 18. During refilling of the project reservoir after dam maintenance or emergency drawdown, the Project Owner shall operate the project such that 90% of inflow to the project is released below the project and the impoundment is refilled on the remaining 10% of inflow.
- 19. Within three months of completion of turbine installation at the dam, or upon such other schedule established by FERC, the Project Owner shall, submit a plan for monitoring run-of-river operation and flow releases from the Project to MassDEP for approval. The plan shall include: a description and design of the mechanisms and structures that will be used; a description of periodic maintenance and/or calibration that will be conducted to ensure these mechanisms and structures work properly; a description of the method used to record project operation data for verification of proper operations and minimum flow releases; and a description of the manner in which data will be maintained for inspection by MassDEP and the state and federal resource agencies. The Project Owner shall consult with the state and federal resource agencies in developing these plans, shall respond to all agency comments, and shall include agency comment letters when submitting the plans to MassDEP for approval. The Project Owner shall provide the state and federal resource agencies with at least thirty days to respond to a draft plan before it is submitted to MassDEP for approval. The Project Owner shall implement the plan as approved by MassDEP.
- 20. Within six months of the effective date of this Certification, or upon such other schedule established by FERC, the Project Owner shall submit to MassDEP for approval, an Invasive Species Control Plan (ISCP). The plan shall include a schedule for regularly

monitoring invasive species within the project area, including without limitation zebra mussel and water chestnut. The plan shall also identify methods used to control selected species. The Project Owner shall consult with the state and federal resource agencies and in developing the ISCP, shall respond to all agency comments, and shall include agency comment letters when submitting the plan to MassDEP for approval. The Project Owner shall provide the resource agencies with at least thirty days to respond to a draft plan before submission to MassDEP for approval. The Project Owner shall implement the plan as approved by MassDEP.

- 21. Within one year of the effective date of this Certification, or upon such other schedule established by FERC, the Project Owner shall install flu-depth, one inch clear trash racks with velocities less than or equal to two feet per second (<2 fps) at the intakes to the main and minimum flow units to reduce impingement and entrainment of fish at the Project.
- 22. The Project Owner shall, in a manner approved by MassDEP after consultation with the state and federal resource agencies, design, construct, operate, and maintain upstream eel passage facilities within one year of the installation of upstream eel passage facilities at the Risingdale Dam downstream of the Project. Six months prior to initiating operation of these facilities, the Project Owner shall, after consultation with the state and federal resource agencies, submit to MassDEP for approval an American eel passage effectiveness monitoring plan. The Project Owner shall implement the plan as approved by MassDEP. The schedule and other requirements of this condition may be amended with the mutual written agreement of the Project Owner and MassDEP.
- 23. Within one year of the installation of upstream eel passage facilities, the Project Owner shall submit to MassDEP for approval, a plan for providing safe downstream passage for American eels. The Project Owner shall implement the plan as approved by MassDEP.
- 24. The Project Owner shall, in a manner approved by MassDEP after consultation with the state and federal resource agencies, design, construct, operate, and maintain upstream and downstream anadromous fish passage facilities within one year of the installation of upstream and downstream anadromous fish passage facilities at the Risingdale Dam. Six months prior to initiating operation of these facilities, the Project Owner shall, after consultation with the state and federal resource agencies, submit to MassDEP for approval an upstream and downstream anadromous fish passage effectiveness monitoring plan. The Project Owner shall implement the plan as approved by MassDEP. The schedule and other requirements of this condition may be amended with the mutual written agreement of the Project Owner and MassDEP.

- 25. The Project Owner shall allow any employee, agent, consultant, contractor or authorized representative of MassDEP or MADFW to enter the facilities in order to assess compliance with the terms and conditions of this Certification including, but not limited to, entry for the purposes of: (i) investigating, sampling, inspecting, or photocopying documents or other writings, conditions, equipment, practices or property; (ii) interviewing facility personnel and contractors; (iii) making records of field activities; and (iv) observing any activities undertaken at the facilities under any of the provisions of this Certification.
- 26. If any event occurs which delays or will delay the Project Owner's performance of work beyond a deadline established by or pursuant to this Certification, which event was beyond the reasonable control and without the fault of the Project Owner or any person or entity subject to the Project Owner's control, and which event could not have been prevented or avoided by the exercise of due care, foresight, or due diligence on the part of the Project Owner (a "force majeure event"), then the time for performance shall be extended for an appropriate period of time, as determined by MassDEP in its sole discretion. The Project Owner shall bear the burden of demonstrating that a force majeure event has occurred or will occur, and that the delay was beyond the reasonable control and without the fault of the Project Owner. Such an extension of time must be in writing to have effect.

27. Submissions under this Certification shall be sent to:

MassDEP: Massachusetts Department of Environmental Protection Division of Watershed Management Central Regional Office 627 Main Street Worcester, MA 01608 (508) 767-2854; FAX (508) 791-4131

> Massachusetts Department of Environmental Protection Bureau of Resource Protection Western Regional Office 436 Dwight Street Springfield, MA 01103 (413) 755-2138; FAX (413) 784-1149

MADFW: Massachusetts Division of Fisheries and Wildlife

Field Headquarters

Assistant Director of Fisheries

1 Rabbit Hill Road

Westborough, MA 01581

(508) 389-6331; FAX (508) 389-7890

USFWS: United States Fish and Wildlife Service

New England Field Office

Attention: Supervisor

70 Commercial Street, Suite 300

Concord, NH 03301-5087

(603) 223-2541; FAX (603) 223-0104



DEVAL L. PATRICK Governor

TIMOTHY P. MURRAY Lieutenant Governor

COMMONWEALTH OF MASSACHUSETTS

EXECUTIVE OFFICE OF ENERGY & ENVIRONMENTAL AFFAIRS

July 8, 2009

DEPARTMENT OF ENVIRONMENTAL PROTECTION

WESTERN REGIONAL OFFICE

436 Dwight Street • Springfield, Massachusetts 01103 • (413) 784-1100

IAN A. BOWLES Secretary

LAURIE BURT Commissioner

SENT ELECTRONICALLY

Mr. Kevin M. Webb Environmental Affairs Coordinator Littleville Power Company, Inc. One Tech Drive, Suite 220 Andover, MA 01810

Subject:

Water Quality Certification

Glendale Hydroelectric Project

FERC No 2801

Dear Mr. Webb:

As attached, please find a Section 401 Water Quality Certificate as issued by the Department for the above referenced project. Your attention is directed to each of the Certification Provisions contained in the Certificate. If you have any questions please contact Robert Kubit at 508-767-2854 at Robert.Kubit@ma.state.us or myself at 413-755-2138, Robert.J.McCollum@state.ma.us.

Sincerely,

Nobert J. McCollum

Program Chief

Wetlands & Waterways

DEP Western Region

W://RM/Stockbridge 401 WQC -r1 Letter

Enclosure

CC:

Stephen Pike
Mark Wamser/Gomez & Sullivan
Robert Kubit/MassDEP
Deirdre Desmond/MassDEP
Caleb Slater/MDFW
Thomas French/MDFW/NHESP
Russ Cohen/MRiverways
Stockbridge Conservation Commission
Sheply Evans
Rachael Fletcher/Housatonic River Restoration
Melissa Grader/USF&W
Vince Yearick/FERC
Kristen Murphy/FERC

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SENT ELECTRONICALLY
Water Quality Certification
Glendale Hydroelectric Project,
FERC License No. 2801-MA
BRPWW11

Applicant: Littleville Power Company, Inc.
Subsidiary of Enel North America, Inc.

INTRODUCTION

In October 2007, the Littleville Power Company, Inc., a subsidiary of Enel North America, Inc. (Project Owner), submitted to the Federal Energy Regulatory Commission (FERC) an Application for Subsequent License for the Glendale Hydroelectric Project, a Minor Project of less than 1.5 MW Capacity located at an existing dam on the Housatonic River in Stockbridge, MA (Project). The Project was self-certified as a Qualifying Facility pursuant to Section 210 of the Public Utilities Resource Protection Act (PURPA) on October 30, 2000, under FERC docket QF01-26. The Project was self-recertified as a Qualifying Facility on May 3, 2006. The Project Owner submitted an application for Water Quality Certification (Certification) to the Massachusetts Department of Environmental Protection (MassDEP) on November 15, 2007. On November 11, 2008, the Project Owner withdrew and resubmitted its Certification application.

PROJECT DESCRIPTION

The Glendale Hydroelectric Project is located within River Segment MA21-19 on the main stem Housatonic River in southwestern Massachusetts. 314 CMR 4.06 of the Massachusetts Surface Water Quality Standards (Standards) classifies this segment as a Class B, Warm Water Fishery. The Housatonic River at the Project has a drainage area of 272 square miles.

The topography of the basin is greatly varied. It is hilly and mountainous in the east, gives way to rolling upland toward the west, and the Massachusetts and New York border region contains a large valley running in a north-south direction. The river reach between the nearest upstream Willow Mill dam and the Glendale dam is predominantly flat water with some areas of quick water and riffles. It meanders through areas of marble-limestone bedrock, wide floodplains, wetlands, meadows, and a golf course. The banks along the Project impoundment, canal, and bypassed reach are relatively steep. The base

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of the adjacent Monument Mountain, located to the west of the tailrace, is a flatter area. Below the Project, the river is swift with lots of quick water and several mid-sized rapids. The proposed Project will provide additional recreational access through formal canoe portage facilities and parking.

There are several dams on the main stem of the Housatonic River used for hydropower generation, and others are used for flood storage or water withdrawal. The Willow Mill Project (FERC Project No. 2985), used for hydropower generation and water withdrawals for paper mill processing, is the next upstream dam located about 6 miles from the Project dam. The next downstream dam is at the Risingdale Impoundment, approximately 4 miles from the Project dam in Great Barrington, Massachusetts. On December 15, 2004 FERC granted a three year preliminary permit to the Fox River Paper Company to study the proposed 1,100-kilowatt Risingdale Project No. 12528.

As licensed by FERC, the existing Glendale Hydroelectric Facility consists of:

- 1. a 250 foot long, 30 foot high concrete gravity dam with a 182 foot long spillway;
- 2. a 23 acre reservoir;
- 3. two manually operated 10 foot by 10 foot intake gates;
- 4. a 1,500 foot long by 40 foot wide intake canal;
- 5. a fore bay structure and a 250 foot long, 12 foot diameter steel penstock;
- 6. a powerhouse containing four turbine generating units with a combined installed capacity of 1,140 kilowatts;
- 7. a 300 foot long tailrace channel;
- 8. a step-up transformer and an 83 foot long, 13.8 kilovolt transmission line; and
- 9. appurtenant facilities.

The Housatonic River reach that is bypassed by the Project (measured from the gatehouse to the tailrace channel) is about 2,500 feet long. The Project Owner's Application for Subsequent License proposes significant modifications to the existing hydroelectric facility. General and detailed Project location maps are attached to this Certification as "Attachment A". The proposed Project will include a new 165kW turbine unit in the waste gate slot located at the gatehouse adjacent to the dam. This unit would operate off of a proposed minimum bypassed reach flow of 90 cubic feet per second (cfs) or inflow. The Project will continue to be operated in a run-of-river mode using automatic pond level control. The Project boundary circumscribes the Project's impoundment at elevation 814.9 ft NGVD, or 4.0 ft above the normal pond elevation of 810.9 ft NGVD, corresponding to the extent of the Project Owner's flowage rights. The Project boundary in the vicinity of the Project works follows the Project Owner's existing property lines.

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IMPACTED RESOURCES

The Housatonic River originates approximately thirty miles upstream of the Project at the confluence of the West and Southwest Branches of the Housatonic River in Pittsfield. The West Branch Housatonic River originates at the outlet of Pontoosuc Lake in Lanesborough and Pittsfield. The Southwest Branch originates from Richmond Pond in the town of Richmond. The East Branch Housatonic River, which originates from Muddy Pond in the town of Washington, soon joins the main stem Housatonic River. From Pittsfield, the river flows south for 150 miles (approximately 54 river miles in Massachusetts) until it empties into Long Island Sound near Bridgeport, CT.

The Housatonic River is undergoing a process of restoration. MassDEP and the United States Environmental Protection Agency are working with local communities to address ongoing water quality issues at wastewater treatment facilities. The General Electric Corporation has begun an active program to remediate longstanding polychlorinated biphenyl (PCB) contamination issues in the Pittsfield area. Recreational activities in and around the Housatonic River continue to grow in popularity. A new catch and release fishing area created by the Massachusetts Division of Fisheries & Wildlife (MADFW), with brown trout as the target species, includes the Project bypass reach. While the Housatonic River in this reach is classified by MassDEP as a Warm Water Fishery, MADFW has evidence that brown trout do persist through the summer months in these reaches. Additionally, at least fifteen species of fish have been collected from the project impoundment in the recent past, including smallmouth bass, white sucker, yellow perch, pumpkinseed, and shiners. Downstream from the project tailrace many of those same species have been collected, as well as dace and brown trout. At this time, there are no anadromous fish species present within the vicinity of the Project. However, there is an active migratory fish restoration program on the Housatonic River in Connecticut.

Fishery resource agencies are actively involved in diadromous restoration efforts within the watershed. These efforts are based on management goals contained in the following published fishery plans:

- 1. <u>Interstate Fishery Management Plan for American Eel</u>. April 2000. Atlantic States Marine Fisheries Commission.
- 2. <u>Fishery Management Plan for the American Shad and River Herring</u>. 1985 (amended in 1998). Atlantic States Marine Fisheries Commission.
- 3. <u>Diadromous Fisheries Plan for the Upper Housatonic River Basin</u>. 2000. Connecticut Department of Environmental Protection.

These plans call for improved fish passage and other measures to enhance populations of migratory fish. Accomplishing the stated fishery management goals requires providing fish passage using methods such as the installation of fishways along the Housatonic River.

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According to the Connecticut Department of Environmental Protection's (CT DEP) <u>Diadromous Fisheries Plan for the Upper Housatonic River Basin</u> (2000), the Housatonic River from Derby Dam in the towns of Derby and Shelton, CT, upstream to the base of Bulls Bridge Dam in the Town of Kent, CT, has been targeted for anadromous fish restoration. The catadromous American eel will be restored up to the base of the Falls Village Dam in the towns of Salisbury and Canaan, CT. The new license issued for the Housatonic River Project (FERC No. 2576) requires fish passage facilities at the Stevenson, Shepaug, and Bulls Bridge dams.

Presently there are no plans to restore anadromous fish to the Massachusetts portion of the Housatonic River. However, once the CT DEP's restoration plan is fully implemented, American eel would have access to the base of the Risingdale Dam (FERC No. 12528) in Great Barrington, Massachusetts. Although no upstream eel passage facilities are required at the Housatonic River Project's Falls Village facility, it is assumed eels will be able to ascend the Great Falls at the Falls Village Dam. Therefore, passage would only need to be provided at the downstream Risingdale dam before eel have access up to the Glendale Project. Therefore, there is a possibility that passage for American eel will be required at this Project before the term of the proposed new license expires.

Upstream passage for eels is fairly well understood, and is relatively inexpensive compared to other upstream fishways. Downstream passage needs for eels are less well understood. Research is ongoing to determine the types of bypass measures that are most effective for upstream eel passage. At some sites a traditional surface bypass may suffice, while at others, temporary station shut-downs may be the only means to ensure safe passage of out-migrating adult eels.

APPLICABLE LAW

The Massachusetts Clean Waters Act (State Act), G.L. c.21, §§ 26-53, delegates responsibility for enhancing the quality and value of water resources within the Commonwealth to MassDEP. The State Act directs MassDEP to take all action necessary or appropriate to secure to the Commonwealth the benefits of the Federal Clean Water Act, 33 U.S.C. §§1251-1387 (Federal Act). The main objectives of the Federal Act are to restore and maintain the chemical, physical and biological integrity of the nation's waters. To meet these objectives, MassDEP adopted the Massachusetts Surface Water Quality Standards, 314 CMR 4.00. The Standards classify each body of water within the Commonwealth; designate the most sensitive uses to be enhanced, maintained and protected for each class; prescribe minimum water quality criteria required to sustain the designated uses; and contain regulations necessary to achieve the designated uses and maintain existing water quality including, where appropriate, the prohibition of discharges into waters of the Commonwealth.

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314 CMR 4.06 (5), Figure 2 and Table 2 classify the Housatonic River as a Class B water for its entire length in Massachusetts. All Class B waters are designated as habitat for fish, other aquatic life, and wildlife, including for their reproduction, migration, growth and other critical functions, and for primary and secondary contact recreation (314 CMR 4.05(3)(b)). Class B waters shall also be suitable for irrigation and other agricultural uses, and for compatible industrial cooling and process uses. Class B waters must also consistently exhibit good aesthetic quality (314 CMR 4.05(3)(b)). The minimum criteria applicable to Class B waters are listed within 314 CMR 4.05(3)(b). Additional minimum criteria applicable to all surface waters are listed within 314 CMR 4.05(5). The Antidegradation provisions of 314 CMR 4.04 at minimum require protection of all existing and designated uses of water bodies, and maintenance of the level of water quality needed to protect those uses.

CERTIFICATION PROVISIONS

- 1. MassDEP APPROVES the application of Littleville Power Company, Inc. and CERTIFIES that there is reasonable assurance that Glendale Hydroelectric Project, as described above and subject to the conditions below, can be operated in compliance with the applicable provisions of §303 of the Federal Act, 33 U.S.C. § 1313.
- 2. This Water Quality Certification shall become a condition on the FERC License issued to the Project Owner.
- 3. This Certification shall become effective on the date that the license issued for the Project by FERC becomes effective.
- 4. The state and federal resource agencies referred to in this Certification include the MassDEP, the Massachusetts Department of Fisheries and Wildlife (MADFW), and the U.S. Department of the Interior, Fish and Wildlife Service (USFWS).
- 5. The Project shall be operated by the Project Owner in accordance with the conditions contained in this Certification and the information included in the FERC application dated October 2007. Any modifications made to the FERC application during the initial licensing process that would have a significant or material effect on the conclusions or conditions contained in this Certification, as determined by MassDEP, must be submitted to MassDEP for prior review and approval.
- 6. The Project shall be operated to maintain the existing and designated uses of the Housatonic River as outlined in the Standards at 314 CMR 4.00, and to maintain an integrated and diverse biological community within the Housatonic River.

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- 7. The Project Owner shall obtain and comply with all applicable federal, state and local licenses, permits, authorizations, conditions, agreements and orders required for the operation of the project in accordance with the terms of this Certification.
- 8. All activities shall be conducted in compliance with the Massachusetts Wetlands Protection Act, including the Rivers Protection Act, G.L. Chapter 131, Section 40, and the implementing regulations at 310 CMR 10.00. A Water Quality Certification shall be obtained from MassDEP prior to initiating any activity that will cause a discharge subject to §404 of the federal Act, 33 U.S.C., §1344. The Project Owner shall comply with all applicable provisions of the Public Waterfront Act, G.L. c. 91, and the implementing regulations at 310 CMR 9.00.
- 9. Prior to beginning any construction on the Project, the Project Owner shall submit a plan to monitor and control erosion during construction activities to keep impacted waters free from turbidity in concentrations that are aesthetically objectionable or would impair any designated use(s) of such waters. The Project Owner shall implement the plan as approved by MassDEP.
- 10. All construction, maintenance and repair activities, including disposal of debris and removal of sediments in impounded areas, shall be conducted in a manner so as not to impair water quality, and pursuant to and in compliance with any required approvals.
- 11. Any proposed change to the Project that MassDEP determines would have a significant or material effect on the findings, conclusions, or conditions of this Certification, including Project operation, shall be submitted to MassDEP for prior review and approval.
- 12. MassDEP may request, at any time during which this Certification is in effect, that FERC reopen the license to make modifications MassDEP deems necessary to maintain compliance with the Standards at 314 CMR 4.00, or other appropriate requirements of state law.
- 13. MassDEP reserves the right to add and alter the terms and conditions of this Certification when authorized by law, and as it deems appropriate to carry out its responsibilities during the life of the Project with respect to water quality and the protection of the existing and designated uses of the waters of the Commonwealth.
- 14. The Project Owner shall operate the project in a run-of-river mode such that inflow to the project equals outflow from the project on an instantaneous basis and fluctuations of the head pond water level are minimized. This operating regime may be temporarily modified by approved maintenance activities, agreement between the Project Owner and appropriate state and/or federal resource agencies, or by extreme hydrologic conditions or emergency electrical system conditions, as these terms are defined below.

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- 15. The Project Owner shall release to the project bypass reach a continuous minimum flow of 90 cfs, or inflow, if less, for the protection and enhancement of fish and aquatic life habitat. Minimum flows may be temporarily modified by approved maintenance activities, by agreement between the Project Owner and appropriate state and federal resource agencies, or by extreme hydrologic conditions or emergency electrical system conditions, as these terms are defined below.
- 16. "Extreme Hydrologic Conditions" signifies the occurrence of events beyond the Project Owner's control including without limitation, abnormal precipitation, extreme runoff, flood conditions, ice conditions or other hydrologic conditions which render the operational restrictions and requirements contained within this Certification impossible to achieve, or are inconsistent with the safe operation of the Project.
- 17. "Emergency Electrical System Conditions" signifies operating emergencies beyond the Project Owner's control which require changes in flow regimes to eliminate such emergencies including without limitation, equipment failure or other abnormal temporary operating condition, generating unit operation or third-party mandated interruptions under power supply emergencies, and orders from local, state or federal law enforcement or public safety authorities.
- 18. During refilling of the project reservoir after dam maintenance or emergency drawdown, the Project Owner shall operate the project such that 90% of inflow to the project is released below the project and the impoundment is refilled on the remaining 10% of inflow.
- 19. Within three months of completion of turbine installation at the dam, or upon such other schedule established by FERC, the Project Owner shall, submit a plan for monitoring run-of-river operation and flow releases from the Project to MassDEP for approval. The plan shall include: a description and design of the mechanisms and structures that will be used; a description of periodic maintenance and/or calibration that will be conducted to ensure these mechanisms and structures work properly; a description of the method used to record project operation data for verification of proper operations and minimum flow releases; and a description of the manner in which data will be maintained for inspection by MassDEP and the state and federal resource agencies. The Project Owner shall consult with the state and federal resource agencies in developing these plans, shall respond to all agency comments, and shall include agency comment letters when submitting the plans to MassDEP for approval. The Project Owner shall provide the state and federal resource agencies with at least thirty days to respond to a draft plan before it is submitted to MassDEP for approval. The Project Owner shall implement the plan as approved by MassDEP.
- 20. Within six months of the effective date of this Certification, or upon such other schedule established by FERC, the Project Owner shall submit to MassDEP for approval, an Invasive Species Control Plan (ISCP). The plan shall include a schedule for regularly monitoring invasive species within the project area, including without limitation zebra mussel and water chestnut. The plan shall also identify methods used to control selected

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species. The Project Owner shall consult with the state and federal resource agencies and in developing the ISCP, shall respond to all agency comments, and shall include agency comment letters when submitting the plan to MassDEP for approval. The Project Owner shall provide the resource agencies with at least thirty days to respond to a draft plan before submission to MassDEP for approval. The Project Owner shall implement the plan as approved by MassDEP.

- 21. Within one year of the effective date of this Certification, or upon such other schedule established by FERC, the Project Owner shall install full-depth, one inch clear trash racks with velocities less than or equal to two feet per second (≤2 fps) at the intakes to the main and minimum flow units to reduce impingement and entrainment of fish at the Project.
- 22. The Project Owner shall, in a manner approved by MassDEP after consultation with the state and federal resource agencies, design, construct, operate, and maintain upstream eel passage facilities within one year of the installation of upstream eel passage facilities at the Risingdale Dam downstream of the Project. Six months prior to initiating operation of these facilities, the Project Owner shall, after consultation with the state and federal resource agencies, submit to MassDEP for approval an American eel passage effectiveness monitoring plan. The Project Owner shall implement the plan as approved by MassDEP. The schedule and other requirements of this condition may be amended with the mutual written agreement of the Project Owner and MassDEP.
- 23. Within one year of the installation of upstream eel passage facilities, the Project Owner shall submit to MassDEP for approval, a plan for providing safe downstream passage for American eels. The Project Owner shall implement the plan as approved by MassDEP.
- 24. The Project Owner shall, in a manner approved by MassDEP after consultation with the state and federal resource agencies, design, construct, operate, and maintain upstream and downstream anadromous fish passage facilities within one year of the installation of upstream and downstream anadromous fish passage facilities at the Risingdale Dam. Six months prior to initiating operation of these facilities, the Project Owner shall, after consultation with the state and federal resource agencies, submit to MassDEP for approval an upstream and downstream anadromous fish passage effectiveness monitoring plan. The Project Owner shall implement the plan as approved by MassDEP. The schedule and other requirements of this condition may be amended with the mutual written agreement of the Project Owner and MassDEP.
- 25. The Project Owner shall allow any employee, agent, consultant, contractor or authorized representative of MassDEP or MADFW to enter the facilities in order to assess compliance with the terms and conditions of this Certification including, but not limited to, entry for the purposes of: (i) investigating, sampling, inspecting, or photocopying documents or other writings, conditions, equipment, practices or property; (ii) interviewing facility personnel and contractors; (iii) making records of field activities;

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and (iv) observing any activities undertaken at the facilities under any of the provisions of this Certification.

26. If any event occurs which delays or will delay the Project Owner's performance of work beyond a deadline established by or pursuant to this Certification, which event was beyond the reasonable control and without the fault of the Project Owner or any person or entity subject to the Project Owner's control, and which event could not have been prevented or avoided by the exercise of due care, foresight, or due diligence on the part of the Project Owner (a "force majeure event"), then the time for performance shall be extended for an appropriate period of time, as determined by MassDEP in its sole discretion. The Project Owner shall bear the burden of demonstrating that a force majeure event has occurred or will occur, and that the delay was beyond the reasonable control and without the fault of the Project Owner. Such an extension of time must be in writing to have effect.

27. Submissions under this Certification shall be sent to:

MassDEP: Massachusetts Department of Environmental Protection Division of Watershed Management Central Regional Office 627 Main Street Worcester, MA 01608 (508) 767-2854; FAX (508) 791-4131

> Massachusetts Department of Environmental Protection Bureau of Resource Protection Western Regional Office 436 Dwight Street Springfield, MA 01103 (413) 755-2138; FAX (413) 784-1149

MADFW: Massachusetts Division of Fisheries and Wildlife Field Headquarters Assistant Director of Fisheries 1 Rabbit Hill Road Westborough, MA 01581 (508) 389-6331; FAX (508) 389-7890

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USFWS: United States Fish and Wildlife Service

New England Field Office Attention: Supervisor

70 Commercial Street, Suite 300

Concord, NH 03301-5087

(603) 223-2541; FAX (603) 223-0104

7/8/09

Signed on this 8th day of July, 2009.

Robert J. McCollum, Program Chief

Wetlands & Waterways

MassDEP Western Regional Office