

Sworn Statement and Waiver Form

All applications for Low Impact Hydro Certification must include the following sworn statement before they can be reviewed by LIHI:

SWORN STATEMENT

As an Authorized Representative of KTZ Hydro, LLC, the Undersigned attests that the material presented in the application is true and complete.

The Undersigned acknowledges that the primary goal of the Low Impact Hydropower Institute's Certification Program is public benefit, and that the LIHI Governing Board and its agents are not responsible for financial or other private consequences of its certification decisions.

The undersigned further acknowledges that if certification of the applying facility is issued, the LIHI Certification Mark License Agreement must be executed prior to marketing the electricity product as LIHI Certified.

The undersigned Applicant further agrees to hold the Low Impact Hydropower Institute, the Governing Board and its agents harmless for any decision rendered on this or other applications, from any consequences of disclosing or publishing any submitted certification application materials to the public, or on any other action pursuant to the Low Impact Hydropower Institute's Certification Program.

PLEASE INSERT ONLY FOR PRE-OPERATIONAL CERTIFICATIONS (See Section 4.5.3):

For applications for pre-operational certification of a "new" facility the applicant must also acknowledge that the Institute may suspend or revoke the certification should the impacts of the project, once operational, fail to comply with the certification criteria.

Company Name: KTZ Hydro, LLC

Authorized Representative Name: Robert A. Gates Title: KTZ Hydro, LLC, VP

Robert A. Gates

State of New York)

County of Sullivan)

On this, the 7th day of October, 2016, before me a notary public, the undersigned officer, personally appeared Robert A. Gates, known to me (or satisfactorily proven) to be the person whose name is subscribed to the within instrument, and acknowledged that he executed the same for the purposes therein contained. In witness hereof, I hereunto set my hand and official seal.

Notary Public Joanne K. Nagoda 