LOW IMPACT HYDROPOWER INSTITUTE RECERTIFICATION APPLICATION

Dodge Falls Hydroelectric Project (FERC No. 8011, LIHI No. 42)



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September 2019

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PART I. FACILITY DESCRIPTION

The Dodge Falls Hydroelectric Project (FERC No. 8011) (the "DF Project" or "Project") was initially certified by the Low Impact Hydropower Institute ("LIHI") on June 1, 2009 through 2014. The Project was recertified on June 1, 2014 for another five years, through June 1, 2019.

Dodge Falls Associates, L.P. ("DFALP"), the facility owner and operator hereby submits this application to recertify the Project for another five year term. There are no material changes to project operations that should be noted during recertification.

The Dodge Falls Hydroelectric Project is located on the Connecticut River in the Towns of Bath, New Hampshire and Ryegate, Vermont. The Project provided waterpower to the adjacent paper mill until 1966. Between 1966 and 1990, the Project did not generate power and water from the river was used to supply process water to the mill. The Federal Energy Regulatory Commission ("FERC") granted the Project its Exemption from License on June 11, 1984 and Project operation began in 1990. The mill was shut down and the paper producing machinery removed in 2001-2002. No process water is currently being drawn.

The 485 foot long dam is constructed of a grouted, rock fill, timber crib with a timber crest and wood plank facing. The dam crest elevation is 421.4 feet NGVD which is approximately 15.5 feet above the bedrock streambed at the downstream toe. At the time of inception the Project utilized 2 feet of pin supported wooden flashboards, as authorized, to reestablish historic impoundment levels. Construction of the Dodge Falls dam began in 1988 and was completed in 1990. The Dodge Falls Project consists of a reinforced concrete powerhouse located on the New Hampshire side of the river with a 75-foot concrete spillway constructed on the right side of the forebay. The spillway is connected to the original timber crib dam. A single double regulated 5,000 kW Escher Wyse turbine, rated at 12 feet of head and a flow of 5,800 cfs, is installed in the powerhouse. In 1993 the portion of the pin supported wooden flashboards located over the concrete spillway was replaced with a two-foot tall rubber pneumatic flashboard system. In 1997 the two-foot rubber pneumatic flashboard system was extended to the timber crib dam, replacing the remaining wooden flashboards.

The Dodge Falls Project is operated as a run of river facility. A pond level control system is used to maintain reservoir water level. The Project is required to maintain a minimum flow of 1,108 cfs (0.5 csm) or Project inflow, whichever is less. The Fifteen Mills Falls Hydroelectric Project ("the FMF Project") (FERC # 2077) is located immediately upstream of The Dodge Falls Project. The FMF Project consists of three separate dams and powerhouses. The closest FMF Project, the McIndoes power station, is located approximately 4 miles upstream of the Dodge Falls Project. Available river flow for the DF Project is determined by discharge from the McIndoes Project.

The DF project was operated from 1990 to 1993 using pin supported wooden flashboards. In 1993 a two-foot rubber pneumatic flashboard system was installed on the concrete spillway to replace a portion of the pin supported wooden flashboard system. In 1997 a two-foot rubber

pneumatic flashboard system was installed on the timber crib dam to replace the remaining wooden flashboards. A single double regulated 5,000 kW Escher Wyse turbine, rated at 12 feet of head and a flow of 5,800 cfs, is installed in the powerhouse.



Figure 1 Dodge Falls Hydroelectric Project location and nearby dams

Dodge Falls Hydroelectric Project (Recertification, LIHI #42)



Figure 2 Project Layout



Figure 3 Designated Zones of Effect

Information Type	Variable Description	Response(and reference to further details)		
Name of the	Facility name (use FERC project name			
Facility	if possible)	Dodge Falls Hydroelectric Project		
	River name (USGS proper name)	Connecticut River		
	River basin name	Connecticut River Watershed		
Location	Nearest town, county, and state	City of Bath, Grafton County, New Hampshire & Ryegate, Caledonia County, Vermont		
Location	River mile of dam above next major			
	river	River Mile 268		
	Geographic latitude	44°12'29.32"N		
	Geographic longitude	72° 3'26.36"W		
	Application contact names:	Andrew Locke, Treasurer, Essex Power		
		Services, Inc.		
		Dodge Falls Associates, LP		
	- Facility owner (individual and	c/o Essex Hydro Associates, LLC		
	company names)	55 Union Street, 4 th Floor		
Facility Owner		Boston, MA 02108		
	- Operating affiliate (if different from	Essex Power Services, Inc.		
	owner)	55 Union Street, 4 th Floor		
		Boston, MA 02108		
	- Representative in LIHI certification	Andrew Locke, Treasurer, Essex Power		
		Services, Inc.		
	FERC Project Number (e.g., P-xxxxx),	FERC Project No. P-8011		
	Issuance and expiration dates	Exemption from License, issued June 11, 1984		
	elassification (a.g., "gualified			
	conduit")	Examplian		
Pogulatory	Water Quality Certificate identifier	Exemption		
Status	and issuance date plus source agency	Issued May 1985 by New Hampshire Dent. of		
Status	name	Environmental Conservation		
	Hyperlinks to key electronic records	N/A – Recent submissions include min flow		
	on FERC e-library website (e.g., most	compliance filings, dam safety reports and		
	recent Commission Orders, WQC, ESA	inspection reports. Other key documents are		
	documents, etc.)	provided in appendices.		
	Date of initial operation (past or			
Power Plant	future for operational applications)	1987		
Characteristics	Total name-plate capacity (MW)	5.0 MW – No change since last certification		
	Average annual generation (MWh)	25,707 MWh		

Table 1 Facility Description Information

Information Type	Variable Description	Response(and reference to further details)
	Number, type, and size of turbines, including maximum and minimum hydraulic capacity of each unit	 Double-Regulated Escher Wyse Horizontal Kaplan turbine, Rated 5,000 kW and a rated maximum hydraulic capacity of 5,800 cfs and a minimum of hydraulic capacity of approximately 600 cfs.
	Modes of operation (run-of-river, peaking, pulsing, seasonal storage, etc.)	Run-of-river
	Dates and types of major equipment upgrades	None
	Dates, purpose, and type of any recent operational changes	There have been no recent operational changes.
	Plans, authorization, and regulatory activities for any facility upgrades	There are no plans for facility upgrades.
	Date of construction	1985-1990
	Dam height	Approximately 15.5 feet
	Spillway elevation and hydraulic capacity	421.4 feet NGVD; 530 cfs hydraulic capacity
	Tailwater elevation	405 feet
Characteristics of Dam,	Length and type of all penstocks and water conveyance structures between reservoir and powerhouse	N/A
Diversion, or Conduit	Dates and types of major, generation- related infrastructure improvements to dam	1993 & 1997 – Wooden flashboards replaced with a rubber dam (pneumatic crest gate)
	Designated facility purposes (e.g., power, navigation, flood control, water supply, etc.)	Power Connecticut River
	Water discharge location or facility	Dodge Falls Tailwater
	Gross volume and surface area at full	Volume: 4 940 Acre-Feet
	pool	Surface Area: 290 Acres
Charactorictics	Maximum water surface elevation (ft. MSL)	Run-of-River
of Reservoir and Watershed	Maximum and minimum volume and water surface elevations for designated power pool, if available	Run-of-River – N/A
	Upstream dam(s) by name, ownership, FERC number (if applicable), and river mile	Fifteen Mile Falls Hydroelectric (FERC #2077); Owned by Great River Hydro, LLC; River Mile 272

Information Type	Variable Description	Response(and reference to further details)			
		Consists of 4 dams: Closest is McIndoe Falls			
	Downstream dam(s) by name, ownership, FERC number (if applicable), and river mile	Wilder Hydroe Owned by Gre River Mile 217	FERC # 1892): LLC;		
	Operating agreements with upstream or downstream reservoirs that affect water availability, if any, and facility operation Area inside FERC project boundary.	Derating agreements with upstream or downstream reservoirs that affect vater availability, if any, and facility operation N/A			
	where appropriate	9 acres			
	Average annual flow at the dam	5,125			
		January February March	4,010 3,810 5,880		
		April	12,600		
	Average monthly flows (cfs)	May	8,390		
		June	4,670		
		July	3,130		
		August	2,700		
Hvdroloaic		September	2,510		
Setting		October	4,000		
_		November	4,900		
		December	4,900		
		Calculation Period:			
		1950-01-01 ->	2017-10-31		
	Leasting and some of values at stresses	USGS 0113850	DO CONNECTICU	I RIVER AI	
	cousing stations above and below the	VVELLS RIVER,	VI 0'12" Longitud	ຸ 7ว⁰∩ວ'ວວ"	
	facility	Located at Riv	er Mile 264	- 72 02 32	
	lacinty	Approximately	4 miles downs	tream of DF Dam	
	Watershed area at the dam	2,644 square r	niles		
		Zone 1 – Impo	undment		
	Number of zones of effect	Zone 2 –Tailra	ce		
Designated Zones of Effect	Upstream and downstream locations by river miles	Zone 1 – River (McIndoes Da	Mile 268 (DF D m) Mile 268 to 21	am) to 272 7 (Wilder Dam)	
	Type of waterbody (river	7 one 1 – Impo	undment		
	impoundment, by-passed reach. etc.)	Zone 2 – Free	flowing River/ta	ailwater	
	Delimiting structures	"DF Dam" or "Ryegate Dam"			

Information Type	Variable Description	Response(and reference to further details)
	Designated uses by state water quality agency	Class B, Community River Segment (pg 57 Connecticut River Corridor Management Plan, Volume III Riverbend Region. <u>https://www.des.nh.gov/organization/division</u> <u>s/water/wmb/rivers/documents/ct-rbend- plan.pdf</u>
Additional	Names, addresses, phone numbers, and e-mail for local state and federal resource agencies	See "PART IV: FACILITY CONTACTS FORM"
Information	mation Names, addresses, phone numbers, and e-mail for local non- governmental stakeholders	See "PART IV: FACILITY CONTACTS FORM"
Photographs	Photographs of key features of the facility and each of the designated zones of effect	See Figure 3 on page 6 for Designated Zones of Effect. Key facility pictures are in Appendix 9
and Maps	Maps, aerial photos, and/or plan view diagrams of facility area and river basin	See Figures 1 and 2 on pages 4 and 5

PART II. STANDARDS MATRICES

Zone of Effects #1 – Impoundment

		Alternative Standards Applied				olied
Criterion			2	3	4	Plus
Α	Ecological Flow Regimes	x				
В	Water Quality			x		
С	Upstream Fish Passage	x				
D	Downstream Fish Passage		x			
Ε	Watershed and Shoreline Protection	x				
F	Threatened and Endangered Species Protection	x				
G	Cultural and Historic Resources Protection	x				
Η	Recreational Resources		x			

Zone of Effects #2 – Tailrace

		Alternative Standards Applied				olied
Criterion			2	3	4	Plus
Α	Ecological Flow Regimes	x				
В	Water Quality			X		
С	Upstream Fish Passage	x				
D	Downstream Fish Passage	x				
Ε	Watershed and Shoreline Protection	x				
F	Threatened and Endangered Species Protection	x				
G	Cultural and Historic Resources Protection	x				
Η	Recreational Resources		x			

PART III. SUPPORTING INFORMATION

III.A.1 Ecological Flows

Zone of Effects #1 – Impoundment

Α	1	Not Applicable / De Minimis Effect:
		 Confirm the location of the powerhouse relative to other
		dam/diversion structures to establish that there are no bypassed reaches at the facility.
		 If Run-of-River operation, provide details on how flows, water
		levels, and operation are monitored to ensure such an
		operational mode is maintained.
		 In a conduit project, identify the water source and discharge
		points for the conduit system within which the hydropower plant is located.
		• For impoundment zones only, explain how fish and wildlife
		habitat within the zone is evaluated and managed – NOTE: this is required information, but it will not be used to determine
		whether the Ecological Flows criterion has been satisfied. All
		impoundment zones can apply Criterion A-1 to pass this
		criterion.

Supporting Information:

The powerhouse is located on the New Hampshire side of the river with a 75-foot concrete spillway constructed on the right side of the forebay. The spillway is connected to the original timber crib dam that spans the river. There are no bypassed reaches at the facility (While the timber crib dam connects to a mill building on the Vermont side of the river, all entrances into the mill have been sealed, making the mill effectively part of the dammed area).

The DF Facility is operated as a run of river facility. Reservoir level is maintained by means of a pond level control system. River flow is passed through the turbine or over the pneumatic flashboards. The project is required to maintain a minimum flow of 1,108 cfs (0.5 csm) or project inflow, whichever is less. The DF Facility is located immediately downstream of the Fifteen Mills Falls Hydroelectric Project ("the FMF project") (FERC # 2077). The FMF project consists of three separate dams and powerhouses located upstream from the DF project. The closest FMF project, the McIndoes power station, is located approximately 4 miles upstream of the DF project. Since the DF project is a run of river project, available river flow for the DF project into the impoundment is determined by discharge from the McIndoes project.

See Appendix 1 for McIndoes minimum flow requirement.

Zone of Effects #2 – Tailrace

А	1	Not Applicable / De Minimis Effect:
		Confirm the location of the powerhouse relative to other
		dam/diversion structures to establish that there are no bypassed reaches at the facility.
		 If Run-of-River operation, provide details on how flows, water levels, and operation are monitored to ensure such an operational mode is maintained
		 In a conduit project, identify the water source and discharge points for the conduit system within which the hydropower plant is located.
		For impoundment zones only, explain how fish and wildlife habitat within the zone is evaluated and managed – NOTE: this is required information, but it will not be used to determine whether the
		Ecological Flows criterion has been satisfied. All impoundment
		zones can apply Criterion A-1 to pass this criterion.

Supporting Information:

See Supporting Information in Zone of Effects #1 in Ecological Flows section above.

III.B.1	Water	Qua	lity
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Zone of Effects #1 - Impoundment

В	3	Site-Specific Monitoring Studies:
		 Document consultation with appropriate water quality agency to determine what water quality parameters and sampling methods are required.
		• Present recent water quality data, explain how it satisfies applicable water quality standards, and provide a letter from the appropriate state of other regulatory agency accepting these results.

Supporting Information:

See Appendix 2 "2017 NH DES Water Quality Meeting Criteria Letter" which indicates that sampling conducted in 2014 confirmed that project waters meet state water quality standards.

The 2016 New Hampshire list of Impaired Waters

(<u>https://www.des.nh.gov/organization/divisions/water/wmb/swqa/2016/index.htm</u>) does not indicate that the Connecticut River is impaired in the project area.

Zone of Effects #2 – Tailrace

В	3	Site-Specific Monitoring Studies:
		• Document consultation with appropriate water quality agency to
		determine what water quality parameters and sampling methods
		are required.
		• Present recent water quality data, explain how it satisfies applicable
		water quality standards, and provide a letter from the appropriate
		state of other regulatory agency accepting these results.

Supporting Information:

See Supporting Information in Zone of Effects #1 in Water Quality Section above.

III.C.1 Upstream Fish Passage

ZoE #1 - Impoundment

C	1	Not Applicable / De Minimis Effect:
		• Explain why the facility does not impose a barrier to upstream fish passage in the designated zone
		 Document available fish distribution data and the lack of migratory fish species in the vicinity.
		• If migratory fish species have been extirpated from the area, explain why the facility is or was not the cause of this.

Supporting Information:

N/A – No facility barrier exists above the project's dam to further upstream movement.

Zone of Effects #2 – Tailrace

С	2	Agency Recommendation:
		Identify the proceeding and source, date, and specifics of the agency
		recommendation applied (NOTE: there may be more than one; identify
		and explain which is most environmentally stringent).
		Explain the scientific or technical basis for the agency recommendation,
		including methods and data used. This is required regardless of
		whether the recommendation is or is not part of a Settlement
		Agreement.
		Describe any provisions for fish passage monitoring or effectiveness
		determinations that are part of the agency recommendation, and how
		these are being implemented.

Supporting Information:

No upstream fish passage has been requested by agencies at the Dodge Falls project. Upstream fish passage is tied to the passage of fish through the downstream Wilder project.

The Wilder Hydro Final License Application 04/30/2017:

"Upstream fish passage facilities are operated in accordance with an annual Fish Passage Notification Schedule provided by CRASC which sets the dates for upstream passage for all dams on the Connecticut River. Typically, the upstream fish ladder operates from May 15 through July 15 and in fall from September 15 through November 15 for Atlantic Salmon; however, in recent years fish ladder operation has been suspended because of low returns and abandonment of the program by FWS and the states.... **Wilder fish ladder is only operated if there has been passage of adult Atlantic Salmon at Bellows Falls**".

Wilder's fish ladder did not open in 2017, 2018, or 2019.

Requests for comment on the adequacy of fish passage at Dodge were sent to Melissa Grader (USFWS), Matt Carpenter (NHFG) and Carol Henderson (NHFG).

Matt Carpenter provided the following feedback:

Andrew,

At this point, the only fish passage issues I can think of would be for American eels. The density of eels is so low above the Wilder Dam that I do not think upstream eel passage is warranted for Dodge Falls at this time. It will be something to keep an eye on as passage improvements are made in the lower Connecticut River projects and the eel population increases in the upper watershed, but for now I have no fish passage recommendations for Dodge Falls.

Matt

Per Matt's suggestion, Dodge Falls will monitor developments in the lower Connecticut River projects, but not take any action at this time to install eel passage.

See Appendix 3 for copy of this request and Matt's response.

III.D.1 Downstream Fish Passage

ZoE #1 - Impoundment

D	2	Agency Recommendation:
		 Identify the proceeding and source, date, and specifics of the agency recommendation applied (NOTE: there may be more than one; identify and explain which is most environmentally protective). Explain the scientific or technical basis for the agency recommendation, including method and data used. This is required regardless of whether the recommendation is part of a Settlement Agreement or not. Describe any provisions for fish passage monitoring or effectiveness determinations that are part of the agency recommendation, and how these are being implemented.

Supporting Information:

In its FERC Exemption application dated November 1985, DFA agreed to comply with comments of the US Department of Interior, the National Oceanic and Atmospheric Administration; the U. S. Fish and Wildlife Service; the New Hampshire Fish and Game Department, , and the Vermont Agency of Environmental Conservation. Letters from each of those agencies are included as appendices 6.1-6.4. The agencies concurred that the fish passage facilities required for the DF project included installation of downstream fish passage and installation of a fish trapping structure at the Dodge Falls Dam by 1992.

On August 29, 1985 the New Hampshire fish and game Department provided updated comments relative to its requirements when the NH site location was moved to the New Hampshire side of the river (see Appendix 6.5). On October 19, 1990 the U.S. fish and Wildlife Service (F&WS) sent a letter that reiterated the requirement that a downstream fish passage facility be installed at the DFA project. However, in that letter the F&WS acknowledged that it might not be necessary to install the fish trap facility in 1992. The letter stated that DFA would be notified by the Connecticut River Atlantic Salmon Commission ("CRASC") of the timing of construction of the fish trap facility once the CRASC completed its position regarding fish passage installation on the entire Connecticut River (see Appendix 6.6).

On February 11, 2016 CRASC notified FERC that following the cessation of the Atlantic salmon stocking program in 2012 it was no longer necessary to provide downstream passage for salmon smolts or adult salmon, barring certain triggers (see attached letter in Appendix 4). The downstream passage was not operated in 2016, 2017, 2018 or 2019. Unless requested by an agency, the downstream passage will not be run in the future.

See Appendix 4 for CRASC letters.

Zone of Et	Tects #2 –	lairace
D	1	Not Applicable / De Minimis Effect:
		• Explain why the facility does not impose a barrier to downstream
		fish passage in the designated zone, considering both physical
		obstruction and increased mortality relative to natural downstream
		movement (e.g., entrainment into hydropower turbines).
		• For riverine fish populations that are known to move downstream,
		explain why the facility does not contribute adversely to the
		sustainability of these populations or to their access to habitat
		necessary for successful completion of their life cycles.
		 Document available fish distribution data and the lack of migratory
		fish species in the vicinity.
		• If migratory fish species have been extirpated from the area, explain
		why the facility is or was not the cause of this.

ote #2 _ Taili _

Supporting Information:

There is no facility barrier to further downstream movement below the dam and powerhouse.

III.E.1 Watershed and Shoreline Protection

Zone of Effects #1 – Impoundment			
E	1	Not Applicable / De Minimis Effect:	
		 If there are no lands with significant ecological value associated with the facility, document and justify this (e.g., describe the land use and land cover within the project boundary). Document that there have been no Shoreline Management Plans or similar protection requirements for the facility. 	

Supporting Information:

In the immediate vicinity of the project site, forests cover terrace slopes and upper portions of ledges, while sparse communities of plants, primarily annuals, are found on the narrow strip of exposed rock and the sand/rock shoreline of the river. There are no ecologically significant habitats associated with the project.

No Shoreline Management Plan is in effect, nor are the any protection requirements for the facility and the project footprint is small (9 acres).

Zone of Effects #2 –Tailrace

E	1	Not Applicable / De Minimis Effect:
		• If there are no lands with significant ecological value associated with
		the facility, document and justify this (e.g., describe the land use and
		land cover within the project boundary).
		• Document that there have been no Shoreline Management Plans or
		similar protection requirements for the facility.

Supporting Information:

See Supporting Information in Zone of Effects #1 in Watershed and Shoreline Protection above.

III.F.1 Threatened and Endangered Species Zone of Effects #1 –Impoundment

ZONE OF LI			
F	1	Not Applicable / De Minimis Effect:	
		 Document that there are no listed species in the facility area or 	
		affected riverine zones downstream of the facility.	
		If listed species are known to have existed in the facility area in the	
		past but are not currently present, explain why the facility was not	
		the cause of the extirpation of such species.	
		 If the facility is making significant efforts to reintroduce an 	
		extirpated species, describe the actions that are being taken.	

Supporting Information:

New Hampshire lists no threatened or endangered species in the project area. See Appendix 5 for New Hampshire report. An online inquiry in September 2019 of the US Fish and Wildlife Service IPaC site (<u>https://ecos.fws.gov/ipac/</u>) indicated that the project is within the range of the federally threatened Northern long eared bat but there are no critical habitats in the project area.

In May 2009 Vermont confirmed there are historic records of the Dwarf Wedgemussel in the general area; however, none were found in a 2005 survey about 1.5 miles downstream of the project. The species was found about 15 miles downstream of the dam and has been found upstream of the Fifteen Mile Falls project. In August 2019, Vermont reported that no survey data from the project's impoundment was available to determine the current presence of Dwarf Wedgemussels; however, the State reported the habitat seemed excellent for Dwarf Wedgemussel and it seemed likely that the species was present somewhere within or near the survey location (approximately 1.5 miles downstream of the dam). See Appendix 6 for a copy of the communication. Given the absence of confirmed sightings of the Dwarf Wedgemussel, it is not possible to provide a finding of no negative effect of the facility on the species.

Zone of Effects #2 – Tailrace

F	1	Not Applicable / De Minimis Effect:
		• Document that there are no listed species in the facility area or
		affected riverine zones downstream of the facility.
		• If listed species are known to have existed in the facility area in the
		past but are not currently present, explain why the facility was not
		the cause of the extirpation of such species.
		If the facility is making significant efforts to reintroduce an
		extirpated species, describe the actions that are being taken.

Supporting Information:

See Supporting Information in Zone of Effects #1 in Threatened and Endangered Species above.

III.G.1 Cultural and Historic Resources Zone of Effects #1 – Impoundment

G	1	Not Applicable / De Minimis Effect:
		• Document that there are no cultural or historic resources located on facility lands that can be affected by construction or operations of the facility.
		• Document that the facility construction and operation have not in the past adversely affected any cultural or historic resources that are present on facility lands.

Supporting Information:

Per the Exemption application, agencies were consulted during the application process and no properties of historic, architectural, or archaeological significance were included in or eligible for inclusion in the National Register of Historic Places. No communication was provided by New Hampshire officials during the Exemption application process.

As noted in the Exemption application, the reservoir for the project is at historic elevations and does not result in flooding of any additional lands, beyond those lands which have historically been flooded. See Appendix 7 (page 38).

Subsequent communications to both Vermont and New Hampshire officials during the most recent recertification in 2015 went unanswered. See Appendix 8 for copy of communications to Vermont and New Hampshire officials. Based on a web search in September 2019 there are no historic structures associated with the project that are listed on the National Register, and there have been no material changes to the project. If structural changes or ground disturbing activity were to occur at the project, the applicable state historic preservation office would be consulted in advance.

one of Effects #2 –Tailrace			
G	1	 Not Applicable / De Minimis Effect: Document that there are no cultural or historic resources located on facility lands that can be affected by construction or operations of the facility. Document that the facility construction and operation have not in the past adversely affected any cultural or historic resources that are present on facility lands. 	
	•		

Ζ

Supporting Information:

See Supporting Information in Zone of Effects #1 in Cultural and Historic Resources above.

III.H.1 Recreational Resources

Zone of Effects #1 – Impoundment

Н	2	Agency Recommendation:		
		Document any comprehensive resource agency recommendations		
		and enforceable recreation plan that is in place for recreational		
		access or accommodations.		
		 Document that the facility is in compliance with all such 		
		recommendations and plans.		

Supporting Information:

The DF project is in compliance with the recreational access and facilities conditions in its FERC exemption. During the recreational season, a boater restraint cable is installed in the vicinity of Marshall Island upstream of the DF dam. A canoe portage is provided on the New Hampshire side of the river. Boats traveling downstream are guided to the north end of the portage by signage maintained on Marshall Island (immediately upstream of the DF Dam). The portage leads downstream on the landward side of the DF powerhouse and rejoins the river approximately 100 feet below the powerhouse outlet.

Access to the portage is also provided from New Hampshire Road, by means of a graveled roadway. DF maintains a sleeping platform adjacent to the portage approximately 400 feet north of the powerhouse. Both the Connecticut River Boating Guide and the Connecticut River Join Commissions Recreation Executive Summary list the DF project's recreational boating facilities, including mention of the canoe portage, sleeping platform and fishing access.

Access to the canoe portage, fishing area and sleeping platform are provided free of charge.

There have been no changes in the regulatory status of the DF project since 1993 nor have there been any agency comments noting deficiencies in DFA's compliance with the recreational conditions contained in the documents related to the FERC exemption and agency review of the project. See Appendix 9 for pictures of the recreational facilities and signs.

Zone of Effects #2 – Tailrace

Н	2	Agency Recommendation:		
		Document any comprehensive resource agency recommendations		
		and enforceable recreation plan that is in place for recreational		
		access or accommodations.		
		• Document that the facility is in compliance with all such		
		recommendations and plans.		

Supporting Information:

See Supporting Information in Zone of Effects #1 in Recreational Resources above.

PART IV. CONTACTS

Company Contacts

Project Owner: Dodge Falls Associates, LP					
Name and Title	Andrew Locke, President				
Company	Essex Hydro Associates, A General Partner				
Phone	(617) 367-0032				
Email Address	alocke@essexhydro.com				
Mailing Address	55 Union Street, Boston, MA 02108				
Project Operator	(if different from Owner):				
Name and Title	David Sherman, Operations Manager				
Company	Essex Power Services, Inc.				
Phone	617-367-0032				
Email Address	dsherman@essexhydro.com				
Mailing Address	c/o Essex Hydro Associates, 55 Union St, 4 th Floor Boston, MA 02108				
Consulting Firm	Agent for LIHI Program (if different from above):				
Name and Title					
Company					
Phone					
Email Address					
Mailing Address					
Compliance Cont	act (responsible for LIHI Program requirements):				
Name and Title	Andrew Locke, President				
Company	Essex Power Services, Inc.				
Phone	(617) 367-0032				
Email Address	alocke@essexhydro.com				
Mailing Address	c/o Essex Hydro Associates, 55 Union Street, Boston, MA 02108				
Party responsible for accounts payable:					
Name and Title	Maureen Donnelly				
Company	Essex Power Services, Inc.				
Phone	(617) 367-0032				
Email Address	mdonnelly@essexhydro.com				
Mailing Address	c/o Essex Hydro Associates, 55 Union Street, Boston, MA 02108				

Agency Contacts

Agency Contact (Check area of responsibility: Flows_X_, Water Quality, Fish/Wildlife				
Resources _X_, W	Vatersheds _X_, T/E Spp, Cultural/Historic Resources, Recreation):			
Agency Name	US Fish and Wildlife Service			
Name and Title	Melissa Grader, Biologist			
Phone	413-548-8002, ext 8124			
Email address	melissa grader@fws.gov			
Mailing Address	New England Field Office			
	70 Commercial Street, Suite 300			
Concord, NH 03301				

Agency Contact (Check area of responsibility: Flows, Water Quality _X_, Fish/Wildlife			
Resources, Watersheds _X_, T/E Spp, Cultural/Historic Resources, Recreation):			
Agency Name	New Hampshire Department of Environmental Services, Water Division		
Name and Title	Greg Comstock, Supervisor, Water Quality Planning Section		
Phone	603-271-2983		
Email address	Gregg.Comstock@des.nh.gov		
Mailing Address	6 Hazen Drive P.O. Box 95		

Agency Contact (Check area of responsibility: Flows, Water Quality, Fish/Wildlife				
Resources _, Wa	tersheds, T/E SppX_, Cultural/Historic Resources, Recreation):			
Agency Name	New Hampshire Natural Heritage Bureau			
Name and Title	Amy Lamb			
Phone	(603) 271-2214			
Email address	Amy.Lamb@dncr.nh.gov			
Mailing Address	172 Pembroke Rd.			
Concord, NH 03301				

Agency Contact (Check area of responsibility: Flows, Water Quality, Fish/Wildlife				
Resources, Wa	Resources, Watersheds, T/E Spp, Cultural/Historic Resources, Recreation _X_):			
Agency Name	Agency Name National Parks Service, Rivers and Special Studies Branch			
Name and Title	Kevin Mendik			
Phone	(617) 223-5299			
Email address	Kevin mendik@nps.gov			
Mailing Address	15 State Street, Boston, MA 02109			

Agency Contact (Check area of responsibility: Flows, Water Quality, Fish/Wildlife				
Resources, Wa	atersheds, T/E Spp, Cultural/Historic Resources _X_, Recreation):			
Agency Name	New Hampshire Division of Historical Resources			
Name and Title	Nadine Miller			
	Preservation Project Reviewer			
Phone	(603) 271-6628			
Email address	Nadine.Miller@dcr.nh.gov			
Mailing Address	19 Pillsbury Street - 2nd floor			
Concord, NH 03301-3570				

Agency Contact (Check area of responsibility: Flows, Water Quality, Fish/Wildlife				
Resources _X_, W	/atersheds, T/E Spp, Cultural/Historic Resources, Recreation):			
Agency Name	National Marine Fisheries Service (NOAA)			
Name and Title	Susan Tuxbury, Fisheries Biologist			
Phone	978-281-9176			
Email address	Susan.tuxbury@noaa.gov			
Mailing Address	55 Great Republic Drive			

Agency Contact (Check area of responsibility: Flows_X_, Water Quality, Fish/Wildlife				
Resources _X_, W	Resources _X_, Watersheds _X_, T/E Spp, Cultural/Historic Resources, Recreation):			
Agency Name	NH Fish and Game Department			
Name and Title	Carol Henderson			
Phone	(603) 271-3511			
Email address	Carol.henderson@wildlfe.nh.gov			
Mailing Address	11 Hazen Drive,			
Concord, NH 03301				

PART V. SWORN STATEMENT

All applications for LIHI Certification must include the following sworn statement before they can be reviewed by LIHI:

SWORN STATEMENT

As an Authorized Representative of Dodge Falls Associates, L.P. the Undersigned attests that the material presented in the application is true and complete.

The Undersigned acknowledges that the primary goal of the Low Impact Hydropower Institute's certification program is public benefit, and that the LIHI Governing Board and its agents are not responsible for financial or other private consequences of its certification decisions.

The Undersigned further acknowledges that if LIHI Certification of the applying facility is granted, the LIHI Certification Mark License Agreement must be executed prior to marketing the electricity product as LIHI Certified[®].

The Undersigned further agrees to hold the Low Impact Hydropower Institute, the Governing Board and its agents harmless for any decision rendered on this or other applications, from any consequences of disclosing or publishing any submitted certification application materials to the public, or on any other action pursuant to the Low Impact Hydropower Institute's certification program.

Company Name: Dodge Falls Associates, L.P.

Authorized Representative:

Name: Andrew Locke

Title: President, HCE Dodge Falls, Inc. General Partner, Dodge Falls Associates, L.P.

Authorized Signature:

Date: September 9, 2019

List of Appendices

- Appendix 1: McIndoes minimum flow requirement
- Appendix 2: "2017 NH DES Water Quality Meeting Criteria Letter"
- Appendix 3: Communication with NHFW
- Appendix 4: CRASC Letters
- Appendix 5: NH Letter on Threatened and Endangered Species
- Appendix 6: Vermont Correspondences on Threatened and Endangered Species
- Appendix 7: Dodge Falls Exemption Application
- Appendix 8: Communication to State Historical Agencies
- Appendix 9: Pictures of key project features, recreational facilities and signs

Appendix 1

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UNITED STATES OF AMERICA FEDERAL ENERGY REGULATORY COMMISSION

USGen New England, Inc.

Project No. 2077-016 - NH/VT

ORDER ISSUING NEW LICENSE (MAJOR PROJECT)

(April 8, 2002)

USGen New England, Inc. (USGenNE) has filed an application for a new license pursuant to Sections 15 and 4(e) of the Federal Power Act (FPA)¹ to continue to operate and maintain the existing 291.36-megawatt (MW) Fifteen Mile Falls Hydroelectric Project. The project consists of three developments located on the Connecticut River, a navigable waterway of the United States, near the Town of Littleton in Grafton County, New Hampshire, and Caledonia County, Vermont. There are no federal lands within the project boundary. USGenNE proposes no new capacity.

BACKGROUND

The original license for the Fifteen Mile Falls Project was issued in 1952.² The Commission approved the transfer of the license from New England Power Company (NEP) to USGenNE on February 27, 1998,³ and the transfer became effective on November 20, 1998.⁴ The license expired on July 31, 2001, and since that time project operations have continued under an annual license.⁵

16 U.S.C. §§808 and 797(e).

211 F.P.C. 751 (1952).

382 FERC 1 62, 138 (1998).

⁴The transfer became effective once NEP and USGenNE completed the sale of the project assets.

5See Section 15(a)(1) of the FPA. 16 U.S.C. § 808(a)(1).

Project No. 2077-016

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USGenNE filed its relicense application on July 29, 1999. USGenNE included with its application an applicant-prepared environmental assessment (APEA),⁶ pursuant to Section 2403(b) of the Energy Policy Act of 1992,⁷ which allows an applicant to file a draft EA, and Section 4.34(i) of the Commission's regulations,⁶ which provides for an alternative licensing process (ALP). With its new license application and APEA, USGenNE also filed a Settlement Agreement (Agreement)⁹ that addresses issues pertaining to project operations, reservoir levels, minimum flows, fish and wildlife protection and enhancement measures, and land protection.

The Agreement was reached between USGenNE, the State of New Hampshire, the State of Vermont, the U.S. Fish and Wildlife Service (FWS), the U.S. Environmental Protection Agency (EPA), the National Park Service, Appalachian Mountain Club, the Connecticut River Joint Commission, Connecticut River Watershed Council, Conservation Law Foundation, New Hampshire Rivers Council, New Hampshire Council of Trout Unlimited, and the Northeast Chapter of Vermont Trout Unlimited (jointly, Appalachian). The Agreement sets forth proposed changes to operational modes and minimum flow releases for the project. In addition, the Agreement contains proposals for implementing water management protection, mitigation, and enhancement measures; establishing an Upper Connecticut River Mitigation and Enhancement Fund; and preparing various management, mitigation, and enhancement plans to benefit environmental and cultural resources.

As a result of the ALP, on July 26, 2000, USGenNE and certain stakeholders signed a Mercury Settlement providing for the funding of studies, plans, and mitigation measures for mercury reduction efforts designed to address bio-accumulation in the project area.¹⁰ The Agreement and Mercury Settlement are described below.

⁶The Commission's regulations require that relicense applications include an Exhibit E (environmental report). The APEA is a substitute for the Exhibit E. <u>Sec</u> 18 C.F.R. §§ 4.51(f) and 16.8(f).

⁷Energy Policy Act of 1992, Pub. L. No. 102-486.

818 C.F.R. § 4.34(i).

⁹The Agreement was executed on August 6, 1997, and was included as Appendix A in volume 1 of the APEA.

¹⁰USGenNE filed the Mercury Settlement with the Commission on December 14, (continued...)

Project No. 2077-016

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elevations by May 21, as defined below. Flows shall be reduced to the extent necessary to achieve target reservoir elevations defined below for the Comerford reservoir. Prior to reducing the flows, the licensee shall consult with the New Hampshire Department of Environmental Services (NHDES) and the Vermont Department of Environmental Conservation (VTDEC) and shall provide these agencies with an analysis substantiating the necessity of the reduced flows.

The licensee shall operate the project so that the Comerford Development reservoir does not exceed a maximum elevation of 650.0 feet msl and a maximum annual drawdown to a level no lower than 624.0 feet msl. To enhance conditions for fish spawning in the spring, the licensee shall achieve a minimum reservoir elevation of 645.0 feet msl, with a target elevation of 647.0 feet msl, by May 21 each year. The licensee shall ensure that reservoir fluctuations from May 21 through June 30 shall not cause the reservoir to be drawn down more than 2.0 feet below the maximum elevation previously attained during this period.

McIndoes Development

The licensee shall release from the McIndoes Development dam into the Connecticut River the following instantaneous flows: 1,105 cfs from June 1 to September 30, 2,210 cfs from October 1 to March 31, and 4,420 cfs from April 1 to May 31, or inflow to the project reservoir, whichever is less, as measured in the tailrace. Inflow to the McIndoes impoundment is defined as the sum of the corresponding Comerford development minimum flow subject to the Comerford flow reduction provisions described above, and the prorated Passumpsic gage flow (1.3 times the reported flow at Passumpsic gage No. 01135500). Minimum flow releases from the McIndoes dam between April 1 and May 31 may be reduced to 2,210 cfs if flows in excess of 50,000 cfs are anticipated at the Bellows Falls Project No. 1855, or 10,000 cfs are anticipated at the Wilder Project No. 1892. The licensee shall notify NHDES and VTDEC when such action reducing flows is taken. The maximum discharges from June 1 through February 28 shall not exceed 5,800 cfs for more than 7 percent of the hours during the period; this restriction shall not apply to periods when the Moore and Comerford reservoirs are at their maximum operating elevations, or when the sum of the flow at the Dalton gage and prorated Passumpsic gage exceeds 8,000 cfs. Prior to reducing the flows from the McIndoes development, the licensee shall consult with the NHDES and the VTDEC and shall provide these agencies with an analysis substantiating the necessity of the reduced flows. Such flow reduction is allowed for short periods upon mutual agreement between the licensee and NHDES and VTDEC.

Project No. 2077-016

The licensee shall operate the project so that the McIndoes Development reservoir does not exceed a maximum elevation of 451.0 feet msl and a maximum annual drawdown to a level no lower than 447.5 feet msl. The reservoir elevation of 451.0 feet msl may be exceeded if the inflow to the McIndoes reservoir exceeds the discharge capacity of the McIndoes dam, which is about 30,600 cfs at elevation 451.0 feet msl.

The minimum flows and reservoir levels required for each of the project developments may be temporarily modified if required by operating emergencies beyond the control of the licensee, or for short periods upon agreement between the licensee and the New Hampshire Fish and Game Department and the Vermont Agency of Natural Resources. The licensee shall notify the NHDES and the VTDEC within 24 hours of any deviation from the provisions described above and within 10 days submit a written report describing the event (including the extent of the duration), explaining the reasons, identifying ways to avoid future occurrences, and proposing mitigative measures. An extension of the 10-day filing deadline may be granted in writing by the NHDES for good cause. The licensee shall file an annual report of all minimum flow or reservoir level deviations from the specifications identified in this license article, with the NHDES and VTDEC, as part of the annual filing required in Article 403.

Article 402, Within 270 days from the date of issuance of this license, the licensee shall file with the Commission, New Hampshire Department of Environmental Services (NHDES), and Vermont Department of Environmental Conservation (VTDEC), a draft operating plan and schedule that addresses how storage at the Fifteen Mile Falls Project reservoirs will be used to provide guaranteed flows (as required in Article 401) from the project developments, while minimizing the effects on the environment and public use. A draft of the plan shall be developed in consultation with the NHDES, New Hampshire Fish and Game Department, U.S. Fish and Wildlife Service, and VTDEC.

The draft plan shall include a schedule for: (1) consulting with the resource agencies specified above concerning the development and implementation of the final plan; and (2) filing agency comments, the licensee's response to agency comments on the draft plan, and the final plan with the Commission.

The licensee shall include with the final plan documentation of consultation, copies of comments and recommendations on the plan after it has been prepared and provided to the agencies, and specific descriptions of how the agencies' comments and recommendations are accommodated by the plan. The licensee shall allow a minimum of 30 days for the agencies to comment and to make recommendations before filing the final plan with the Commission. If the licensee does not adopt a recommendation, the filing shall include the licensee's reasons, based on project-specific information.

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January 3, 2017

Shannon Ames, Executive Director Low Impact Hydropower Institute 34 Providence Street Portland, Maine 04103

RE: Water Quality Status of the Connecticut River for Low Impact Hydropower Institute Certification of the Dodge Falls Hydroelectric Project (FERC License No. 8011)Dodge Falls Hydroelectric Project

Dear Ms. Ames:

Essex Hydro Associates (EHA) is applying on behalf of Dodge Falls Associates, L.P for Low Impact Hydropower Certification from the Low Impact Hydropower Institute (LIHI) for the Dodge Falls Hydroelectric Project (FERC License No. 8011), on the Connecticut River in Bath, NH. We understand that to receive LIHI certification, you require a statement from the New Hampshire Department of Environmental Services (NHDES) stating that the project is not causing or contributing to violations of state water quality standards. On June 18, 2014, NHDES sent EHA a letter outlining what would be needed to determine if the Connecticut River in the vicinity of the Dodge Falls Hydroelectric Project was or was not attaining water quality standards. The letter stated that "In order for NHDES to determine if the subject hydroelectric project is causing or contributing to water quality standard violations, additional monitoring and information is needed. In general, data / information is needed to address the following water quality concerns that are typically associated with hydropower projects:

- 1. Impact on ambient water quality criteria and thresholds;
- 2. Impact of pond fluctuations on aquatic habitat;
- 3. Maintenance of adequate minimum flows to protect downstream aquatic life; and
- 4. Adequate upstream and downstream fish passage."

The purpose of this letter is to provide you with our assessment of the data and information received from EHA in response to our letter of June 18, 2014 and, our conclusions as to whether or not the Dodge Falls Hydroelectric Project is causing or contributing to New Hampshire surface water quality standard violations in the Connecticut River.

Water quality data was collected for dissolved oxygen, water temperature, total phosphorus, and chlorophyll-a. Monitoring locations in the impoundment (44-CNT) and in the downstream section of the river (43X-CNT) were monitored continuously for a 10 day period in August 2014 for water temperature and dissolved oxygen using multi-parameter dataloggers. NHDES specified that the multi-parameter continuous water quality data should be collected under critical low flow (<3 x 7Q10) and higher water temperature conditions (>23° C). (There is a USGS stream gage on the Connecticut River in Woodsville, NH approximately four miles downstream of the Dodge Falls Hydroelectric Project. NHDES uses this gage as a surrogate to estimate low flow conditions in the vicinity of the project. During the datalogger deployment the flows were below the target conditions of 3 x 7Q10 (2070 cfs) for greater than 75% of the time. The daily average water temperature in the Connecticut River was often below the target of 23° C. NHDES acknowledges that the water temperature during the time of the datalogger deployment is acceptable and is typical of the expected water temperatures in this section of the Connecticut River during the summer (although it can be higher). EHA has stated that during the collection of the continuous water quality data the Dodge Falls Hydroelectric Project was operating under normal operating procedures. Between July and September 2014, EHA also collected ten weekly samples of total phosphorus and chlorophyll-a at stations 44-CNT and 43X-CNT.

> www.dbs.nlhgow 29)HazemDrive ••PO)Box95••Goncord/NH/08802400955 (608))27143508••TDD)Access: Relay,NH/148004785529644

January 3, 2017 Page 2 of 3

NHDES has assessed the water quality data collected in 2014, and based on this assessment concludes that the water quality in the impoundment and downstream section of the Connecticut River, under the project operating conditions and flow conditions during which the data was collected, is meeting existing water quality criteria or thresholds for dissolved oxygen, total phosphorus and chlorophyll-a. In the June 18, 2014 letter NHDES provided the assessment status for the parameters of concern for the reaches of the Connecticut River upstream and downstream of the Dodge Falls Hydroelectric Project. Table 1 provides an update to the current assessment status of the river reaches in question for the parameters collected in 2014. The assessments are based on the methodology described in the NHDES Consolidated Assessment and Listing Methodology (CALM)¹. This information will be used in the next Section 305(b)/303(d) Water Quality Assessment report which is expected to be issued by NHDES in 2016. Please note that the assessment status listed in Table 1 could change if water quality criteria or thresholds change and/or if additional data indicate water quality violations. For example, data collected at lower flows and/or higher temperatures might result in a different assessment.

Table 1. Assessment Status for Water	Quality Monitorin	g Parameters - Dodge Falls	Hydroelectric Project
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Assessment Unit and Monitoring Station	Location	Parameter	Designated Use	Assessment Status based upon summer 2013 sampling
		Dissolved Oxygen (mg/L)	Aquatic Life	Fully Supporting
	Dodge Falls Hydroelectric Project Impoundment	Dissolved Oxygen (% Sat.)	Aquatic Life	Fully Supporting
NHIMP801030203-01-02		Chlorophyll-a	Primary Contact Recreation	Fully Supporting
44-CNT			Aquatic Life	Potentially Supporting ^A
		Total Phosphorus	Aquatic Life	Indeterminate ^A
		Water Temperature	Aquatic Life	No numeric criteria ^C
	Downstream of Dodge Falls Hydroelectric Project– Tailrace	Dissolved Oxygen (mg/L)	Aquatic Life	Fully Supporting
		Dissolved Oxygen (% Sat.)	Aquatic Life	Fully Supporting
NHRIV801030206-03 43X-CNT		Chlorophyll-a	Primary Contact Recreation	Fully Supporting
		Total Phosphorus	Aquatic Life	No numeric criteria ^B
		Water Temperature	Aquatic Life	No numeric criteria ^C

^A NHDES does have numeric water quality thresholds for the aquatic life designated use for total phosphorus and chlorophyll-a in lakes/ponds and impoundments with characteristics similar to lakes/ponds but it can only be applied to waterbodies where the tropic class is known. For waterbodies where the trophic class is known the median total phosphorus and chlorophyll-a value is used to make the threshold comparison. The aquatic life designated use nutrient and chlorophyll-a thresholds are depicted below with the median values for each parameter for the data collected at station 44-CNT in assessment unit NHIMP801030203-01-02 and station 43X-CNT in assessment unit NHRIV801030206-03 during the summer of 2014.

	TP (ug/L)	Chl-a (ug/L)
Median 44-CNT (2014)	8.9	1,31
Median 43X-CNT (2014)	8.6	1,72
Oligotrophic	< 8	< 3.3
Mesotrophic	≤12	≤5
Eutrophic	≤28	≤11

^B NHDES does not have numeric water quality criteria for nutrients in rivers or streams. The narrative criteria states that "Class B waters shall contain no phosphorus or nitrogen in such concentrations that would impair any existing or designated uses, unless naturally occurring."

^C Although there is currently no numerical water quality criteria for water temperature, NHDES is in the process of collecting biological and water temperature data that will contribute to the development of a procedure for assessing rivers and stream based on water temperature and its corresponding impact to the biological integrity of the waterbody.

January 3, 2017 Page 3 of 3

In May of 2016 EHA provided NHDES with detailed information regarding the physical structure of the facility, minimum flows and pond fluctuations at the Dodge Falls Hydroelectric Project. EHA confirmed that the facility is operated as a run of river project and that the project does not draw down the impoundment or store water for purposes of power generation. Any pond level fluctuations are the result of operational of upstream hydroelectric projects or inflow that exceeds turbine capacity.

The Dodge Falls Hydroelectric project is required via its FERC license to maintain a minimum flow of 1108 cfs or project inflow – whichever is less. The Dodge Falls Hydroelectric Project is located immediately downstream of the Fifteen Miles Falls Hydroelectric Project which consists of a series of three dams. The closest Fifteen Miles Falls facility is the McIndoes Hydroelectric Dam which is approximately four miles upstream from the Dodge Falls Hydroelectric Project. As of 2002, the McIndoes station is required to maintain a minimum flow that varies during the year. The minimum discharge required is 1,105 cfs, or inflow from June 1 through September 30. The McIndoes project is required to release even higher minimum flows from October 1 through May 31. Because the minimum discharge from the McIndoes station is nearly identical to or greater than the minimum discharge of the Dodge Falls turbine, the turbine is able to operate continuously. When the Dodge Falls turbine is shutdown, river inflow is discharged over the pneumatic flashboards.

Regarding the issue of fish passage, EHA, in May 2016, provided NHDES with confirmation of compliance from John Warner of the U.S. Fish and Wildlife Service (USFWS) and Carol Henderson of New Hampshire Fish and Game (NHFG). Although fish passage is not required within the existing FERC license, NHFG recommended that EHA reevaluate the potential for fish (and possible eel passage) at the Dodge Falls Hydroelectric Project as part of LIHI certification.

In summary, based on the current operation of the facility, current water quality standards, water quality data collected in 2014 and information provided to NHDES by EHA, the Connecticut River immediately upstream and downstream of the Dodge Falls Hydroelectric Project is meeting water quality standards under the conditions during which the data was collected. As previously noted, the above water quality assessment could change in the future should a change in water quality criteria or thresholds and/or new data indicate water quality violations or the potential for water quality violations. It could also change if the NHDES, USFWS and/or NHFG conclude in the future that the project is not in compliance with upstream or downstream fish passage requirements or minimum flow requirements.

Should you have any questions or require additional information please contact me at (603)271-2083 or ted.walsh@des.nh.gov.

Sincerel

Ted Walsh, Surface Water Monitoring Coordinator NH-DES Watershed Management Bureau

Cc (via email):

Dr. Michael J. Sale, Low Impact Hydropower Institute Elise Anderson, Essex Hydro Associates, LLC Andrew Locke, Essex Hydro Associates, LLC Carol Henderson, NHFG John Magee, NHFG John Warner, USFS

Appendix 3

Andrew Locke

From:	Carpenter, Matthew
Sent:	Friday, July 26, 2019 9:07 AM
То:	Andrew Locke; Melissa Grader; Henderson, Carol; Magee, John
Cc:	Walsh, Ted
Subject:	RE: Dodge Falls Hydro - Fish Passage

Andrew,

At this point, the only fish passage issues I can think of would be for American eels. The density of eels is so low above the Wilder Dam that I do not think upstream eel passage is warranted for Dodge Falls at this time. It will be something to keep an eye on as passage improvements are made in the lower Connecticut River projects and the eel population increases in the upper watershed, but for now I have no fish passage recommendations for Dodge Falls. Matt

From: Andrew Locke <alocke@essexhydro.com>
Sent: Wednesday, July 24, 2019 2:14 PM
To: Melissa Grader <melissa_grader@fws.gov>; Henderson, Carol <Carol.Henderson@wildlife.nh.gov>; Carpenter, Matthew <Matthew.Carpenter@wildlife.nh.gov>; Magee, John <john.magee@wildlife.nh.gov>
Cc: Walsh, Ted <Ted.Walsh@des.nh.gov>
Subject: Dodge Falls Hydro - Fish Passage

EXTERNAL: Do not open attachments or click on links unless you recognize and trust the sender.

Melissa, Carol, Matt and John,

I'm following up on an inquiry Elise Anderson made late last year regarding Dodge Falls' LIHI recertification. As part of the recertification application process, LIHI requires us to consult with USFWS and NHFG on the adequacy of downstream and upstream fish passage facilities at the project. In addition, as part of the review of the Project's water quality NH DES requests that we find out if you are satisfied with the upstream and downstream fish passage provisions associated with the project.

If you have any comments in response to either question or if you have not comments, could you please get back to me no later than August 9th?

To help in your review, I've included a link to the project's most recent LIHI certification along with copies of its exemption and amendments.

If you have concerns, please let me know so we can discuss in person or on a conference call and include others from Essex in the discussion.

Thank you,

Andrew Locke President HCE Dodge Falls, Inc. General Partner Dodge Falls Associates, LP Current LIHI certification of the project (certified from 2014 through 2019):

https://lowimpacthydro.org/lihi-certificate-42-dodge-falls-hydroelectric-project-vermont-ferc-8011/

Appendix 4



February 11, 2016

Ms. Kimberly Bose Secretary Federal Energy Regulatory Commission 888 First Street, NE Washington D.C 20426

FEB 2 2 2016 PT:

Dear Secretary Bose:

The Connecticut River Atlantic Salmon Commission (CRASC), a Congressional-authorized fisheries management body, has required hydroelectric facilities in the basin to operate downstream passage facilities and certain operational measures to protect migrating Atlantic Salmon smolts and post-spawn adults. These measures were established by a Memorandum of Agreement (1990) as well as other complementary instruments implemented by State agencies, and are enforced by the Federal Energy Regulatory Commission (FERC). The CRASC issues an annual "Fish Passage Notification Letter" to the ten main-stem Connecticut River hydroelectric projects. This letter sets the dates for both upstream and downstream passage measures and is used to monitor compliance with these agreements.

The cooperative restoration effort for Atlantic Salmon was terminated in 2012 by CRASC, with a final basin-wide stocking of salmon fry in the spring of 2013. Stream sampling and assessments have led CRASC to conclude that all smolts produced from this final stocking in the upper basin migrated out of the basin by 2015. As a result CRASC has determined:

- It is no longer necessary to require downstream passage measures for salmon smolts at the main stem hydroelectric facilities identified in the 1990 CRASC MOA, or as included in past Annual Fish Passage Notification letters; and
- It is no longer necessary to require downstream passage measures for adult salmon at any hydroelectric facility unless that facility passed 50 or more adult salmon through its fishway the previous spring.

The CRASC believes these changes in downstream passage measures, specifically for salmon smolts and post-spawn sea-run salmon, are warranted but reserves the right to reinstate these requirements in the future if the status of Atlantic Salmon and its restoration changes. The CRASC remains committed to ensuring all other diadromous fishes in the basin have safe, timely, and effective upstream and downstream passage to complete all life history requirements. We look forward to working with FERC on ensuring those passage elements and other elements of hydropower facility operations support our ongoing restoration of the public's fishery resources.

Sincerely,

11/11-01

William Hyatt Chair, Connecticut River Atlantic Salmon Commission Director, Connecticut Dept. Energy and Environmental Protection Bureau of Natural Resources

Electronics copies:

Holyoke Gas and Electric, Richard Murray TransCanada, John Ragonese FirstLight Power, John Donohue CRASC, Commissioners CRASC, Technical Committee USFWS, John Warner USFWS, Brett Towler FERC Compliance

Mailed copies:

Essex Hydro, Dave Sherman Ampersand Gilman Hydro, Gregory Clouticr


Re: CRASC's 2017 Downstream Fish Passage Operations Schedule FERC Project No.: 8011

Dave Sherman Dodge Falls L.P. c/o Essex Hydro Associates 55 Union Street, 4th Floor Boston, Massachusetts 02108-2400

March 2, 2017

Dear Mr. Sherman,

The proposed 2017 fish passage schedule for the Dodge Falls Project is the same as 2016, with no downstream passage measures required for Atlantic salmon smolts at this project. If you have any questions feel free to contact John Warner of the U.S. Fish and Wildlife Service's (USFWS) New England Field Office at 603/223-2541, ext. 15 or me at 413-548-9138, ext 8121.

Sincerely,

Kenneth Sprankle Executive Assistant

Enclosure (1)

cc: CRASC Commissioners CRASC Technical Committee CRASC Fish Passage Subcommittee FERC-DLC

<u>2017</u> CT RIVER SCHEDULE OF UPSTREAM FISH PASSAGE OPERAT	TONS
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Location (Project)	Upstream Fish Passage	Species	Life Stage	Dates of Operation ¹	Hours of Operation
Wilder	Ladder	salmon salmon	adult adult	May 15 - July 15 September 15 - Nov 15	24 hrs/day 24 hrs/day
Bellows Falls	Ladder ²	salmon salmon	adult adult	May 15 - July 15 September 15 - Nov 15	24 hrs/day 24 hrs/day
Vernon	Ladder ²	salmon salmon shad & herring	adult adult adult	April 15 - July 15 September 15 - Nov 15 April 7 ⁽¹⁾ - July 15	24 hrs/day 24 hrs/day 24 hrs/day
Turners Falls	Cabot Ladder, Gatehouse Ladder, and Spillway Ladder	salmon salmon shad & herring	adult adult adult adult	April 7 - July 15 September 15 - Nov 15 April 4 ⁽¹⁾ - July 15	24 hrs/day 24 hrs/day 24 hrs/day
Holyoke	Zone-of-Passage Flows ³ Tailrace Lift, and Spillway Lift Tailrace, and Spillway	salmon, shad, herring and sturgeon salmon shad & herring sturgeon eels	adult adult adult adults juvenile	April 1 – November 15 April 1 – July 15 September 15 – Nov 15 April 1 – July 15 April 1 – November 15 April 15 - November 15	24 hrs/day up to 12 hrs/day ⁴ up to 12 hrs/day ⁴ up to 12 hrs/day ⁴ up to 12 hrs/day ⁴
	Eelways	0.00	1		24 hrs/day

1 - Actual dates of operation are based on passage of fish at the previous downstream fishway (excluding Holyoke). Turners Falls fishways shall be operational as soon as 50 shad have been counted passing Holyoke Fishlifts. Vernon Fish Ladder shall be operational within three days of the Turners Falls fishways being opened. Due to lack of real-time fish counting at Turners Falls, once those fishways are triggered open, a three day lag period is identified to reflect relatively quick upstream movement from the Turners Falls project by passed shad (1.6 day median) to Vernon (Castro-Santos 2011).

2 - Agencies have requested the operation of Bellows Falls Ladder either once 100 sea lamprey are passed at the Vernon Dam Ladder or an adult salmon is passed, whichever occurs first.

3 - Zone -of-passage flow of 1,300 cfs or more to the bypass reach below the dam

4 - Actual hours of operation on a day-to-day basis are to be determined by the MADFW in consultation with the project owner.

5 - Actual eelpass installation dates are dependent on river flow conditions and in consultation between project owner and MADFW and USFWS

Reference

Castro-Santos, T. 2012. Preliminary analysis of American shad passage at Vernon Dam 2011. Draft Report. S. O. Conte Anadromous Fish Research Center. Turners Falls, MA.

2017 CT RIVER SCHEDULE OF DOWNSTREAM FISH PASSAGE OPERATIONS

Location (Project)	Downstream Fish Passage Exit	Species	pecies Life Stage	Dates of Operation	Hours of Operation
Gilman/Dalton	Interim Bypass Sluice	salmon	smolt	Not required	
Moore	Bypass Sluice and Trap	salmon	smolt	Not required	
McIndoes	Log Sluice	salmon	smolt	Not required	1
Ryegate (Dodge Falls)	Fish Bypass Facility	salmon	sinolt	Not required	-
Wilder	Log Sluice	salmon salmon	smolt adult	Not required October 15 - December 31	24 hrs/day
Bellows Falls	Angled Fish Guide Wall and Log Sluice	salnion salmon	smolt adult	Not required October 15 - December 31 ¹	24 hrs/day
Vernon	Fish Bypass at Unit 10	salmon salmon shad shad	smolt adult adult juvenile	Nat required October 15 - December 31 ¹ April 10 ² - July 31 August 1 - November 15	24 hrs/day 24 hrs/day 24 hrs/day
	Louvers and Fish Pipc at Unit 4	ccls salmon salmon shad shad cels	adults smolt adult adult juvenile adults	September 1 – November 15 Not required October 10 – December 31 ¹ April 10 ² – July 31 August 1 – November 15 September 1 – November 15	24 hrs/day 24 hrs/day 24 hrs/day 24 hrs/day 24 hrs/day 24 hrs/day
Northfield	Barrier Net	salmon	smolt	Not required	
Turners Falls	Log Sluice and Trash Sluice	salmon salmon shad shad eels	smolt adult adult javenile adults	Not required October 15 - December 31 ¹ April 7 - July 31 August 1 - November 15 September 1 - November 15	24 hrs/day 24 hrs/day 24 hrs/day 24 hrs/day 24 hrs/day
Holyoke	Canal Louver and new (2016) low level Bypass	salmon salmon shad shad eels sturgeon sturgeon	smolt adult adult juvenile adults adults juvenile	Not required October I - December 31 ¹ April I – July 31 August I - November 15 Scptember 1 – December 1 April I – November 15 ³ April 1- November 15 ³	24 hrs/day 24 hrs/day 24 hrs/day 24 hrs/day 24 hrs/day 24 hrs/day 24 hrs/day
	Bascule Gate	cels salmon shad shad eels	adults smolt adult adult juvenile adults	September 1 – December 1 Not required October 15 - December 31 ¹ April 1 - July 31 August 1 - November 15 September 1 – December 1	24 hrs/day 24 hrs/day 24 hrs/day 24 hrs/day 24 hrs/day 24 hrs/day

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2-Downstream passage measures should be operational for American shad at the same time as upstream passage is initiated, based on Turners Falls upstream passage operations.

3 - Fish passage operations/schedule may be adjusted by NOAA Fisheries, USFWS, and/or MADFW.



Re: CRASC's 2018 Downstream Fish Passage Operations Schedule FERC Project No.: 8011

Dave Sherman Dodge Falls L.P. c/o Essex Hydro Associates 55 Union Street, 4th Floor Boston, Massachusetts 02108-2400

February 27, 2018

Dear Mr. Sherman,

The proposed 2018 fish passage schedule for the Dodge Falls Project is the same as 2017, with no downstream passage measures required for Atlantic salmon smolts at this project. If you have any questions feel free to contact John Warner of the U.S. Fish and Wildlife Service's (USFWS) New England Field Office at 603/223-2541, ext. 15 or me at 413-548-9138, ext 8121.

Sincerely,

Hul And

Kenneth Sprankle Executive Assistant

Enclosure (1)

cc: CRASC Commissioners CRASC Technical Committee CRASC Fish Passage Subcommittee FERC-DLC

Cor	necticut River Atlantic Salmon Commission
	2018
Connecticut R	iver Schedule of Downstream Fish Passage Operation

Location (Project)	Downstream Fish Passage Exit	Species	Life Stage	Dates of Operation	Hours of Operation
Gilman/Dalton	Interim Bypass Shice	salmon	smolt	Not required	
Moore	Bypass Sluice and Trap	salmon	smolt	Not required	
MeIndoes	Log Sluice	salmon	smolt	Not required	
Rycgate (Dodge Falls)	Fish Bypass Facility	salmon	smolt	Not required	
Wilder	Log Sluice	salmon	smolt	Not required	
		sahnon	adult	October 15 - December 31	24 hrs/day
Bellows Falls	Angled Fish Guide Wall and Log Sluice	salmon	smolt	Not required	
11 - 120 HIMLE & 212 19 - 113		salmon	adult	October 15 - December 31 ¹	24 hrs/day
Vernon	Fish Bypass at Unit 10	salmon	smolt	Not required	
where a second sec		salmon	adult	October 15 - December 31 ¹	24 hrs/day
		shad	adult	April 10 ² - July 31	24 hrs/day
		shad	juvenile	August 1 - November 15	24 hrs/day
	Louver and Fish Pipe at Unit 4	eels	adult	September 1 – November 15	24 hrs/day
	and shares and all	salmon	smolt	Not required	
		salmon	adult	October 10 - December 31 ¹	24 hrs/day
		shad	adult	April 10 ² - July 31	24 hrs/day
	an and a state of the second state	shad	juvenile	August I - November 15	24 hrs/day
		eels	adult	September 1 – November 15	- 24 hrs/day
Northfield	Barrier Net	salmon	smolt	Not required	
Curvers Falls	Log Sluice and Trash Sluice	salmon	smolt	Not required	
a har and a second s		salmon	adult	October 15 - December 31 ¹	24 hrs/day
		shad	adult	April 7 - July 31	24 hrs/day
		shad	juvenile	August 1 - November 15	24 brs/day
		eels	adult	September 1 November 15	24 hrs/day

Table continued on page 2...

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2 - Downstream passage measures should be operational for American shad at the same time as upstream passage is initiated, based on Turners Falls upstream passage operations.

3 - Fish passage operations/schedule may be adjusted by NOAA Fisheries, USFWS, and/or MADFW.

Connecticut River Atlantic Salmon Commission 2018 Connecticut River Schedule of Downstream Fish Passage Operations

Location (Project)	Downstream Fish Passage Exit	Species	Life Stage	Dates of Operation	Hours of Operation	
Holyoke	Canal Louver and new (2016) low level Bypass	salmon	smolt	Not required	1	
		salmon	adult	October 15 - December 311	24 hrs/day	
		shad	adult	April 1 - July 31	24 hrs/day	
		shad	juvenile	August 1 - November 15	24 hrs/day	
		eels	adult	September 1 - December 1	24 hrs/day	
		sturgeon	adult	April 1 – November 15 ³	24 hrs/day	
		sturgeon	juvenile	April 1- November 153	24 hrs/day	
	Bascule Gate	eels	adult	September 1 - December 1	24 hrs/day	
	and the second states of	salmon	smoli	Not required		
		salmon	adult	October 15 - December 31	24 hrs/day	
		shad	adult	April 1 - July 31	24 hrs/day	
		shad	juvenile	August 1 - November 15	24 hrs/day	
		cels	adult	September 1 - December 1	24 hrs/day	

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3 - Fish passage operations/schedule may be adjusted by NOAA Fisheries, USFWS, and/or MADFW.



Re: CRASC's 2019 Downstream Fish Passage Operations Schedule FERC Project No.: 8011

Dave Sherman Dodge Falls L.P. c/o Essex Hydro Associates 55 Union Street, 4th Floor Boston, Massachusetts 02108-2400

February 28, 2019

Dear Mr. Sherman,

The proposed 2019 fish passage schedule for the Dodge Falls Project is the same as 2018, with no downstream passage measures required for Atlantic salmon smolts at this project. If you have any questions feel free to contact Melissa Grader of the U.S. Fish and Wildlife Service's (USFWS) New England Field Office at 413-548-8002, ext. 8124, or me at 413-548-9138, ext. 8121.

Sincerely,

Kenneth Sprankle Executive Assistant

Enclosure (1)

cc: CRASC Commissioners CRASC Technical Committee CRASC Fish Passage Subcommittee FERC-DLC MAR 0 1 2019

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(Connee	ticut River	Atlantic Salme	on Co	mmissio	n
			2019			
Connecticu	t River	Schedule	of Downstream	Fish	Passage	Operations

Location (Project)	Downstream Fish Passage Exit	Species	Life Stage	Dates of Operation	Hours of Operation
Gilman/Dalton	Interim Bypass Shice	salmon	smolt	Not required	
Moore	Bypass Sluice and Trap	salmon	smolt	Not required	
McIndoes	Log Sluice	salmon	smolt	Not required	1
Ryegate (Dodge Falls)	Fish Bypass Facility	salmon	smolt	Not required	
Wilder	Log Sluice	salmon	smolt	Not required	
		salmon	adult	October 15 - December 31 ¹	24 hrs/day
Bellows Falls	Angled Fish Guide Wall and Log Sluice	salmon	smolt	Not required	
	and hop brane.	salmon	adult	October 15 - December 31 ¹	24 hrs/day
Vernon	Fish Bypass at Unit 10	salmon	smolt	Not required	
		salmon	adult	October 15 - December 31 ¹	24 hrs/day
		shad	adult	April 10 ² - July 31	24 hrs/day
		shad	juvenile	August 1 - November 15	24 hrs/day
	Louver and Fish Pipe at Unit 4	eels	adult	September 1 – November 15	24 hrs/day
		salmon	smolt	Not required	
		salmon	adult	October 10 - December 31 ^T	24 hrs/day
		shad	adult	April 10 ² - July 31	24 hrs/day
		shad	juvenile	August 1 - November 15	24 hrs/day
		eels	adult	September 1 - November 15	24 hrs/day
Northfield	Barrier Net	salmon	smolt	Not required	
Furners Falls	Log Sluice and Trash Sluice	salmon	smolt	Not required	
		salmon	adult	October 15 - December 31 ¹	24 hrs/day
		shad	adult	April 7 - July 31	24 hrs/day
		shad	juvenile	August 1 - November 15	24 hrs/day
		eels	adult	September 1 - November 15	24 hrs/day

Table continued on page 2...

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New Hampshire Natural Heritage Bureau

DNCR - Division of Forests & Lands 172 Pembroke Road, Concord, NH 03301 Phone: (603) 271-2214 Fax: (603) 271-6488

- To: Andrew Locke Dodge Falls Associates, L.P. c/o Essex Hydro Associates, L.L.C., 55 Union St., 4th Floor Boston, MA 02108
- From: NH Natural Heritage Bureau

Date: 2019-07-26

Re: Review by NH Natural Heritage Bureau of request dated 2019-07-24

NHB File ID:3231Project type:Landowner Request

Town:Bath, NHLocation:Map 7, Lot 10

We have searched our database for records of rare species and exemplary natural communities on the property(s) identified in your request. Our database includes known records for species officially listed as Threatened or Endangered by either the state of New Hampshire or the federal government, as well as species and natural communities judged by experts to be at risk in New Hampshire but not yet formally listed.

NHB records on the property(s): None

A negative result (no record in our database) does not mean that no rare species are present. Our data can only tell you of known occurrences, based on information gathered by qualified biologists and reported to our office. However, many areas have never been surveyed, or have only been surveyed for certain species. An on-site survey would provide better information on what species and communities are indeed present.

NOTE: This review *cannot* be used to satisfy a permit or other regulatory requirement to check for rare species or habitats that could be affected by a proposed project, since it provides detailed information only for records actually on the property.

NHB records within one mile of the property(s): None



NOTE: Any rare species and/or exemplary natural communities in this area are not shown unless they occur, at least in part, within the property bounds.

Cological System:

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Andrew Locke

From:	Sheila Burge
Sent:	Tuesday, August 20, 2019 4:05 PM
То:	Ferguson, Mark
Cc:	Marshall, Everett; McHugh, Peter; Andrew Locke
Subject:	RE: Threatened and Endangered Specified in The East Ryegate VT Region

Mark,

Thank you for this information.

Sheila Burge

From: Ferguson, Mark [mailto:<u>Mark.Ferguson@vermont.gov</u>]
Sent: Tuesday, August 20, 2019 4:01 PM
To: Sheila Burge
Cc: Marshall, Everett; McHugh, Peter
Subject: RE: Threatened and Endangered Specified in The East Ryegate VT Region

Sheila,

This area has not been well-surveyed for mussels. In 2005, Ethan Nedeau surveyed a single site about 1.5 miles downstream of the East Ryegate dam. An uncommon species, Triangle Floater, was found there but no Dwarf Wedgemussels were reported. Nedeau's report did note that, although none were found, the habitat seemed excellent for Dwarf Wedgemussel and it seemed likely that the species was present somewhere within or near the survey location. Two other of his survey sites were located beginning 15 miles below the dam and Dwarf Wedgemussels were found at both sites; this lends support to his observation that Dwarf Wedgemussels could occur farther upstream.

I don't have any survey data from within the project's influence upstream of the dam.

Mark Ferguson Natural Heritage Zoologist Vermont Department of Fish & Wildlife 802-279-3422

From: Sheila Burge <<u>sburge@essexhydro.com</u>>
Sent: Tuesday, July 23, 2019 12:29 PM
To: Marshall, Everett <<u>Everett.Marshall@vermont.gov</u>>
Cc: Ferguson, Mark <<u>Mark.Ferguson@vermont.gov</u>>
Subject: Threatened and Endangered Specified in The East Ryegate VT Region

Everett,

Our hydroelectric project is located on the Connecticut River in East Ryegate, VT. We would like to confirm any threatened and endangered plant or animal species in the vicinity of Dodge Falls Hydroelectric Facility. In May 2009 you

confirmed to us there is historic records of the Dwarf Wedgemussel, which is endangered. Could you confirm if there are any changes or additions to this information. Please see the location below.

Thank you, Sheila Burge Dodge Falls Associates, LP



Before the Federal Energy Regulatory Commission

APPLICATION FOR AMENDMENT EXEMPTION FROM LICENSING PROJECT NO. 8011

DODGE FALLS HYDROELECTRIC PROJECT

NOVEMBER 1985

Dodge Falls Hydro Corporation West Lebanon, New Hampshire





HYDRA-CO Enterprises Inc. Cogeneration • Small Hydro • Alternate Energy

David R. Bristol, P.E. Vice President Hydro Development ONE Lincoln Center Suite 1225 Syracuse, New York 13202

Telephone (315) 471-2881 Telecopier (315) 471-2411

> 2641-510 0230C 80980

November 8, 1985

Mr. Kenneth F. Plumb Secretary Federal Energy Regulatory Commission 825 North Capitol Street, N.E. Washington, D.C. 20426

Subject: Dodge Falls Hydroelectric Project FERC Project Number 8011

Dear Mr. Plumb:

In June 1984, an exemption from licensing was issued to Dodge Falls Hydro Corporation to develop a project on the Connecticut River at East Ryegate, Vermont. Since that time, considerable work on the project has been done in the form of additional engineering, equipment contracts, and negotiations for a power contract. It has become apparent that certain changes need to be implemented in the project to make it financeable. We are therefore proposing to amend the existing exemption.

A previous exemption was granted in May of 1982 for a hydroelectric development on the New Hampshire side of the Connecticut River at Bath (FERC Project No. 3117). This exemption was subsequently surrendered (January 29, 1984) and the present exemption applied for. This change was requested in order to attempt to reduce the project cost.

However, after over a year of trying to develop the project as identified in the current Exemption, many complications have been identified. For example, modifications to the mill will be much more expensive than originally anticipated. Likewise, the relocation of pollution control equipment that is presently in the existing mill also turned out to be a much greater expense than originally anticipated. Further, the existing mill provided hydraulic limitations that rendered this arrangement not viable, both technically and economically.

Dodge Falls Hydro Corporation has now established a relationship with an experienced hydroelectric developer (HYDRA-CO Enterprises, Inc.) and the project has been (once again) reconfigured on the New Hampshire side of the Connecticut River. Environmental impacts for this revised project are essentially the same as for the original project on the New Hampshire side (Project 3117).

Mr. Kenneth F. Plumb Federal Energy Regulatory Commission November 8, 1985 Page 2

HYDRA-CO is currently negotiating with the Vermont Power Exchange for a power contract for the entire output of this facility. An acceptable power contract is now anticipated by January, 1986. With the power contract in place and the project reconfigured as proposed in this Amendment, we believe that the project will be financeable and will proceed in a timely manner.

On August 21, 1985 a DRAFT "Amendment to the Exemption from Licensing for the Dodge Falls Hydroelectric Project" ("Amendment") was transmitted to interested agencies. Their comments and discussion are included in Appendix A.3 of the Amendment.

The Applicant respectfully requests the FERC to accept and issue an order amending the project in accordance with this Amendment. The Applicant further requests a two year extention of time to start construction of the project. This extention is required to redesign the project in accordance with the Amendment and modify permits after issuance of the FERC order.

Very truly yours,

R Bestor

David R. Bristol Vice President, Hydro Development

DRB/glc Enclosure

APPLICATION FOR AMENDMENT EXEMPTION FROM LICENSING FERC PROJECT NO. 8011

DODGE FALLS HYDROELECTRIC PROJECT

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B Order Granting Exemption from Licensing (June 11, 1984)

1. Dodge Falls Hydro Corporation applies to the Federal Energy Regulatory Commission ("the Commission") to amend its <u>Exemption from Licensing</u> for the Dodge Falls Hydroelectric Project, a small hydroelectric power project that is proposed to have an installed capacity of 5 megawatts or less. The project is currently exempted from licensing as FERC Project No. 8011 VT/NH.

The proposed changes consist of moving the power plant from the Vermont side of the Connecticut River to the New Hampshire side and installing a single pit-type turbine in a new powerhouse. The installed capacity has been increased from 4.65 MW to 5 MW as described in Exhibit A.

The Project described in the Amendment is similar to Project 3117 which was granted Exemption from Licensing by the Commission on May 28, 1982 and ultimately surrendered by Dodge Falls Hydro Associates effective January 29, 1984.

2. The location of the Project is:

States: Vermont and New Hampshire Counties: Caledonia, Vermont and Grafton, New Hampshire Towns: Ryegate, Vermont and Bath, New Hampshire Stream: Connecticut River

3. The exact name and business address of the Applicant is:

Dodge Falls Hydro Corporation P.O. Box 388 West Lebanon, New Hampshire 03784

4. The exact name and business address of each person authorized to act as agent for the Applicant in this Application are:

Harvey D. Hill Dodge Falls Hydro Corporation P.O. Box 388 West Lebanon, New Hampshire 03784

David R. Bristol Vice President, Hydro Development HYDRA-CO Enterprises, Inc. One Lincoln Center, Suite 1225 Syracuse, New York 13202 (315) 471-2881

5. Dodge Falls Hydro Corporation is a corporation incorporated under the laws of the State of New Hampshire.

This application is executed in the State of New Hampshire County of Grafton, ss.

Harvey D. Hill, President of Dodge Falls Hydro Corporation, being duly sworn, deposes and says that the contents of this application are true as stated to the best of his knowledge and belief, and that he possesses full power and authority to sign this filing. The undersigned applicant has signed this application this 5th day of September, 1985.

Dodge Falls Hydro Corporation By:

Subscribed and sworn to before me, a Notary Public of the State of New Hampshire this 5th day of September, 1985.

Ŷ₩b Notary

My commission expires: 12/10/55 SHIRLEY ANN HEMENWAY. Notary Public My Commission Expires December 10, 1985

EXHIBIT A

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A.1 GENERAL OVERVIEW

The proposed 5.0 MW Dodge Falls Hydroelectric Project is located at the Dodge Falls Dam which spans the Connecticut River between the towns of Ryegate, Vermont and Bath, New Hampshire approximately 270 miles north of the mouth of the river at Long Island Sound. The original purpose of the dam is unknown, however, it was used from 1909 to 1966 to supply water to turbines which drove pulp grinders at the paper mill located on the west bank. Currently, process water is drawn from the impoundment for the paper mill. Table A-1 summarizes project statistics.

A.1.1 Existing Dam and Impoundment

The impoundment is formed by a powerhouse with a concrete substructure and a masonry superstructure; a concrete training wall section at the east end of the structure; and a grouted, rock-fill, timber crib dam with integral spillway that extends from the training wall across the river to the east abutment. The east abutment is in bedrock. The total length of the impounding structure is 485 feet.

The spillway dam is a grouted, rock-fill, timber crib structure with a timber crest and wood plank facing. The timber crest cap is 16 inches high, 3.6 feet wide and 375 feet long. Crest elevation is 421.6 MSLD and is about 15.5 feet above the streambed at the downstream toe. The crest is constructed of two layers of two-inch plank decking which are topped by longitudinal beams, 6 inches wide by 12 inches high and 3 feet long.

According to the record drawings of the dam, the upstream face of the spillway dam is covered from the crest to the foundation with rows of two-inch planks. These three-foot-long planks are placed overlapping one another, shingle fashion. The slope of this face is 1.7 horizontal to 1.0 vertical.

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A-1
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Table A-1

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PROJECT STATISTICS

SPILLWAY DAM	
Construction date	Circa 1905
Condition	Pair
Construction	Rock-filled timber crib
Foundation	Bedrock
Spillway length, ft.	375
Crest elevation, MSLD	421.6
Crest width, ft.	3.6
Upstream slope	1.7 horizontal to 1.0 vertical
Downstream slope	0.1-0.15 horizontal to 1.0
	Vertical
Height, ft.	15.5
Flashboards, ft.	2.0

	WITHOUT	WITE
IMPOUNDMENT	FLASHBOARDS	FLASHBOARDS
Surface elevation, MSLD	421.6	423.6
Surface area, acres	290	290
Maximum drawdown, ft.	0	2
Usable storage, acre-ft.	0	590
Length, miles	4	4

SIDE SPILLWAY (PROPOSED)	
Construction	Concrete
Foundation	Bedrock
Length, ft.	120
Crest elevation, MSLD	421.6
Crest width, ft.	3.0
Upstream slope	Vertical
Downstream slope	0.7 horizontal to 1.0 vertical
Height, ft.	12.0
Flashboards, ft.	2.0

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Table A-1 (Continued)

POWERPLANT (PROPOSED)

Plant capacity, kW
Plant discharge, cfs
Average annual energy production, MWh
Turbine type
Generator type
Number of units
Mode of operation

5,000 5,800 20,000 reduced Horizontal pit Kaplan originally 3 @ 1665kw »f-river Synchronous 11 Run-of-river

Turbines

Rated head, ft.	12
Rated output per unit, kW	5000
Rated discharge per unit, cfs	5800
Runner diameter, mm	5500

Generators

Rated capacity per unit, kW	5000
Rated output per unit, kVA	5550
Phase/voltage/frequency	3/4160/60
Synchronous speed, rpm	900

TRANSMISSION

Distance	to	substation,	ft.	500
Voltage,	kV			34.5
Туре				Overhead

USE OF POWER

Power to be sold to local utility.

The timber cribs along the downstream face of the spillway dam are covered from the crest to the toe with vertical wood plank facing two inches thick. Much of this facing is missing, exposing the grouted, rock-filled timber cribs at several locations. The slope of the downstream face is about 0.1 to 0.15 horizontal to 1.0 vertical.

The timber decking is fastened to the timber cribs with steel spikes. The deck beams are fastened to the timber cribs with drift pins.

The timber cribs consist of transverse, 12-inch-diameter logs spaced 6 to 6.5 feet, center to center, stacked perpendicular to 10-inch wide by 12-inch-high longitudinal beams which run parellel to the dam axis.

The old log sluiceway is located approximately 170 feet from the west abutment wall, and contains a concrete plug, approximately three feet high and 40 feet long. At the east side of the spillway dam is a 25-foot-long by 3-foot-wide concrete abutment wall with a crest elevation of 423.6 MSLD. The west side of the spillway dam ends in the west abutment wall.

The west abutment wall adjacent to the spillway dam is a concrete structure 12 feet wide and 54 feet long. The top of the wall is 12.2 feet above the spillway crest at an elevation of 433.8 MSLD. About 10 feet downstream from the toe of the spillway dam are two bypass openings in the wall, leading to the forebay of the old mill powerhouse. The opening of the high-level bypass is four feet wide by five feet high, and that of the low-level bypass is four feet by four feet. Invert elevations of these bypass openings are 419.5 MSLD and 410.0 MSLD, respectively. The bypasses are controlled by manually controlled, rising stem slide gates.

The 110-foot-long mill-building adjacent to the west abutment wall includes a two-story, brick masonry superstructure and a six-bay, concrete powerhouse substructure. Five of the bays are currently being used by CPM, Inc. for their paper manufacturing process.

These five bays have been converted to their present function by the removal of the old turbine-generator units and placement of concrete plugs to prevent water from flowing through the bays. The sixth bay, which is

adjacent to the west abutment wall, has not been blocked. Its opening is controlled by a motor-driven, vertical lift timber slide gate, 16 feet wide by 10 feet high. The invert elevation of the gate is 412.31 MSLD.

The reservoir extends nearly 4 miles upstream and has a surface area of approximately 290 acres at spillway crest elevation 421.6 MSLD. The storage capacity of the reservoir is about 4,350 acre-feet at spillway crest elevation, 4,940 acre-feet at normal power pool elevation 423.6 MSLD, and 7,985 acre-feet at top of dam elevation 433.8 MSLD.

A.2 PROPOSED INSTALLATION

The proposed installation consists of constructing a reinforced concrete powerhouse with an integral intake structure, installing a turbinegenerator with a total installed capacity of 5.0 MW, removing a 60-foot section of the existing dam, and constructing a 75-foot-long side spillway to provide sufficient spillway capacity. No auxiliary units or provisions for future units are proposed. There are no existing units to be retired or rehabilitated.

The proposed powerhouse with integral intake would be constructed of reinforced concrete and would have overall outside dimensions of 50^{10} feet 91^{90} feet long by 75 feet high. The structure would be located on the left bank of the Connecticut River just downstream of the existing dam. The integral intake structure would form the upstream water retaining wall of the powerhouse. The intake structure would be equipped with trashracks, a mechanical trashrack cleaner and a roller gate. The intake water passageway would be hydraulically proportioned to reduce head losses.

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The trashracks would be supported by horizontally placed steel tubing spanning the water passage opening. Rack bar spacing would be compatible with turbine vane requirements. The trashrack bars would be rectangular in shape and 0.375 inch thick by 3 inches wide. The racks would be inclined to aid in removal of debris. The trashracks would be cleaned with a mechanical trashrack rake, as well as with hand rakes. Beneath the intake structure, a grout curtain would be provided to reduce the seepage beneath

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the intake and powerhouse. Only a minimal amount of grouting is anticipated to be needed to seal any joints or fissures present in the rock foundation.

The upper equipment level would contain 5-kV switchgear cubicles, fire fighting equipment, an equipment service area, an oil pressure system, and control and protection cubicles for the units. The 5-kV switchgear cubicles would include the station service transformer with drawout fuses, current and potential transformers for protection and metering, and an air circuit breaker. The generator pit would contain the generator, generator terminal box, speed increaser and neutral transformer.

A hatchway would be provided in the roof of the powerhouse to allow access to and removal of the turbines and the generators. The hatchways would have steel hatch covers and would be located immediately above a service area. A travelling bridge crane would be provided to transport all parts and equipment within the powerhouse to the service area. The personnel entrance to the structure will be on the roof with stairs down to the upper equipment level. An emergency exit hatch and ladder would also be provided.

The forebay would be constructed by excavating rock and removing 60 feet of the dam including the left abutment. A side spillway section approximately 75 feet long would be constructed of concrete along the right side of the forebay running from the dam to the powerhouse. The side spillway is provided to maintain the required spillway capacity at the site.

A tailrace would be excavated in rock from the draft tubes to the river, a distance of approximately 60 feet.

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A graded gravel road would be provided from state highway 135 along an existing right-of-way to the site. The road would be 16 feet wide with grades not greater than 10 percent.

The parking area adjacent to the powerhouse would be large enough to accommodate several automobiles and service vehicles. Sufficient area would be provided to accommodate a temporary structure when servicing of the units outside of the powerhouse is required.

The switchyard would be enclosed by a chain link fence to prevent trespassing. The switchyard would be connected to the powerhouse 5-kVswitchgear cubicles via an underground cable duct.

Connection to one of two transmission systems is under consideration. The step-up transformer would be either a 4,160-V/34.5-kV unit or a 4,160-V/230-kV unit depending on which transmission system is used. The transformer would connect to a transition and metering cubicle. The metering cubicle would lead to a load disconnect switch. Lines would run from the disconnect switch to a switchyard takeoff structure and then to the transmission system.

Two transmission system options exists. The first option is to connect to an existing 34.5 kV substation near the existing paper mill on the Vermont side of the river, a distance of about 500 feet. The second option is to connect to an existing 230 kV line three miles east of the project site.

Based on comments from various agencies concerned with the fishery resource, the project will include future upstream and downstream fish passage facilities. The upstream passage will be accomplished by a trap and truck operation to be designed and operated in conjunction with the appropriate agencies and upstream dam owners before 1992. Downstream passage will also be provided in a timely fashion. Facilities will be designed in consultation with the appropriate agencies and installed before 1992. Further discussion is included in Secions E.2 and E.3

A.3 TYPE OF TURBINES

One turbine-generator unit would be installed in the powerhouse with a total installed capacity of 5.0 MW. The turbine would be horizontal pit-type unit with a 5500-mm diameter runner. The turbine would drive a speed increaser which would in turn drive a synchronous generator at 900 rpm. The turbine would be rated at 12 feet net head.

A.4 MODE OF OPERATION

The proposed facility will operate in a run-of-river mode using river flows controlled by the upstream reservoirs and hydroelectric stations. The McIndoe Falls plant is the control facility upstream of Dodge Falls, and the reservoir elevation will vary with available river flow. There will not be a store and release operation for the purpose of producing peak energy at the proposed facility.

A.5 FLOW DURATION CURVE

The nearest stream gage is Station Number 01138500 on the Connecticut River at Wells, Vermont, located at latitude N44°09'31", longitude W72°02'34". The gage is owned and maintained by the U.S. Geological Survey (USGS). The gage is a water stage recorder, and its accuracy is considered to be good. The drainage area above the gage is 2,644 square miles. Plows at the project site were proportioned by multiplying the recorded flows by the ratio of the drainage area at the site (2,215 square miles) to the drainage area at the gage (2,644 square miles), or 0.838. The available period of record extends from October 1949 through September 1978. Figure A-1 is the flow duration curve for the site. The average annual flow at the site is 4,915 cfs.)

A.6 ENERGY ESTIMATES AND HYDRAULIC CHARACTERISTICS OF THE PLANT

The average annual energy production of the proposed facility is 20,000 megawatt-hours. The plant factor of the proposed facility is 52 percent. The design head is 12 feet, the maximum head is 15 feet, and the average head is 14 feet. The hydraulic capacity of the proposed facility is 5,800 cfs.

The existing impoundment has a normal maximum surface elevation of 421.6 MSLD and a surface area of approximately 290 acres. Two feet of flashboards would raise the surface elevation to 423.6 MSLD with an insignificant increase in reservoir area. Reservoir storage is estimated to be 4360 acre-feet at spillway crest elevation (421.6 MSLD) and 4940 acre-feet at top of flashboard elevation (423.6 MSLD).

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EXHIBIT B

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B.1 GENERAL LOCATION MAP

A general location map of the project area is shown on Exhibit B-1.

B.2 DESCRIPTION OF REAL PROPERTY INTERESTS

The real property upon which the project will be located is currently owned in fee simple by CPM Inc., a New Hampshire corporation. The Applicant has an option until December 1, 1991 to purchase such real property, which option it intends to exercise. The option agreement and documentation of CPM's ownership of the property are attached in the "Documentary Evidence" Appendix to this application.



EXHIBIT E

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E.1 DESCRIPTION OF THE ENVIRONMENTAL SETTING

E.1.1 Land Use and Vegetative Cover

The Connecticut River Valley at Dodge Falls lies between Gardner Mountain (E1. 1,564 ft.) in Bath and Monroe, New Hampshire, and the lower hills (about E1. 1,000 ft.) of Ryegate, Vermont. Photographs of the project site as well as those referenced herein are shown in Appendix A. Forests cover most of the lands east of New Hampshire Route 135, while cleared pastures and fields dominate land use patterns in the narrow area between the highway and the river except on sites with steep slopes. These cleared lands occur on river terraces, mostly around the 500-foot elevation level, some 60 to 80 feet above the river. Lower terraces, 40 feet lower than those just described, are located just upstream from the dam and at a number of points farther north. Several small streams dissect these terraces creating narrow wooded ravines leading to the river (Photo 3). A land use and cover map is shown on Figure E-1.

The Vermont shore is characterized by a high wooded slope backed by large areas of cleared farmland. Forests begin on slopes a half to a full mile from the river, and, as on the opposite shore, wooded growth occupies valleys of tributary streams.

Between McIndoe Falls and Monroe, about 4 miles upstream of Dodge Falls Dam, and Wells River and Woodsville, 4.6 miles downstream, the land on both sides of the river is relatively undeveloped except for the village and mill at East Ryegate adjacent to the dam.

Forests in the project area are composed of mixed species, consisting of maples, birches, elm and basswood with scattered white pine and hemlock. The major stands of coniferous forest are along Manchester Brook, northwest of East Ryegate, and in the hills away from the river in New Hampshire.

In the immediate vicinity of the project site, forests cover terrace slopes and upper portions of ledges, while sparse communities of plants, primarily



annuals, are found on the narrow strip of exposed rock and the sand/rock shoreline of the river (See Photos 5 and 6 and Figure E-2). A detailed listing of vascular plant species noted at the Dodge Falls project site is found in Table E-1.

Among the rocks at the riverside below the dam in the area of the proposed tailrace, plants such as harebell, shadbush, early saxifrage, red osier dogwood and beggar-ticks occur. In addition to the typical blue-flowered harebell, the rarely seen white-flowered form (<u>Campanula rotundifolia</u> L. forma <u>albiflora</u> Rand & Redf.) was also found (Photo 8).

Operating records as recent as 1967 indicate that 2-foot flashboards were historically used on the dam at Dodge Falls, thus the reinstallation and use of flashboards to that height should have only marginal impact on upstream areas. For the most part, riverbanks are generally high and will contain the increased water levels within the existing channel.

E.1.2 Scenic and Aesthetic Resources

The Connecticut River in the reach from the Dodge Falls Dam north to McIndoe Falls is situated in a landscape of farms and woodlands. At upper elevations on both sides of the river, cleared land gives way to forests. The river is paralleled by paved highways and, on the Vermont side, by Interstate 91. Between the two dams, there are only a few homes and farm buildings, none near the river's edge. The Connecticut River in this reach, while not as undisturbed as it is further upstream, is an attractive if not a much-used resource.

E.1.3 Fisheries Resources

Although no recent studies of the fish in the Dodge Falls area have been made, it is reasonable to suppose that, downstream from the dam, there are mixed warmwater/coldwater fisheries including, for example, both trout and bass. Upstream of the dam, it is probable that, because this is an impounded reach, warmwater species predominate. Fish species assumed to be

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Figure E-2. Typical Shoreline Cross Section at Dodge Falls Project Area

PLANT SPECIES OBSERVED AT PROPOSED SITE OF HEADRACE CHANNEL, POWERHOUSE AND TAILRACE CHANNEL, DODGE FALLS HYDROELECTRIC STATION

EQUISETACEAE Equisetum arvense L. Equisetum hyemale L.

LYCOPODIACEAE Lycopodium lucidulum Michx.

OSMUNDACEAE Osmunda Claytonia L.

POLYPODIACEAE Matteuccia Struthiopteris (L.) Todaro Onoclea sensibilis L. Thelyteris noveboracensis L. (Nieuwl.) Polystichum acrostichoides (Michx.) Schott Athyrium Filix-femina (L.) Roth Pteridium aquilinum (L.) Kuhn.

PINACEAE Tsuga canadensis L. Pinus strobus L. Thuja occidentalis L.

GRAMINEAE Elymus virginicus L. Phleum pratense L.

Panicum clandestinum L. Andropogon Gerardi Vitman.

JUNCACEAE

Juncus sp.

LILIACEAE

Veratrum viride Ait. Lilium canadense L. Clintonia borealis (Ait) Raf. Smilacina racemosa (L.) Desf. Maianthemum canadense Desf. Smilax herbacea L.

IRIDACEAE Iris versicolor L. HORSETAIL FAMILY Common horsetail Scouring rush

CLUBMOSS FAMILY Shining clubmoss

FLOWERING FERN FAMILY Interrupted fern

FERN FAMILY Ostrich fern Sensitive fern New York fern Christmas fern Lady fern Bracken

PINE FAMILY Hemlock White pine Arbor vitae

GRASS FAMILY Terrell grass Timothy Panic grass Beardgrass

RUSH FAMILY Rush

LILY FAMILY False hellebore Canada Lily Clintonia False Solomon's-seal Wild lily-of-the-valley Catbrier

IRIS FAMILY Blue flag

ORCHIDACEAE Epipactis Helleborine (L.) Crantz SALICACEAE Salix nigra Marsh. Salix fragilis L. Populus deltoides Marsh. JUGLANDACEAE Juglans cinerea L. CORYLACEAE Corylus sp. Betula alleghaniensis Britt. Betula papyrifera Marsh. Alnus rugosa (DuRoi) Spreng. FAGACEAE Quercus rubra L. ULMACEAE Ulmus americana L. RANUNCULACEAE Thalictrum polygamum Muhl. Anemone virginiana L. Clematis virginiana L. Actaea rubra (Ait.) Willd. Actaea pachypoda Ell. BERBERIDACEAE Berberis vulgaris L. SAXIFRAGACEAE Saxifraga virginiensis Michx. HAMAMELIDACEAE Hamamelis virginiana L. ROSACEAE Spiraea latifolia (Ait.) Borkh. Amelanchier sanguinea (Pursh) DC. Amelanchier laevis Wieg. Rubus odoratus L. Rosa sp. LEGUMINOSAE Melilotus alba Desr. ANACARDIACEAE

Rhus typhina L.

Rhys radicans L.

ORCHID FAMILY Helleborine WILLOW FAMILY Black willow Crack willow Cottonwood WALNUT FAMILY Butternut HAZEL FAMILY Hazelnut Yellow birch Paper birch Speckled alder BEECH FAMILY Red oak ELM FAMILY American elm CROWFOOT FAMILY Meadow rue Thimbleweed Virgin's bower Red baneberry White baneberry BARBERRY FAMILY Barberry SAXIFRAGE FAMILY Early saxifrage WITCH-HAZEL FAMILY Witch-hazel ROSE FAMILY Meadow-sweet Red-stemmed shadbush Smooth shadbush Purple-flowering raspberry Rose PULSE FAMILY Sweet clover CASHEW FAMILY Staghorn sumac Poison ivy

CELASTRACEAE Celastrus scandens L. ACERACEAE Acer spicatum Lam. Acer pensylvanicum L. Acer Saccarinum L. Acer Negundo L. TILIACEAE Tilia americana L. LYTHRACEAE Lythrum salicaria L.

ONAGRACEAE Circaea alpina L.

ARALIACEAE Aralia racemosa L. Aralia nudicaulis L.

CORNACEAE Cornus stolonifera Michx. Cornus rugosa Lam. Cornus alternifolia L.f.

PYROLACEAE Pyrola elliptica Nutt.

ERICACEAE Vaccinum sp.

PRIMULACEAE Lysimachia Nummularia L. Lysimachia ciliata L.

ASCLEPIADACEAE Asclepias syriaca L.

BORAGINACEAE Myosotis scorpioides L.

VERBENACEAE Verbena hastata L.

LABIATAE Prunella vulgaris L. Mentha Arvensis L. STAFF-TREE FAMILY Climbing bittersweet

MAPLE FAMILY Mountain maple Striped maple Silver maple Box-elder

LINDEN FAMILY Basswood

LOOSESTRIFE FAMILY Purple loosestrife

EVENING-PRIMROSE FAMILY Enchanter's Nightshade

GINSENG FAMILY Spikenard Wild Sarsarparilla

DOGWOOD FAMILY Red osier dogwood Round-leaved dogwood Pagoda dogwood

PYROLA FAMILY Pyrola

HEATH FAMILY Blueberry

PRIMROSE FAMILY Moneywort Loosestrife

MILKWEED FAMILY Milkweed

BORAGE FAMILY True forget-me-not

VERVAIN FAMILY Blue vervain

MINT FAMILY Heal-all Field Mint Table E-1 (Continued)

SOLANACEAE Solanum Dulcamara L. SCROPHULARIACEAE Chelone glabra L. RUBIACEAE Mitchella repens L. CAPRIFOLIACEAE Viburnum Lentago L. Viburnum acerifolium I. Sambucus sp. CAMPANULACEAE Campanula rotundifolia L. Campanula rotundifolia L. forma albiflora Rand & Redf. Lobelia inflata I. Lobelia Kalmii I. COMPOSITAE Eupatorium maculatum L. Eupatorium perfoliatum L. Solidago bicolor L. Solidago graminifolia (L.) Salisb. Aster nemoralis Ait. Aster umbellatus Mill. Aster linariifolius L. Erigeron philadelphicus I. Xanthium strumarium L. Rudbeckia serotina Nutt. Bidens frondosa L. Bidens cernua L. Achillaea Millefolium L. Chryanthemum Leucanthemum L. Prenanthes sp.

NIGHTSHADE FAMILY Bittersweet nightshade FIGWORT FAMILY Turtlehead MADDER FAMILY Partridge berry HONEYSUCKLE FAMILY Nannyberry Dockmackie Elderberry BLUEBELL FAMILY Harebell White harebell Indian-Tobacco Kalm's lobelia COMPOSITE FAMILY Joe-Pye-weed Boneset White goldenrod Grass-leaved goldenrod Woodland aster Umbellate aster Stiff aster Philadelphian fleabane Cocklebur Black-eyed Susan Beggar-ticks Beggar-ticks Yarrow Chrysanthemum Rattlesnake-root

present in the Connecticut River at the project site, at least occasionally, are given in Table E-2 following.

E.l.4 Wildlife

The avian species observed or expected in the Dodge Falls project area (Table E-3) are typical of the upper Connecticut River Valley. In addition to summer residents, many spring and fall migrants pass through the area using the Connecticut River Flyway. The project's effects on bird life will be minimal, potentially affecting only those species dependent on fish populations for food, such as kingfishers and herons, as fish populations are affected. The list is based on the observations of a biologist with many years of experience in the northern Connecticut River Valley.

The mixture of field and forest at the river's edge and the proximity of relatively large tracts of forested hills nearby makes it probable that a typically diverse mammal community exists in the Dodge Falls area. Table E-4 contains a list of representative mammal species known or anticipated in the area. Since the proposed reservoir will be contained for the most part within the existing channel, there should be no long-term effects on area wildlife. This list is also based on the observations of a biologist with many years of experience in the northern Connecticut Valley.

E.1.5 Water Quality

A dissolved oxygen (D.O.) and temperature survey of the Connecticut River from Wells River, Vermont to the Comerford Reservoir was conducted on 25 August 1981. The results of this survey are shown in Table E-5.

All samples collected in this survey, except the sample at 20 feet in the Comerford Reservoir, had a dissolved oxygen concentration of more than 7 mg/liter. The D.O. at 20 feet in Comerford Reservoir was 6.95 mg/liter, 75 percent of the saturated D.O. at the sample temperature of 19.3°C. The percent saturations for all samples collected downstream of the Comerford Dam were 85 percent or greater. The three samples collected downstream of the site of the proposed project had oxygen saturations of 93-96 percent.

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Table E-2

THE PRINCIPAL FISH SPECIES INHABITING THE CONNECTICUT RIVER IN THE DODGE FALLS AREA

ANGUILLIDAE Anguilla rostrata (Lesueur)

SALMONIDAE Salmo gairdneri Richardson Salmo trutta Linnaeus Salvelinus fontinalis (Mitchill)

ESOCIDAE Esox lucius Linnaeus Esox niger Lesueur

CYPRINIDAE

Notemigonus crysoleucas (Mitchill) Notropis cornutus (Mitchill) Notropis hudsonius (Clinton) Rhinichthys atratulus (Hermann) Rhinichthys cataractae (Velenciennes) Semotilus atromaculatus (Mitchill) Semotilus corporalis (Mitchill)

CATOSTOMIDAE Catostomus catostomus (Forster) Catostomus commersoni (Lacépede)

ICTALURIDAE Ictalurus nebulosus (Lesueur)

GADIDAE

Lota Lota (Linnaeus)

CYPRINODONTIDAE Fundulus diaphanus (Lesueur)

CENTRARCHIDAE Ambloplites rupestris (Rafinesque) Lepomis gibbosus (Linnaeus) Micropterus dolomieui Lacépède Micropterus salmoides (Lacepède)

PERCIDAE Etheostoma olmstedi Storer Perca flavescens (Mitchill) FRESHWATER EELS American Eel

TROUTS Rainbow Trout Brown Trout Brook Trout

PIKES Northern Pike Chain Pickerel

MINNOWS AND CARPS Golden Shiner Common Shiner Spottail Shiner Blacknose Dace Longnose Dace Creek Chub Fallfish

SUCKERS Longnose Sucker White Sucker

FRESHWATER CATFISHES Brown Bullhead

CODFISHES Burbot

KILLIFISHES Banded Killifish

SUNFISHES Rock Bass Pumpkinseed Smallmouth Bass Largemouth Bass

PERCHES Tessellated Darter Yellow Perch

¹Source: Lee et al. 1980.

Table E-3

AVIAN SPECIES OBSERVED OR EXPECTED IN THE DODGE FALLS HYDROELECTRIC PROJECT AREA

Ardea herodias Linnaeus Butorides virescens (Linnaeus) Botaurus Lentiginosus (Rackett) Branta canadensis (Linnaeus) Anas platyrhynchos Linnaeus. Anas rubripes Brewster Anas Crecca Gmelin Charadrius vociferus Linnaeus Actitis macularia (Linnaeus) larus argentatus Pontoppidan Larus delawarensis Ora Zenaida marcroura (Linnaeus) Columbia livia (Gmelin) Megaceryle alcyon (Linnaeus) Colaptes auratus (Linnaeus) Dendrocopos villosus (Linnaeus) Dendrocopos pubescens (Linnaeus) Tyrranus tyrranus (Linnaeus) Sayornis phoebe (Latham) Iridoproche bicolor (Vieillot) Riparia riparis (Linnaeus) Hirundo rustica Linnaeus Cyanocitta cristata (Linnaeus) Corvus brachyrhychos Brehm Parus atricapillus Linnaeus Sitta carolinensis Latham Toxostoma rufum (Linnaeus) Turdus migratorius Linnaeus Hylvichla mustelina (Gmelin)

Great Blue Heron Green Heron American Bittern Canada Goose Mallard Black Duck Green-winged Teal Killdeer Spotted Sandpiper Herring Gull Ring-billed Gull Mourning Dove Rock Dove ("pigeon") Belted Kingfisher Common Flicker Hairy Woodpecker Downy Woodpecker Easter Kingbird Phoebe Tree Swallow Bank Swallow Barn Swallow Blue Jay Common Crow Black-capped Chickadee White-breasted Nuthatch Brown Thrasher American Robin Wood Thrush

Catharus fuscescens (Stephens) Sialia sialis (Linnaeus) Sturnus vulgaris Linnaeus Vireo olivaceus (Linnaeus) Denroica aestiva (Gmelin) Geothlypis trichas (Linnaeus) Dolichonyx oryzivorus (Linnaeus) Sturnella magna (Linnaeus) Agelaius phoeniceus (Linnaeus) Quiscalus guiscula (Linnaeus) Molothrus ater (Boddaert) Passerina cyanea (Linnaeus) Acanthis flammea (Linnaeus) Spinus tristis (Linnaeus) Spizella arborea (Wilson) Spizella passerina (Bechstein) Zonotrichia Leucophrys (Forester) Zonotrichia albicollis (Gmelin) Melospiza georgiana (Wilson)

Veery Eastern Bluebird Starling Red-eyed Vireo Yellow Warbler Yellowthroat Bobolink Eastern Meadowlark Red-winged Blackbird Common Grackle Brown-headed Cowbird Indigo Bunting Common Redpoll American Goldfinch American Tree Sparrow Chipping Sparrow White-crowned Sparrow White-throated Sparrow Song Sparrow

Table E-4

MAMMALS KNOWN OR ANTICIPATED TO OCCUR IN THE DODGE FALLS AREA

Blarina brevicauda (Say) Parascalops breweri (Bachman) Condylura cristata (Linnaeus) Myotis lucifugus (LeConte) Eptesicus fuscus (Palisot de Beauvois) Sylvilagus transitionalis (Bangs) Lepus americanus Erxleben Tamias striatus (Linnaeus) Marmota monax canadensis (Erxleben) Sciurus carolinensis Ora Tamiasciurus hudsonicus (Erxleben) Castor canadensis Kuhl Peromyscus maniculatus (Wagner) Peromyscus Leucopus (Rafinesque) Microtus pennsylvanicus (Ord) Ondatra zibethicus (Linnaeus) Zapus hudsonius (Zimmerman) Napaeozapus insignis (Miller) Erethizon dorsatum (Linnaeus) Vulpes fulva (Desmarest) Procyon Lotor (Linnaeus) Mustela vison (Schreber) Mephitis mephitis (Schreber) Odocoileus virginianus (Boddaert)

Short-tailed Shrew Hairy-tailed Mole Star-nosed Mole Little Brown Myotis Big Brown Bat New England Cottontail Snowshoe Hare Eastern Chipmunk Woodchuck Gray Squirrel Red Squirrel Beaver Deer Mouse White-footed Mouse Meadow Mole Muskrat Meadow Jumping Mouse Woodland Jumping Mouse Porcupine Red Fox Raccoon Mink Striped Skunk White-tailed Deer

Table E-5

DODGE FALLS HYDROELECTRIC PROJECT DISSOLVED OXYGEN AND TEMPERATURE SURVEY BY AQUATEC, INC. 25 August 1981

Sample Station Location	Time	Depth (ft)	Temp.	D.O.
Sample Station Incation	IIme	. (10.)	<u>(</u>	<u>(mg/1</u>
800' upstream of Comerford	1305	1	21.4	8.95
Dam in New Hampshire quarter		5	20.9	9.05
of river.		10	20.5	8.75
		20	19.3	6.95
		30	19.5	7.20
		40	19.5	7.20
		50	19.5	7 20
		70	10 1	7.30
		70	19.1	7 45
		100	18/9	7.55
		120	18.9	7.50
200' upstream of McIndoes Dam	1430	10	18.9	8.00
•				
200' downstream of McIndoes Dam	1445	1	18.9	8.05
25' upstream of CPM Dam	1515	11	19.5	7.85
(Dodge Falls).		(mid-depth)		
600' downstream of CPM Dam	1530	1	19.3	8,60
(Dodge Falls).		-		
4700' upstream of RR bridge	1615	1	20.0	8.75
in Wells River, VT			-	
1800' upstream of RR bridge		1	20.0	8.80
in Wells River, VT.				

This survey — conducted at a time of late summer low flow conditions indicates good water quality with respect to dissolved oxygen and temperature. The proposed project will be operated as a run-of-the-river generating facility and will have no significant impact on river water quality.

E.1.6 Rare and Endangered Species

Of the species of birds and mammals included among federally listed endangered and threatened species in the New Hampshire/Vermont area, only the Indiana bat, bald eagle, and peregrine falcon are potential visitors to the Dodge Falls area. The Indiana bat, a denizen of limestone caves, is at the northeastern limit of its range in New England. The nearest reported Vermont caves are in Groton and Bradford, several miles from the project site. Locations of New Hampshire caves are unknown but are assumed to be sufficiently distant to preclude impact from the project. The nearest location where this species has been observed is in the town of Vershire in Orange County, Vermont.

There are no known nesting sites for the bald eagle in Vermont or New Eampshire but individuals are occasionally observed. Although New Hampshire does not have an endangered species statute, the Vermont Agency of Environmental Conservation has published a list of that state's endangered species (AEC 1975). The Vermont list includes Canada lynx, pine marten, and osprey. Neither lynx nor marten are likely to be found near the project site. The osprey, once common, has not been known to nest in the region recently but is seen more frequently each year. The project's impact, if any, on the osprey or bald eagle would be as a result of effects on fish populations on which they feed.

There are no plants on the Federal Rare and Endangered list known from Vermont and only one species, <u>Potentilla robbinsiana</u> Oakes, from New Hampshire (Crow et al. 1981). Proposed for inclusion on the list are <u>Isotria medeoloides</u>, (Pursh) Raf. known from several locations in southeastern New Hampshire and once collected at Burlington, Vermont in 1902, and <u>Paronychia argyrocoma</u> (Michx.) Nutt. var. <u>albimontana</u> Fern., a species known from the White Mountains (Countryman 1978, Storks and Crow 1978). None of these species are known from the Dodge Palls region.

On a state level, Vermont lists 88 plant species as endangered (AEC 1975). One species on this list, helleborine (<u>Epipactis Helleborine</u> (L.) Cranz.) was found at the project site, on the New Hampshire side of the Connecticut River. This is an introduced species which is becoming increasingly common in both Vermont and New Hampshire and is in no way endangered. No species listed by Storks and Crow (1978) as rare and endangered vascular plants in New Hampshire were found at the project site, although 18 of those listed species have been reported from Bath, three from Haverhill and three from Monroe. Eight of the species reported from Bath are plants of calcareous soils and limestone ledges such as are found at Dodge Falls. The Connecticut River, except at the falls, flows though alluvium, and ledges of this sort are not found upstream of the dam in the project area.

E.1.7 Recreational Resources

The Connecticut River at Dodge Falls is used by fishermen, boaters, and canoeists. Passing, as it does, through unspoiled rural countryside, the river is an attractive recreation resource of increasing importance. According to the Vermont State Comprehensive Outdoor Recreation Plan (SCORP), reservoir pools of power stations on the river provide low-key, local recreational opportunities, and the portion of the Connecticut from the confluence of the White and Passumpsic Rivers is considered to have further potential for fishing and canoeing (AEC 1978).

Jurisdiction over the river to the mean watermark on the Vermont side is the province of New Hampshire; however, a reciprocity exists for holders of resident fishing licenses for use of the river. The land abutting the project is presently privately owned, limiting public access.

A major need noted in SCORP for northeastern Vermont is the development of a cance trail on the upper Connecticut, a goal that will not be hampered by the present proposal.

E.1.8 Historic and Archaeological Resources

Consultation with agencies concerned with historic and archaeological resources has thus far revealed no properties of historic, architectural, or archaeological significance which are included in or eligible for inclusion in the National Register of Historic Places. Additional communication in this regard is expected from New Hampshire officials.

The reservoir proposed in the Dodge Falls project will be at the historic elevation when the flashboards are used, so no additional lands will be flooded.

E.2 EXPECTED ENVIRONMENTAL IMPACTS

E.2.1 Impact On Resident Fish

The proposed Dodge Falls hydroelectric project is expected to have no material impact on the fisheries of the Connecticut River near the project site. The project will be operated in a run-of-the-river mode; that is, as the upstream station begins to discharge, the Dodge Falls unit will be started and brought up to speed. This will result in a temporary decrease in pond level. The pond level will be increased to the top of flashboard level to maximize the head. All flows that are released at the McIndoe station upstream will be passed directly through the Dodge Falls station.

The proposed increase in pool elevation of two feet by the reinstallation of flashboards should not result in an adverse impact to fisheries.

E.2.2 Impact On Anadromous Fish

The Applicant will provide fish-trapping facilities at this project by 1992. Conceptual plans will be provided by the Fish and Wildlife Service, and it will be necessary for the Fish and Wildlife Service to approve final construction plans as prepared by the Applicant. Operation of the facility will be the responsibility of the Applicant and other upstream dam owners. Any additional instantaneous flows for the operation of this facility will also be provided by the Applicant, as prescribed by the Fish and Wildlife Service. The Applicant requests that FERC require upstream dam owners to participate in a cost sharing means of accomplishing this plan.

The Applicant will provide downstream migrant bypass facilities at this project by 1992, or sooner if necessary, as prescribed by the Fish and Wildlife Service.

The Applicant will provide a minimum instantaneous release below the project of at least 1108 cfs (0.5 cfsm) or inflow to the project, whichever is less.

The Applicant will, within six months of the date of issuance of an

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exemption from licensing, present to the Fish and Wildlife Service for approval a plan for monitoring instantaneous flow releases at this project. Following approval of the plan, the Applicant will then measure instantaneous flows and provide records of discharges at the project on a regular basis as per specifications of the Fish and Wildlife Service. Upon receiving a written request from the Applicant, the U.S. Fish and Wildlife Service may waive the requirement for flow monitoring at this project provided the Applicant satisfactorily demonstrates that the required flow will be discharged at all times.

E.2.3 Water Quality

In accordance with the terms of the 401 certificate issued by Vermont, a study program will be undertaken to determine the impacts on dissolved oxygen during the first year of operation. The results of this study will determine if any mitigation measures will be required.

E.2.4 Other Impacts (Terrestrial Impacts On The Powerhouse Site)

Approximately 1.5 acres will be utilized for the powerhouse access road, parking area and switchyard. An additional 2 acres may be disturbed during project construction. The east bank of the river at the Dodge Falls Dam includes steep banks, rock outcrops, and, downstream from the dam, a rock and sand shoreline. This area supports a diverse and relatively localized association of plants, and this adds to the attractiveness of the site. As mentioned, approximately 1.5 acres of this habitat will be removed during project construction. Some areas near the dam having similar characteristics will be avoided. The Applicant proposes to flag those areas of shoreline and steep banks, particularly where they exist downstream from the dam, that resonably can be protected during the construction. Contractors will be required to keep equipment and personnel out of these areas.

Layout and landscaping of the powerhouse grounds will be designed in a manner to minimize visual impact. The parking area will be screened from the river by trees, and disturbed sites will be planted to native trees and shrubs.

E.3 AGENCY CONSULTATION

E.3.1 Procedure Followed

Agency consultation regarding this amendment has followed a three-phase process as prescribed by FERC. Consultation for the initial phase occurred in two stages: first, prior to the granting of an exemption in May of 1982 for the development of a hydroelectric project on the New Hampshire side of the Connecticut River; second, prior to the granting of the present exemption in June of 1984 for development of a project on the Vermont side of the river. During both stages, agency concerns and environmental issues regarding development on either side of the river were identified and addressed; these concerns and issues have not substantially altered since they were first raised. Correspondence regarding consultation for each stage of the initial phase is shown in Appendixes A.1 and A.2 respectively.

The second phase of consultation regarding this amendment has occurred prior to the filing of this amendment. On August 21, 1985 the agencies (listed in Exhibit E-1) were provided a "DRAFT Amendment to the Exemption

Exhibit E-1

AGENCIES CONSULTED

Mr. Ted Rosell, Project Manager Mr. Sidotti, Regional Engineer Federal Energy Regulatory Commission New York Regional Office 26 Federal Plaza New York New York 10007 (212) 264-1160 Mr. Stephen B. Sease* Director of Planning Vermont Agency of Environmental Conservation Montpelier, Vermont 05602 (802) 828-3357 Mr. Charles E. Barry* Executive Director State of New Hampshire Fish and Game Department Box 2003, 34 Bridge Street Concord, New Hampshire 03301 (603) 224-2585 Mr. Gordon E. Beckett, Supervisor* U.S. Department of the Interior Fish and Wildlife Service **Ecological Services** P.O. Box 1518 Concord, New Hampshire 03301 (603) 224-2585 Mr. Eric Gilbertson* Director/Deputy State Historic Preservation Officer State of Vermont Agency of Development and Community Affairs Montpelier, Vermont 05602 (802) 828-3226 Mr. Chris Mantzaris U.S. Department of Commerce National Oceanographic and Atmospheric Administration National Marine Fisheries Service State Fish Pier Gloucester, Massachusetts 01930 (617) 281-3600

State of New Hampshire Department of Resources and Economic Development Division of Parks State House Annex P.O. Box 856 Concord, New Hampshire 03301

Mr. Joseph Quinn Director, Recreational Services (Mr. John Planders, Commissioner) Department of Resources and Economic Development P.O. Box 856 Concord, New Hampshire 03301 (603) 271-3627

Mr. Delbert F. Downing, Chairman* New Hampshire Water Resources Board P.O. Box 2008 Concord, New Hampshire 03301-2008

Mr. Don Cook Environmental Impact Review Officer Environmental Protection Agency Room 2203 J.F.K. Federal Building Boston, Massachusetts 02203 (617) 223-7210

Mr. David Clark Department of the Interior National Park Service 15 State Street Boston, Massachusetts 02109 (617) 223-0199

Mr. William P. Patterson* Regional Environmental Officer Department of the Interior National Park Service 1500 Custom House 165 State Street Boston, Massachusetts 02109 Mr. Jeffrey Amestoy Attorney General State of Vermont 109 State Street Montpelier, Vermont 05602 (802) 828-3171

Mr. Russell Nylander Assistant Chief Engineer State of New Hampshire Water Supply and Pollution Control Commission Hazen Drive, Box 95 Concord, New Hampshire 03301 (603) 271-3440

Mr. Gene Crouch Project Manager Corps of Engineers New England Division 424 Trapelo Road Waltham, Massachusetts 02154 (617)-647-8491 From Licensing for the Dodge Falls Hydroelectric project" ("Amendment"). This Amendment is required to overcome problems in developing the project as currently exempted.

The third phase of consultation coincides with the filing of this Amendment and furnishing of copies to the consulted agencies.

E.3.2 Agency Comments

Many of the comments received in the second phase were similar to previous, first phase comments on the project and have been incorporated in the exemption conditions and/or in Section E.2 of this Amendment. Appendix A.3 includes copies of the correspondence regarding this phase of consultation.

In reference to the water quality certificate, the New Hampshire Water Supply and Pollution Control Commission noted that the issuance of the 401 certification would be based on Wetlands Board 149.8 permits since in itsjudgment, water quality impacts would not occur due to construction or operation of the facility. A wetland permit will be applied for during the project design. In a meeting held on November 4, 1985 with representatives of the Vermont Agency of Environmental Conservation, the conditions of the existing 401 certification (see Appendix A.3) were reviewed and appeared to be satisfactory to both parties. It was noted, however, that an amendment to the certification will be required. The Applicant will apply for such an amendment.

New Hampshire Fish and Game, in its letter dated August 29, 1985, reinforced previous requirements and conditions that are generally acceptable to the Applicant.

The United States Department of the Interior provided comments on September 18, 1985. Most of these are reinforcements of previous comments. The Applicant is generally receptive to these comments and the Department's requirements are generally acceptable. As in the existing exemption, it has been requested by each of the four fish and wildlife agencies contacted that the Applicant provide a fish trapping facility at the Dodge Falls Dam. It also has been requested that downstream fish passage facilities be provided. These requests are intended to further the objectives of the co-operative Connecticut River anadromous fisheries restoration program. It has been proposed that the requested fish trapping facility be in place and operative by 1992 and be kept operative for the life of the project.

Applicant has no objection in principle to the future inclusion of fish passage facilities at the Dodge Falls Dam. At this time, Fish and Wildlife Service criteria for downstream fish passage facilities are not well defined or well established. Due to this fact, the Applicant will make a reasonable effort to provide for future installation. Planning for this facility includes the eventual installation of the requested facilities. In this regard, however, it is noted that the proposal to truck fish upstream beyond other more substantial obstructions on the Connecticut and its tributaries will have benefits well beyond Applicant's power pool. Accordingly, Applicant looks forward to the assistance of state and federal agencies in negotiating an equitable distribution of costs for fisheries restoration. The Applicant believes upstream utilities should share in the cost of trapping facilities at the Dodge Falls site.

The State of Vermont Agency of Environmental Conservation provided substantial comments in a letter dated September 24, 1984. Subsequent to several telephone discussions regarding these comments, a meeting was held at the Agency's office in Montpelier, Vermont on November 4, 1985. The Applicant's position on each of the issues raised is as follows:

Cumulative Impact Assessment Procedure

The Applicant is requesting a change or amendment to an existing Exemption. The modification itself should not open the question of cumulative impact. Further, the Agency has not identified any changes or requirements that are a conceivable outcome of a cumulative impact. It is recognized that adding a truck and trap facility will improve (mitigate) upstream fish passage. The Applicant has also agreed to provide state of the art downstream passage facilities. The Applicant views these commitments as a positive impact over existing conditions. Both upstream and downstream migration are expected to benefit.

Subsequent to the November 4 meeting, Mr. Girardi of the Vermont Agency of Environmental Conservation called and indicated that the Agency was generally in agreement with comments provided by U.S. Fish and Wildlife and would not request the FERC to require a commulative impact study for this project.

<u>Aesthetics</u> The Applicant demonstrated with photos that the powerhouse will be a low profile structure. As proposed it will only be about 20-25 feet above dam crest and only 15 feet above the parking area. It will be nestled into the east bank and generally blend into the surrounding area. The Applicant will consider using the services of an architect or other consultant of appropriate expertise to make the project compatible with the site.

Subsequent to the November 4, 1985 meeting with the Vermont Agency of Environmental Conservation, Mr. Girardi indicated by telephone that the Agency continued to prefer the Project to be on the Vermont side of the river, but that if other agencies and interested parties were satisfied with the mitigative measures proposed, the Agency would not oppose the Project as amended.

Fisheries and Water Quality Issues Fisheries and Water Quality Issues have been discussed elsewhere in this Exhibit.

<u>Recreation</u> The Applicant will participate in the development of canoeing activity by providing a canoe portage around the dam. Associated with the portage will be fishing access within the limits of safety.

<u>Conclusion</u> The Applicant believes all of the issues raised have been addressed and that the final details will be worked out during design or as appropriate. A further response is anticipated from the Vermont Agency of Environmental Conservation.

EXHIBIT G

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APPENDIX A.1

PHASE I CONSULTATION STAGE 1, NEW HAMPSHIRE SIDE

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UNITED STATES DEPARTMENT OF THE INTERIOR FISH AND WILDLIFE SERVICE ECOLOGICAL SERVICES P.O. Box 1518 Concord, New Hampshire 03301

Ref: FERC No. 3117, E. Ryegate, VT

SEP 2 1981

Mr. William D. Countryman Aquatec, Inc. 75 Green Mountain Drive South Burlington, Vermont 05401

Dear Mr. Countryman:

This responds to your August 3 letter and accompanying Exhibit A regarding the proposed redevelopment of the East Ryegate, Vermont, hydroelectric power project on the Connecticut River.

The project proposal described in the Exhibit A, supplemented by your comments made during the August 13, 1981, site visit, indicate consideration has been given during project planning to requirements for maintenance of fish and wildlife resources. The operating mode, termed "modified run-of-river," will provide adequate flows downstream of the dam and the tailrace discharge to insure protection of the resident fisheries. We understand inflows to the Dodge Falls impoundment are regulated by the synchronous operation and power generation from Moore Reservoir, to Comerford Reservoir, to McIndoes Reservoir, the next______ upstream impoundment, and thence to the Dodge Falls impoundment.

The major concern of the Fish and Wildlife Service, the New Hampshire Fish and Game Department, and the Vermont Fish and Game Department is the need for fishpassage facilities for anadromous Atlantic salmon at the project dam. The expanded "Connecticut River Fish Passage, Flow, and Important Habitat Considerations Relative to the Anadromous Fish Restoration Program" presently under review by the Policy and Technical Committees for Fishery Management of the Connecticut River, calls for construction of a fish-trapping structure at the Ryegate Dam by 1992. Trapped salmon then would be transported by truck to above the Gilman and Wyoming Valley dams as part of the salmon restoration plan. Bypass facilities for downstream migrants also would have to be included in dam construction.

We note in the last paragraph of Section A.2 that there are two transmission system options under consideration. There is no information, however, on the possible location of either line, particularly the three-mile line if that option is selected. Our concern is that the right-of-way not traverse wetland or deer-wintering habitat.

During the August 13 site visit, you mentioned that a small-boat/canoe portage path will be provided at the dam. It would be helpful to potential users if appropriate signing is erected in conjunction with the portage. Because the river is a public waterway, angler access should be guaranteed by the developPursuant to Section 30(c) of the Federal Power Act and Section 408 of the Energy Security Act, the Fish and Wildlife Service requests inclusion of the following terms and conditions in the exemption application:

- Fish-trapping facilities and vehicular access will be constructed at the dam by the development owner by 1992, and maintained for the life of the project; details of the trap location and design will be coordinated with appropriate personnel of the Fish and Wildlife Service, the New Hampshire Fish and Game Department, and the Vermont Fish and Game Department.
- Downstream-migrant fish-passage facilities will be included in project restoration; details of location and design will be coordinated with agencies noted in the foregoing condition no. 1.
- 3. The transmission line right-of-way will be located so as to avoid wetland and deer-wintering habitat.
- 4. A small-boat/canoe portage will be provided and adequately signed.
- 5. The development owner will guarantee reasonable angler access to project area waters insofar as safety conditions permit.

In summary, we have no objections to issuance of an exemption from license subject to the above conditions.

Sincerely yours,

Sandon & Backist

Gordon E. Beckett Supervisor ETTELS, NO. ENVIRONMENT, CENNICE

GREEN MOUNTAIN DRIVE, SOUTH BURLINGTON, VERMONT 05401, TELEPHONE (802) 658-

August 3, 1981

Gordon E. Beckett Supervisor United States Department of the Interior Fish and Wildlife Service Ecological Services P. O. Box 1518 Concord, NH 03301

Dear Mr. Beckett:

I am writing in connection with a proposed hydroelectric development on the Connecticut River at the CPM mill in East Ryegate, Vermont. The dam itself, the so-called Dodge Falls or East Ryegate dam, and the site of the proposed power house is located in Bath, New Hampshire.

I have enclosed a draft project description prepared by the project engineers, International Engineering Company, Inc. of Darien, Connecticut. Aquatec is working through a subcontract to International Engineering Co., Inc.; and the engineer's client, in turn, is CPM Corporation. CPM will be seeking a license exemption from the Federal Energy Regulatory Commission for their proposed development.

In evaluating project impacts, it should be noted that the proposed addition of two feet of flashboards on top of the existing dam is in keeping with historical flowage rights for the dam. Also, it is proposed that the limited storage available in the pool behind the dam be drawn upon at the start of each daily generating cycle. However, and this is important in your evaluation, the minimum sustained discharge from the station will not in any way be affected by this drawdown. The drawdown will be used in this way: just before the next station upstream, the McIndoe Station, begins to discharge, water will be passed through the first unit in the new proposed station to begin to bring the turbine up to speed. In this way, the daily Mr. Beckett

August 3, 1981

electrical output from the station can be increased by eliminating the initial lag between opening the gates and bringing the generator on line. This drawdown does not represent a ponding or peaking mode of operation in the usual sense. At the end of each daily generating cycle, the station will be shutdown with the water level at or near the crest of the flashboards; flows past the project will not be interrupted at the end of the generating cycle.

Aquatec's deadline for completing work on our client's license exemption application is August 26th. If you have questions concerning the project, I or another of Aquatec's representative would be happy to answer those questions by phone or, if you prefer, to visit with you there in Concord. As you know, our applicant will be required to submit as part of his application a letter from your agency demonstrating that he or his consultants has conducted consultations with appropriate fish and game agencies. Accordingly, toward the end of the month I will be requesting such a letter from you. Your assistance in this regard is greatly appreciated.

Sincerely,

AQUATEC, INC.

William D. Countryman

WDC:rdh

Enc.

cc: Rey Bokenson



UNITED STATES DEPARTMENT DF COMMERC National Oceanic and Atmospheric Administrat NATIONAL MARINE FISHERIES SERVICE

Services Division Habitat Protection Branch 7 Pleasant Street Gloucester, MA. 01930

August 31, 1981

Mr. William D. Countryman Aquatec, Inc. 75 Green Mountain Drive South Burlington, VT. 05401

Dear Mr. Countryman:

We have reviewed the information provided in your August 3, 1981, letter regarding Dodge Falls Hydro Project on the Connecticut River in Grafton County, New Hampshire.

The Draft Connecticut River Basin Fish Passage Action Plan for Anadromous Fish, as developed by the Technical Committee for Fisheries Management of the Connecticut River and submitted to the Policy Committee, calls for construction of a fish trapping structure at the East Ryegate dam.

We support the Committee's program to reestablish runs of anadromous fish in the Connecticut River. The enhancement of commercially valuable American shad and Atlantic salmon stocks are of importance to the National Marine Fisheries Service.

For these reasons we would recommend inclusion of plans for suitable fish trapping facilities in the proposed project design.

We appreciate the opportunity to review the draft project description and look forward to further consultation with you on this matter.

Sincerely,

to Relfus

Ruth Rehfus Branch Chief



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GREEN MOUNTAIN DRIVE, SOUTH BURLINGTON, VERMONT 05401. TELEPHONE (802) 6

August 3, 1981

Ruth Rehfus United States Department of Commerce National Oceanographic & Atmospheric Administration National Marine Fisheries Service Services Division Habitat Protection Branch 7 Pleasant Street Glouchester, MA 01930

Dear Ms. Rehfus:

I am writing in connection with a proposed hydroelectric development on the Connecticut River at the CPM mill in East Ryegate, Vermont. The dam itself, the so-called Dodge Falls or East Ryegate dam, and the site of the proposed power house is located in Bath, New Hampshire.

I have enclosed a draft project description prepared by the project engineers, International Engineering Company, Inc. of Darien, Connecticut. Aquatec is working through a subcontract to International Engineering Co., Inc.; and the engineer's client, in turn, is CPM Corporation. CPM will be seeking a license exemption from the Federal Energy Regulatory Commission for their proposed development.

In evaluating project impacts, it should be noted that the proposed addition of two feet of flashboards on top of the existing dam is in keeping with historical flowage rights for the dam. Also, it is proposed that the limited storage available in the pool behind the dam be drawn upon at the start of each daily generating cycle. However, and this is important in your evaluation, the minimum sustained discharge from the station will not in any way be affected by this drawdown. The drawdown will be used in this way: just before the next station upstream, the McIndoe Station, begins to discharge, water will be passed through the first unit in the new proposed station to begin to bring the turbine up to speed. In this way, the daily Ms. Rehfus

August 3, 1981

electrical output from the station can be increased by eliminating the initial lag between opening the gates and bringing the generator on line. This drawdown does not represent a ponding or peaking mode of operation in the usual sense. At the end of each daily generating cycle, the station will be shutdown with the water level at or near the crest of the flashboards; flows past the project will not be interrupted at the end of the generating cycle.

Aquatec's deadline for completing work on our client's license exemption application is August 26th. If you have questions concerning the project, I or another of Aquatec's representative would be happy to answer those questions. As you know, our applicant will be required to submit as part of his application a letter from your agency demonstrating that he or his consultants has conducted consultations with appropriate fish and game agencies. Accordingly, toward the end of the month I will be requesting such a letter from you. Your assistance in this regard is greatly appreciated.

Very truly yours,

AQUATEC, INC.

William D. Countryman

WDC:rdh

cc: Rey Hokenson

State of Vermont



AGENCY OF ENVIRONMENTAL CONSERVATOR

Montpelier, Vermont 056 OFFICE OF THE SECRETA

Department of Fish and Game Department of Forests, Parks, and Recreation Department of Water Resources and Environmental Engineering Division of Protection Natural Resources Conservation Council

November 23, 1981

Mr. William D. Countryman Aquatec, Inc. 75 Green Mountain Drive South Burlington, Vermont 05401

RE: Ryegate Hydroelectric Project #3117

Dear Bill:

Our comments on this project are as follows:

- 1. <u>Fish Trapping</u>. Proposed plans for the Connecticut River Anadromous Fish Restoration program calls for a fish trapping facility at this site. We understand that both the U. S. Fish and Wildlife Service and the state of New Hampshire have commented on this plan and that the developer has planned to incorporate the facility into his project. We support that effort. We would add that the cost of the trapping and trucking operation would be more equitable if shared by the upstream dam operators, as the trap at East Ryegate will benefit those installations as well. We, therefore, recommend that FERC, in its review of the basin-wide implications of this project, require cost sharing for the operation through the life of the project.
- 2. <u>Recreation</u>. Public access should be provided within the river reach affected by the project.
- <u>Downstream</u> <u>fish</u> <u>passage</u>. Provision for downstream movement of anadromous and indigenous fish through the project area should be addressed.
- 4. <u>Canoe portage</u>. We understand that a canoe portage will be provided. Publishers of the <u>Vermont</u> and <u>New Hampshire</u> atlases and the <u>AMC Guide</u> <u>to New England Rivers</u> should be contacted to update their respective publications regarding the portage.

Yours very truly, Stephen B. Sease

Director of Planning

SBS/tc



GREEN MOUNTAIN DRIVE, SOUTH BURLINGTON, VERMONT 05401, TELEPHONE (802) 658-11

August 10, 1991

Stephen 2. Sease Director of Planning Vermont Agency of Environmental Conservation Montpelier, VT 05602

Dear Steve:

Thank you for your invitation to attend the August 18th "E" team meeting for the purpose of discussing the proposed Dodge Falls hydroelectric re-development project.

I am sorry that you found the project engineers nine-page preliminary project description, with illustrations, limited in scope. As a first-cut description of what is being contemplated at the site, and especially considering that a short-form license exemption is what is to filed, I'd say the description was pretty detailed. Of course fisheries and other environmental concerns must be dealt with - that is the purpose of our request for consultations. While we are not ignorant of what the issues at the site might be (and have communicated these expectations to the client), we obviously wish to know what AEC's more specific concerns might be.

Your letter makes reference to aquatic base flows. As I tried to make clear in my letter of August 3, the proposed station will be operated essentially in a run-of-the-river mode: what comes in will at all times be passed directly through the station. Thus, concerns about instantaneous releases for aquatic habitat protection will be met. I say "essentially" because storage in the station impoundment will be utilized at the beginning of each daily cycle to help bring the turbines up to speed prior to the start of releases at HcIndoe Falls. Thus, higher flows at the CPM station will begin a little before they would otherwise.
Mr. Scase

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I do know that, because design or financial criteria change unexpectedly part way through preliminary project design, use of a peaking mode of operation is sometimes proposed even after it has been said that it would not be. Anything is possible, but at Dodge Falls please base your comments on run-of-the-river operation. If a change of thinking does occur (not a likely prospect), you'll be the first to hear.

Sincerely,

. AQUATEC, INC.

William D. Countryman

WDC:rdh

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cc: Rey Hokenson, IECO

State of Vermont



AGENCY OF ENVIRONMENTAL CONSERVAT

Montpelier, Vermont 05 OFFICE OF THE SECRET.

Department of Fish and Game Department of Forests, Parks, and Recreation Department of Water Resources and Environmental Engineering Division of Protection Natural Resources Conservation Council

August 6, 1981

Mr. William Countryman Aquatec, Inc. 75 Green Mountain Drive South Burlington, Vermont 05401

RE: CPM Mill, East Ryegate Dam

Dear Bill:

I have your letter under date of August 3, 1981, regarding a proposed license exemption application on behalf of CPM Corporation for a hydroelectric facility at the East Ryegate dam.

I will circulate your letter and the materials you forwarded to our staff. A response date of August 26th gives us a relatively short time to review the project and to correspond with you and the applicant. The information you forwarded is rather limited in scope. For instance, I do not find any information in the materials regarding minimum sustained flows, the effect of the project on fisheries resources or recreation information. We may have further comments with regard to this project on completion of staff review.

I would suggest that we plan to meet at this Agency on August 18 at 2:00 p.m. to discuss this project. If you have any questions, please give me a call.

Yours very truly,

Stephen B. Sease Director of Planning

SBS/ds

cc: John Ponsetto David Clough Tom Willard Angie Incerpi ETTTLL ... REC. ENVIRONMENTAL RENVICE"

GREEN MOUNTAIN DRIVE. SOUTH BURLINGTON, VERMONT 05401, TELEPHONE (802) 61

August 3, 1981

Stephen B. Sease Director of Planning Vermont Agency of Environmental Conservation Montpelier, VT 05602

Dear Mr. Sease:

I am writing in connection with a proposed hydroelectric development on the Connecticut River at the CPM mill in East Ryegate, Vermont. The dam itself, the so-called Dodge Falls or East Ryegate dam, and the site of the proposed power house is located in Bath, New Hampshire.

I have enclosed a draft project description prepared by the project engineers, International Engineering Company, Inc. of Darien, Connecticut. Aquatec is working through a subcontract to International Engineering Co., Inc.; and the engineer's client, in turn, is CPM Corporation. CPM will be seeking a license exemption from the Federal Energy Regulatory Commission for their proposed development.

In evaluating project impacts, it should be noted that the proposed addition of two feet of flashboards on top of the existing dam is in keeping with historical flowage rights for the dam. Also, it is proposed that the limited storage available in the pool behind the dam be drawn upon at the start of each daily generating cycle. However, and this is important in your evaluation, the minimum sustained discharge from the station will not in any way be affected by this drawdown. The drawdown will be used in this way: just before the next station upstream, the McIndoe Station, begins to discharge, water will be passed through the first unit in the new proposed station to begin to bring the turbine up to speed. In this way, the daily Mr. Sease

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Aquatec's deadline for completing work on our client's license exemption application is August 26th. If you have questions concerning the project, I or another of Aquatec's representative would be happy to answer those questions by phone or, if you prefer, to visit with you there in Montpelier. As you know, our applicant will be required to submit as part of his application a letter from your agency demonstrating that he or his consultants has conducted consultations with appropriate fish and game agencies. Accordingly, toward the end of the month I will be requesting such a letter from you. Your assistance in this regard is greatly appreciated.

Sincerely,

AQUATEC, INC.

William D. Countryman

WDC:rdh

Enc.

cc: Rey Hokenson

FISH AND GAME DEPARTMEN



Boz 25, 34 Bridge Street Concord, N.H. 6330) (603) 273-3421

CHARLES E. BARRY EXECUTIVE DIRECTOR

STATE OF NEW HAMPSHIRE

September 30, 1981

I

William D. Countryman Aquatic Inc. Environmental Services 75 Green Mountain Drive South Burlington, VT 05401

Dear Bill:

Essentially, our concerns are similar to those of the U.S. Fish and Wildlife Service, and we have no objections to the issuance of a license exemption with the following conditions:

- 1. Suitable fish-trapping facilities and vehicular access will be constructed at the dam by the development owner by 1992, and maintained for the life of the project; details of the trap location and design will be coordinated with appropriate personnel of the Fish and Wildlife Service, the New Hampshire Fish and Game Department, and the Vermont Fish and Game Department.
- 2. Downstream-migrant fish-passage facilities will be located in project restoration; details of location and design will be coordinated with agencies noted in the foregoing paragraph.
- 3. The transmission line right-of-way will be located so as to avoid wetland and deer-wintering habitat.
- 4. A small-boat/canoe portage will be provided and adequately signed.
- 5. The development owner will guarantee reasonable angler access to project area waters insofar as safety conditions permit.

Sincerely,

George R. Morrison Fish & Wildlife Ecologist

Steve Hickey

11:11 AM
e.vt.us'
HI Re Certification

Dear Giovanna,

Per the below email I sent to you on April 7, 2014, Dodge Falls Associates, LP is in the process of re certifying with the Low Impact Hydropower Institute its Dodge Falls hydroelectric project (FERC No. 8011) located at river mile 268 on the Connecticut River n the towns of Bath, New Hampshire and Ryegate, Vermont. I have not received a response from you as of yet and would appreciate any feedback you can provide regarding this re certification. If I do not hear from you by Tuesday, May 6, 2014 I will assume you have no comments and/or objections and I will file the Dodge Falls application with LIHI indicating such.

Thank you and please feel free to contact me with any questions.

Steve

Stephen Hickey Dodge Falls Associates, LP c/o Essex Hydro Associates, L.L.C. 55 Union Street, 4th Floor Boston, MA 02108 tel: 617-367-0032 fax: 617-367-3796

From: Steve Hickey [mailto:sjh@essexhydro.com] Sent: Monday, April 07, 2014 12:15 PM To: 'giovanna.peebles@state.vt.us' Subject: Dodge Falls Hydro LIHI Re Certification

Dear Giovanna,

The Dodge Falls hydroelectric project (FERC No. 8011), located at river mile 268 on the Connecticut River in the towns of Bath, New Hampshire and Ryegate, Vermont is due for re certification by the Low Impact Hydropower Institute on or before June 1, 2014. The project was originally certified by LIHI on August 29, 2009 (see http://www.lowimpacthydro.org/lihi-certificate-42-dodge-falls-hydroelectric-project-connecticut-river-new-hampshirevermont-ferc-no.-8011.html) The applicant, Dodge Falls Associates, L.P. would appreciate any feedback you can provide regarding this re certification.

Comments were originally sought from your agency during the initial application process in 2009. Nothing has changed about the project or its operations since that time.

Failure to respond will be deemed as "no comment" and will be filed as such with LIHI.

Thank you and please feel free to contact me with any questions.

Sincerely,

Stephen Hickey Dodge Falls Associates, L.P. c/o Essex Hydro Associates, L.L.C. 55 Union Street, 4th Floor

Steve Hickey

From:	Steve Hickey
Sent:	Tuesday, April 29, 2014 11:12 AM
То:	'jeddins@achp.gov'
Subject:	RE: Dodge Falls Hydro LIHI Re Certification

Dear John,

Per the below email I sent to you on April 7, 2014, Dodge Falls Associates, LP is in the process of re certifying with the Low Impact Hydropower Institute its Dodge Falls hydroelectric project (FERC No. 8011) located at river mile 268 on the Connecticut River n the towns of Bath, New Hampshire and Ryegate, Vermont. I have not received a response from you as of yet and would appreciate any feedback you can provide regarding this re certification. If I do not hear from you by Tuesday, May 6, 2014 I will assume you have no comments and/or objections and I will file the Dodge Falls application with LIHI indicating such.

Thank you and please feel free to contact me with any questions.

Steve

Stephen Hickey Dodge Falls Associates, LP c/o Essex Hydro Associates, L.L.C. 55 Union Street, 4th Floor Boston, MA 02108 tel: 617-367-0032 fax: 617-367-3796

From: Steve Hickey [mailto:sjh@essexhydro.com] Sent: Monday, April 07, 2014 12:14 PM To: 'jeddins@achp.gov' Subject: Dodge Falls Hydro LIHI Re Certification

Dear John

The Dodge Falls hydroelectric project (FERC No. 8011), located at river mile 268 on the Connecticut River in the towns of Bath, New Hampshire and Ryegate, Vermont is due for re certification by the Low Impact Hydropower Institute on or before June 1, 2014. The project was originally certified by LIHI on August 29, 2009 (see http://www.lowimpacthydro.org/lihi-certificate-42-dodge-falls-hydroelectric-project-connecticut-river-new-hampshirevermont-ferc-no.-8011.html) The applicant, Dodge Falls Associates, L.P. would appreciate any feedback you can provide regarding this re certification.

Comments were originally sought from your agency during the initial application process in 2009. Nothing has changed about the project or its operations since that time.

Failure to respond will be deemed as "no comment" and will be filed as such with LIHI.

Thank you and please feel free to contact me with any questions.

Sincerely,

Stephen Hickey Dodge Falls Associates, L.P. c/o Essex Hydro Associates, L.L.C. 55 Union Street, 4th Floor

Appendix 9



View of Powerhouse and Trash Rake (Looking Downstream)

View of Boat Safety Cable Upstream of Dam



View of Boat Safety Cable (Looking Upstream)



View of Dam (Looking across river from Powerhouse)



Warning Sign



Warning Sign on Marshall island



Sleeping Platform Adjacent to Portage Trail

