- This is a fillable form. Click in each righthand column to enter data or check the applicable box(es).
- For YES responses, enter or attach a summary/description and supply links to, or copies of all related documentation.
- Identify attachments by the item number below and note if any attachments are confidential.

| Facility Name: | Winooski 8 | |
|-------------------------------------|--|--|
| LIHI Certificate No: | 77 | |
| Application Date: | 3/24/2021 | |
| 1. Updates and Changes | □ N/A – webpage is accurate. | |
| Needed to Facility Webpage | ☑ Changes are needed. [see facility info table] | |
| 2. Updated Facility Information | ☐ Updated form attached, either the | |
| Table: | Single Facility Form or Multiple Facility Excel sheet | |
| | | |
| | See Attachment 1 | |
| 3. During the current LIHI term, ha | ave there been any: | |
| o. Daming the darrent lim term, no | are there been unit. | |
| FERC license or exemption | \square N/A, facility is not under FERC jurisdiction. | |
| proceedings, applications, | □ No. | |
| amendments and/or FERC | ⊠ Yes. | |
| orders? | Draft relicense application submitted 01/29/21 | |
| | https://elibrary.ferc.gov/eLibrary/filedownload?fileid=1 | |
| | <u>5708552</u> (vol 1) and | |
| | https://elibrary.ferc.gov/eLibrary/filedownload?fileid=1 | |
| | <u>5708553</u> (vol 2) | |
| Water Quality Certification | \square N/A, no WQC for the facility. | |
| (WQC) or amendment | ⊠ No. | |
| proceedings? | ☐ Yes. | |
| | WQC application will be filed as part of relicensing | |
| FERC-required facility or | ⊠ No. | |
| operational changes? | ☐ Yes. | |
| Changes to facility-related | ⊠ No. | |
| management plans, settlement | ☐ Yes. | |
| agreements, MOUs or other | | |
| agreements? | | |

| Any formal or informal resource | □ No. | | |
|--|---|--|--|
| agency communications related | https://elibrary.ferc.gov/eLibrary/filedownload?fileid=1 | | |
| to the LIHI criteria? | <u>5708553</u> | | |
| | ☑ Yes. Joint Agency Meeting 11/16/18, relicensing | | |
| | study requests received, study meetings – see Appendix | | |
| | A of draft license application | | |
| | | | |
| 4. During the current LIHI term, ha | ave there been any activities or changes at the facility: | | |
| Environmental upsets (e.g., oil | ⊠ No. | | |
| spills, erosion events, damaging | ☐ Yes. | | |
| flood events)? | | | |
| Changes in the environmental | ⊠ No. | | |
| conditions at the facility (e.g., | ☐ Yes. | | |
| significant land clearing, | | | |
| dredging, flood damage repairs, | Routine impoundment dredging occurs every 3-5 years | | |
| construction activities)? | | | |
| Any other issues related to the | ⋈ No issues have arisen. | | |
| LIHI criteria that have arisen | ⊠ Yes. | | |
| (e.g., agency comments or new | | | |
| recommendations, stakeholder | Agency comments only related to relicensing. | | |
| inquiries, etc.)? | , | | |
| 5. During the current LIHI term have there been any: | | | |
| Deviations or excursions from | ⊠ None have occurred. | | |
| regulatory requirements? | ☐ Yes. | | |
| | | | |
| FERC compliance orders, notices | ⊠ None received. | | |
| of non-compliance or violations | ☐ Yes. | | |
| from FERC, from regulatory | | | |
| agencies, or from stakeholders | | | |
| or the general public? | | | |
| 6. Ecological Flows (if not captured above): | | | |

| Are there any temporary or | ⋈ No changes have occurred or are planned. |
|--|---|
| permanent changes in | ☐ Yes. |
| impoundment operations that | |
| have occurred or are planned, | Studies conducted for relicensing could impact future |
| including significant drawdowns | operations: |
| (planned for maintenance or | Bypass IFIM |
| dam repairs or extended | https://elibrary.ferc.gov/eLibrary/filedownload?fileid=1 |
| flashboard removal); and/or | <u>5636487</u> |
| changes in flow release quantity | Aesthetic Flow |
| or schedules, including white | https://elibrary.ferc.gov/eLibrary/filedownload?fileid=1 |
| water releases? | <u>5636488</u> |
| 7. Water Quality: | |
| If applicable, are there any | \square N/A, no WQC for the facility. |
| amendments or applications for | ☑ No WQC amendment proceedings. |
| amendment to the facility's | \square Yes, there have been amendment proceedings. |
| Water Quality Certification | - |
| (WQC) during the current LIHI | See above – new WQC expected with relicense |
| term? | |
| If there is a WQC and you | \square N/A, no WQC for the facility. |
| selected Standard B-2, Agency | \square No, did not select Standard B-2. |
| Recommendation in the prior | \square No, WQC is still < 10 years old. |
| LIHI application, is the WQC is | \boxtimes Yes, WQC is > 10 years old. |
| now > 10 years old? | |
| | Agency letter is available in Attachment 1 of 2017 LIHI |
| Provide a letter from the state | review report. https://lowimpacthydro.org/wp- |
| water quality agency confirming | content/uploads/2020/07/Stage-II-Recertification- |
| that the terms and conditions of | Winooski8-4-10-17-final-mjs.pdf |
| the existing WQC are still valid | |
| and applicable to the facility. Review the most recent | M No changes |
| | No changes. No ch |
| published state impaired waters | ☐ Yes. |
| list (draft or final). | The project area and the reach from Molly's Falls Brook |
| Have there been any changes in | upstream to the Stevens Branch of the Winooski River |
| Have there been any changes in the listing (new impairments, | downstream is only listed on the 2020 Stressed Rivers |
| impairments removed, etc.) for | List based on physical alteration, sediments, nutrients, |
| the facility vicinity since the | turbidity, and coli arising from stream bank erosion, |
| prior LIHI application? | channel instability, road runoff, (e coli source unknown) |
| | https://dec.vermont.gov/sites/dec/files/documents/mp |
| | PriorityWatersList PartC 2020.pdf |

| Identify any changes in stream | ☑ None identified. |
|------------------------------------|---|
| designation such as state water | ☐ Yes. |
| quality classification changes for | |
| the river, Wild and Scenic Rivers | |
| designation, or other protected | |
| river status changes. | |
| If water quality monitoring has | ☑ N/A, no monitoring conducted. |
| been conducted at or near the | ☐ Yes. |
| facility by you or others during | |
| the current LIHI term, provide | Relicensing WQ study delayed to after license per |
| links to, or copies of, the final | 01/13/21 VANR request (see Attachment 2. |
| monitoring report, and if | |
| applicable, all related FERC and | |
| agency consultation and | |
| communications. | |

| 8. Upstream and Downstream Fish Passage: | | |
|---|---|--|
| Have there been any completed | ⋈ N/A, no fish passage. | |
| or ongoing fish passage studies | ☑ No studies have occurred or are planned. | |
| during the current LIHI term, | ☐ Yes, studies have occurred or are planned. | |
| including any data collected on | | |
| fish passage (e.g., return | No fish passage studies requested for relicensing | |
| numbers, changes made in | | |
| seasonal operations, mortalities | | |
| reported, effectiveness results)? | ∇ 1./ 1 C 1 | |
| Have there been any temporary | N/A, no fish passage. N/A N/A | |
| or permanent changes in | ⋈ No changes have occurred or are planned. | |
| passage facilities or related operations that have occurred | \square Yes, changes have occurred or are planned. | |
| during the current LIHI term, or | No Calconnate diagram and discounting | |
| that are planned? | No fish passage studies requested for relicensing | |
| 9. Shoreline and Watershed Prot | ection: | |
| Are there any new or revised | ☐ None identified. | |
| agency watershed-related | ⊠ Yes. | |
| management plans that may | | |
| affect the facility (e.g., | Updated Winooski River Tactical Basin Plan 2018: | |
| watershed management plan, | https://dec.vermont.gov/sites/dec/files/documents/201 | |
| fishery restoration plan, | 8%20Winooski%20River%20TBP.pdf | |
| recovery plan for threatened or | Statewide management plan for bass 2017: | |
| endangered species, or other | https://vtfishandwildlife.com/sites/fishandwildlife/files/ | |
| agency plans)? | documents/Learn%20More/Library/REPORTS%20AND% | |
| | 20DOCUMENTS/FISHERIES%20MANAGEMENT/BASS%20 | |
| | MANAGEMENT%20PLAN%20-%202017.pdf | |
| | Statewide Comprehensive Outdoor Recreation Plan | |
| | Draft 2019: | |
| | https://fpr.vermont.gov/sites/fpr/files/Recreation/Recr | |
| | eation Grants/Library/SCORP Draft Plan.NPS .Public% | |
| | 20Comment.pdf The planned water quality study will provide data to the | |
| | The planned water quality study will provide data to the basin plan. It is not clear if bass are present in the | |
| | project area. There may be increased recreational use | |
| | in the project area in the future. | |

| 10. Threatened and Endangered Species: | | |
|--|---|--|
| Conduct a U.S. Fish and Wildlife Service IPaC online data check | ☐ IPaC report attached. | |
| (https://ecos.fws.gov/ipac/) for newly observed or newly listed federally threatened and | In lieu of an IPaC report, see draft license application Section E.6 – only Northern long-eared bat may be present. | |
| endangered species. Conduct a state threatened and endangered species data check | \square State listed species report attached. | |
| (may require payment of fees) for newly observed or newly listed state threatened and endangered species. | In lieu of a state report, see draft license application Section E.6 – eastern pearlshell mussel (threatened) was found in relicensing mussel study. | |
| Describe and summarize any studies of protected species at the facility that were completed | ☐ No studies have occurred or are planned☒ Yes, studies have occurred or are planned. | |
| or are ongoing during the current LIHI term. | Mussel Study, 2019 (privileged) Mussel relocation plan will be prepared for relocating mussels during drawdowns. | |
| 11. Cultural and Historic Resources: | | |
| Are there any structural or operational changes that have occurred or are planned that affect or could affect cultural or historic resources? | ☒ No changes have occurred or are planned. ☐ Yes, changes have occurred or are planned. SHPO indicated no resources are present, but project facilities will become eligible for listing based on age | |
| Describe and summarize any | during the new FERC license term (by 2035). ☑ No studies have occurred or are planned | |
| cultural or historic resource studies at the facility, that were completed or are ongoing during the current LIHI term including mitigation measures taken or planned. | ☐ Yes, studies have occurred or are planned. | |

| 12. Recreational Resources: | |
|---|--|
| Provide a description and current photos of formal and informal recreation areas/facilities along with a description of any temporary or permanent changes in recreational facilities or services, including consultation with recreational stakeholders during the current LIHI term or planned in the foreseeable future. | □ N/A, the facility has no formal or informal recreation access. ☑ Yes, recreation area photos attached. ☑ No changes have occurred or are planned. □ Yes, changes have occurred or are planned. See Attachment 3 |
| Provide a summary of inquiries and comments received from stakeholders about recreation sites during the current LIHI term, including any changes made as a result. | ☐ No inquiries received. ☐ Yes, inquiries were received. ☐ Interactions with Central VT Trails Association regarding a project easement for a planned trail. The trail starts at the intersection of Gallison Road and PowerPlant Road (PowerPlant Road is in the FERC Project Boundary) and eventually crosses onto the Licensee's property near the dam before crossing the Winooski River via a proposed bridge (currently under construction). On November 26, 2018, the Licensee filed an easement with FERC allowing CVTA to extend recreation trails over the Licensee's property. In a December 11, 2018 letter to the Licensee, FERC approved the easement. |
| If applicable, provide links to or copies of, any FERC Environmental and Recreation Inspection reports and reports of follow up activities during the current LIHI term. | ☑ N/A, no FERC inspection has occurred ☐ Yes, FERC inspection occurred on: |
| If there is a recreation management plan for the facility, provide any updates to the plan that have occurred during the current LIHI term. | N/A, no recreation management plan for the facility. □ No changes have occurred or are planned. □ Yes, updated recreation management plan attached. |
| 13. Other: | |
| Provide updated photos of key project features. | ☒ No changes in key project features.☐ Yes, photos attached. |

| If the FERC boundary has | ☑ No FERC boundary changes have occurred. |
|----------------------------------|---|
| changed during the current LIHI | ☐ Yes, FERC boundary has changed, map attached. |
| term, provide updated maps. | |
| Review and update the facility, | \square No changes in any contacts. |
| agency and stakeholder contact | ☑ Yes, updated contact list(s) attached |
| <u>lists</u> from the prior LIHI | See Attachment 4 |
| application, including all | |
| requested contact information | |
| therein. | |
| Provide a signed <u>Sworn</u> | Signed statement attached. ■ |
| Statement and Waiver Form. | See Attachment 5 |
| | |

Table B-1.1. Facility Information.

| Item | Information Requested | Response (include references to further details) |
|-----------------------|--|--|
| Name of the Facility | Facility name (use FERC project name or other legal name) | Winooski No. 8 |
| Reason for | 1. To participate in state RPS program | 100% |
| applying for | 2. and specify the state and the total | MA Class II |
| LIHI Certification | MW/MWh associated with that participation (value and % of facility total Mw/MWh). | 0.88 MW 2,550 MWh |
| | 3. To participate in voluntary REC market (e.g., Green-e) | |
| | To satisfy a direct energy buyer's purchasing requirement | |
| | 5. To satisfy the facility's own corporate | 100% |
| | sustainability goals 6. For the facility's corporate marketing purposes | 100% |
| | 7. Other (describe) | |
| | If applicable, amount of annual generation (MWh and % of total generation) for which RECs are currently received or are expected to be received upon LIHI Certification | 2,550 MWh 100% |
| Location | River name (USGS proper name) | Winooski River |
| | Watershed name - Select region, click on the area of interest until the 8-digit HUC number appears. Then identify watershed name and HUC-8 number from the map at: https://water.usgs.gov/wsc/map_index.html | Winooski River HUC 08: 04150403 |
| | Nearest town(s), <u>county(ies)</u> , and state(s) to dam | E. Montpelier, Washington, VT |
| | River mile of dam above mouth | 59.7 |
| | Geographic latitude of dam | 44.238350° |
| | Geographic longitude of dam | -72.524783° |
| Facility Owner | Application contact names (Complete the Contact Form in Section B-4 also): | Matthew Rubin |

| Item | Information Requested | Response (include references to further details) |
|----------------------|--|--|
| | Facility owner company and authorized owner representative name. For recertifications: If ownership has changed since last certification, provide the effective date of the change. | Winooski Hydroelectric Company |
| | FERC licensee company name (if different from owner) | n/a |
| Regulatory Status | FERC Project Number (e.g., P-xxxxx), issuance and expiration dates, or date of exemption | P-6470 Issued Aug 29, 1983, expires July 31, 2023 |
| | FERC license type (major, minor, exemption) or special classification (e.g., "qualified conduit", "non-jurisdictional") | Minor license |
| | Water Quality Certificate identifier, issuance date, and issuing agency name. Include information on amendments. | 401 Water Quality Certificate issued Dec 29, 1982, amended April 5, 1984 See both at https://lowimpacthydro.org/lihicertificate-77-winooski-8-hydroelectric-project-vermont/ |
| | Hyperlinks to key electronic records on FERC e-library website or other publicly accessible data repositories | FERC License https://lowimpacthydro.org/wp- content/uploads/2020/08/FERC-Lic- Order-8-29-83063-2.pdf |
| Powerhouse | Date of initial operation (past or future for pre-operational applications) | 1985 |
| | Total installed capacity (MW) For recertifications: Indicate if installed capacity has changed since last certification | 856 KW Adjusted from last certification based on relicense application |
| | Average annual generation (MWh) and period of record used For recertifications: Indicate if average annual generation has changed since last certification | 3,507 MWh (1986-2017) Somewhat less than last certification |
| | Mode of operation (run-of-river, peaking, pulsing, seasonal storage, diversion, etc.) For recertifications: Indicate if mode of operation has changed since last certification | Run of river No change |
| | Number, type, and size of turbine/generators, including maximum and minimum hydraulic capacity and maximum and minimum output of each turbine and generator unit | 3 Flygt Submersible propeller turbines: TG1 = 63 kW, 25-34 cfs TG2 = 263 kW, 20-139 cfs TG3 = 530 kW, 24-272 cfs |

| Item | Information Requested | Response (include references to further details) |
|----------------------------|---|--|
| | Trashrack clear spacing (inches) for each trashrack | 1.75 inches |
| | Approach water velocity (ft/s) at each intake if known | TG1 = 0.23 f/s TG2 = 07. f/s TG3 = 0.91 f/s |
| | Dates and types of major equipment upgrades For recertifications: Indicate only those since last certification | none |
| | Dates, purpose, and type of any recent operational changes For recertifications: Indicate only those since last certification | none |
| | Plans, authorization, and regulatory activities for any facility upgrades or license or exemption amendments | In relicensing, draft license application filed 01/29/21 https://elibrary.ferc.gov/eLibrary/filedow_nload?fileid=15708552 appendices: https://elibrary.ferc.gov/eLibrary/filedow_nload?fileid=15708553 |
| Dam or Diversion | Date of original dam or diversion construction and description and dates of subsequent dam or diversion structure modifications | 1908, reconstructed in 1985 |
| | Dam or diversion structure length, height including separately the height of any flashboards, inflatable dams, etc. and describe seasonal operation of flashboards and the like | Height = 26 ft. Length 222.5 ft including 148 ft wide spillway section and 24 ft wide crest gate. 4-ft wooden flashboards on spillway, replaced as needed. |
| | Spillway maximum hydraulic capacity | The approximate zero-freeboard discharge capacity of the spillway is 13,000 cfs with the flashboards failed. This does not include the hydraulic capacity of the crest gate which has an additional approximate zero-freeboard discharge capacity of 2,000 cfs with the gate completely lowered. |
| | Length and type of each penstock and water conveyance structure between the impoundment and powerhouse | n/a |
| | Designated facility purposes (e.g., power, navigation, flood control, water supply, etc.) | Power generation |
| Conduit Facilities Only | Date of conduit construction and primary purpose of conduit | n/a |

| Item | Information Requested | Response (include references to further details) |
|---------------------------------|---|--|
| | Source water | n/a |
| | Receiving water and location of discharge | n/a |
| Impoundment and Watershed | Authorized maximum and minimum impoundment water surface elevations For recertifications: Indicate if these values have changed since last certification | n/a – run of river |
| | Normal operating elevations and normal fluctuation range For recertifications: Indicate if these values have changed since last certification | n/a – run of river normal El. 615 ft NGVD29 at top of flashboards. Drawdowns to El. 610.8 ft for flashboard maintenance, and for routine dredging every 3-5 yrs, and periodic dam/gate inspections |
| | Gross storage volume and surface area at full pool For recertifications: Indicate if these values have changed since last certification | 4.8 acres surface area, total storage unknown. Value revised per license application |
| | Usable storage volume and surface area For recertifications: Indicate if these values have changed since last certification | n/a – run of river 20-acre-ft storage between spillway crest and flashboards. Value revised per license application |
| | Describe requirements related to impoundment inflow and outflow, elevation restrictions (e.g., fluctuation limits, seasonality) up/down ramping and refill rate restrictions. | run-of-river 10% refill / 90% outflow during impoundment refilling. |
| | Upstream dams by name, ownership and river mile. If FERC licensed or exempt, please provide FERC Project number of these dams. Indicate which upstream dams have downstream fish passage. | Clarks Sawmill Dam, Edward Larson Old Batchelder Mill, Town of Plainfield Several breached dams and one partially breached. Unknown status of downstream fish passage |

| Item | Information Requested | Response (included details) | de references to | further |
|-----------------------|---|---|--|-------------------|
| | Downstream dams by name, ownership, river mile and FERC number if FERC licensed or exempt. Indicate which | • | 3 Dam, RM 57.41 ed, owner unkno | |
| | downstream dams have upstream fish passage | Bailey Pond, RM | 56.1, City of Mo | ntpelier |
| | | | Dam RM 49.4 (no n RM 39.7, FERC stream passage | • |
| | | Gorge No. 18 Da (all owned by GI Winooski One/C | M 17.6, FERC No nm, RM 11.4 (nor MP) hace Mill Dam, F Burlington Elec. I | n-FERC) RM 10, |
| | | Winooski One h | as an upstream f ove Essex 19. | ish lift |
| | Operating agreements with upstream or downstream facilities that affect water availability and facility operation | None | | |
| | Area of land (acres) and area of water (acres) inside FERC project boundary or under facility control. Indicate locations and acres of flowage rights versus feeowned property. | 10.9 acres total 4.5 acres land 6.4 acres water riverine wetland | including 1.07 ac | res |
| Hydrologic Setting | Average annual flow at the dam, and period of record used | | | |
| | Average monthly flows and period of | | | |
| | record used | Month | Mean Flow (cfs) | |
| | | January | 227 | |
| | | February | 211 | |
| | | March | 430 | |
| | | April | 832 | |
| | | May | 459 | |
| | | June | 256 | |
| | | July | 164 | |
| | | August | 136 | |
| | | September | 117 | |
| | | October | 184 | |
| | | November | 255 | |
| | | December | 269 | |

| Item | Information Requested | Response (include references to further details) |
|---------------------------|--|--|
| | Location and name of closest stream gaging stations above and below the facility | Upstream: none on mainstem Downstream: USGS # 0428600 WINOOSKI River @ Montpelier |
| | Watershed area at the dam (in square miles). Identify if this value is prorated from gage locations and provide the basis for proration calculation. | 199 sq miles |
| | Other facility specific hydrologic information | n/a |
| Designated | Number of zones of effect | 3 |
| Zones of Effect | Type of waterbody (river, impoundment, bypassed reach, etc.) | Zone 1 Regulated downstream riverine reach Zone 2 Tailrace bypass reach Zone 3 Impoundment |
| | Upstream and downstream locations by river miles | Zone 1 58.30 to 59.66 river miles Zone 2 59.66 to 59.70 river miles Zone 3 59.70 to 60.20 river miles |
| | Delimiting structures or features | Zone 1 - Stevens Branch tributary is the downstream extent Zones 2 and 3 – dam and powerhouse delimit zones Zone 3 – area downstream of breached dam upstream is the upper extent of the impoundment |
| Pre-Operationa | l Facilities Only | |
| Expected operational date | Date generation is expected to begin | n/a |
| Dam, | Description of modifications made to a | n/a |
| diversion | pre-existing conduit, dam or diversion | |
| structure or | structure needed to accommodate facility | |
| conduit | generation. This includes installation of | |
| modification | flashboards or raising the flashboard height. | |
| | Date the modification is expected to be completed | |
| Change in | Description of any change in | n/a |
| water flow | impoundment levels, water flows or | |
| regime | operations required for new generation | |





Vermont Department of Environmental Conservation

Watershed Management Division

1 National Life Drive, Davis 3 [phone] 802-585-8189

Montpelier, VT 05620-3522

https://dec.vermont.gov/watershed

January 13, 2021

Mathew Rubin General Partner Winooski Hydroelectric Co. 26 Maple Street Montpelier, VT 05602

RE: Winooski 8 Hydroelectric Project (FERC No. 6470) – Status of FERC Relicensing

Dear Mr. Rubin,

As you are aware there are a number of hydroelectric projects currently undergoing FERC relicensing in Vermont. Given the large workload associated with these projects, the Vermont Agency of Natural Resources (Agency) wanted to touch base on where the Winooski 8 Hydroelectric Project (Project) is within the FERC relicensing process. Below, the Agency provides its understanding of the status of the project and the information that has been received by the Agency to date. The FERC process has a final license application filing date in July 2021.

General Comments: The Pre-Application Document for Winooski 8 Hydroelectric Project was submitted in July 2018 and the Project is using the TLP process. The Project is currently in the second stage of the TLP where the applicant completes studies, drafts reports/application, and resource agency comments on drafts. With the 2020 field season completed, this concludes the second year of study for the Project. As the Agency understands it, the Applicant is proposing the Project be relicensed as a run-of-river facility with no change in operations or major upgrades at the Project.

Status of Studies

| Study | Study Plan Received | Study Report Received |
|------------------------|------------------------|--------------------------|
| Bypass Flow Study | Yes | Yes |
| Sediment Removal Study | Yes | In part |
| Water Quality Study | Yes | No* |
| Mussel Study | Yes | Yes |
| Aesthetic Flow Study | Yes | Yes |

^{*}At Agency's recommendation

Given the table above, the remining outstanding information needs include a sediment removal plan as part of the sediment removal study and the water quality study. The Agency hopes to continue to work with Winooski Hydroelectric Co. to complete the collection of any necessary information and complete second stage consultation. The Agency notes that the water quality study will be delayed until after relicensing due to operational changes occurring upstream at the Mollys Falls Hydroelectric Project. This approach will ensure the data reviewed accurately reflects the baseline conditions that will occur over the term of the new license and identify any potential project effects.

If there is any information you can provide on an anticipated timeline for completing second stage consultation it would be greatly appreciated. Please reach out if there are any questions, corrections, or concerns. We look forward to continuing to work with you on the relicensing process for the Winooski 8 Hydroelectric Project.

Truly Yours,

Betsy Simard,

Elizhtt P Dmard

River Ecologist

cc. Mark Wamser, Gomez and Sullivan Jeff Crocker, VTDEC Eric Davis, VTDEC Hannah Harris, VTFWD Bret Ladago, VTFWD





Contacts Forms

All applications for LIHI Certification must include complete contact information.

A. Applicant-related contacts

| Facility Owner: | | |
|---|---|--|
| Name and Title | Mathew Rubin, General Partner | |
| Company | Winooski Hydroelectric Company | |
| Phone | 802-223-7141 | |
| Email Address | m@mrubin.biz | |
| Mailing Address | 26 State Street Montpelier, VT 05602 | |
| Facility Operator | (if different from Owner): | |
| Name and Title | Same as above | |
| Company | | |
| Phone | | |
| Email Address | | |
| Mailing Address | | |
| Consulting Firm / | / Agent for LIHI Program (if different from above): | |
| Name and Title | n/a | |
| Company | | |
| Phone | | |
| Email Address | | |
| Mailing Address | | |
| Compliance Cont | act (responsible for LIHI Program requirements): | |
| Name and Title | Same as above | |
| Company | | |
| Phone | | |
| Email Address | | |
| Mailing Address | | |
| Party responsible for accounts payable: | | |
| Name and Title | Same as above | |
| Company | | |
| Phone | | |
| Email Address | | |
| Mailing Address | | |

B. Agency and Stakeholder Contacts

| | Area of Responsibility | |
|--|--|---|
| Agency Name | U.S. Fish and Wildlife Service | x Flows |
| Name and Title | Melissa Grader, Fish and Wildlife Biologist | — — Water Quality |
| | | x Fish/Wildlife |
| Phone | 413-548-8002 x8124 | Watershed |
| Email address | Melissa Grader@fws.gov | T&E Species |
| Mailing Address | New England Regional Field Office | Cultural/Historic |
| | 103 East Plumtree Road | Recreation |
| | Sunderland, MA 01375 | |
| Agency Contact | | Area of Responsibility |
| Agency Name | Vermont DEC, Watershed Division | x Flows |
| Name and Title | Mr. Jeff Crocker, Streamflow Protection Coordinator | x Water Quality |
| Traine and Traie | , | Fish/Wildlife |
| Phone | 802-490-6151 | x Watershed |
| Email address | jeff.crocker@vermont.gov | T&E Species |
| Mailing Address | One National Life Drive | Cultural/Historic |
| | Main Building, 2nd Floor | Recreation |
| | Montpelier, VT 05620 | Kecreation |
| Agency Contact | | Area of |
| Agency Name | Vermont Fish and Wildlife Department | Responsibility |
| Name and Title | Ms. Hannah Harris, Flow Protection Biologist | _x Flows x Water Quality |
| IName and mile | | ı x vvalerülləlliv i |
| 1 | | |
| Phone | 802-279-7913 | _x Fish/Wildlife |
| Phone Email address | 802-279-7913 Hannah.harris@vermont.gov | _x Fish/Wildlife _x Watershed |
| Email address | Hannah.harris@vermont.gov | _x Fish/Wildlife _x Watershed T&E Species |
| | Hannah.harris@vermont.gov One National Life Drive | _x Fish/Wildlife _x Watershed T&E Species Cultural/Historic |
| Email address | One National Life Drive Main Building, 2nd Floor | _x Fish/Wildlife _x Watershed T&E Species |
| Email address | Hannah.harris@vermont.gov One National Life Drive Main Building, 2nd Floor Montpelier, VT 05620 | _x Fish/Wildlife _x Watershed T&E Species Cultural/Historic |
| Email address | One National Life Drive Main Building, 2nd Floor | _x Fish/Wildlife _x Watershed T&E Species Cultural/Historic Recreation |
| Email address | Hannah.harris@vermont.gov One National Life Drive Main Building, 2nd Floor Montpelier, VT 05620 Agency Contact Vermont DEC, Watershed Division | _x Fish/Wildlife _x Watershed T&E Species Cultural/Historic Recreation Area of |
| Email address Mailing Address | Hannah.harris@vermont.gov One National Life Drive Main Building, 2nd Floor Montpelier, VT 05620 Agency Contact | _x Fish/Wildlife _x Watershed T&E Species Cultural/Historic Recreation Area of Responsibility |
| Email address Mailing Address Agency Name Name and Title | Hannah.harris@vermont.gov One National Life Drive Main Building, 2nd Floor Montpelier, VT 05620 Agency Contact Vermont DEC, Watershed Division Eric Davis, River Ecologist | _x Fish/Wildlife _x Watershed T&E Species Cultural/Historic Recreation Area of Responsibility _x Flows |
| Email address Mailing Address Agency Name Name and Title Phone | Hannah.harris@vermont.gov One National Life Drive Main Building, 2nd Floor Montpelier, VT 05620 Agency Contact Vermont DEC, Watershed Division Eric Davis, River Ecologist 802-490-6180 | _x Fish/Wildlife _x Watershed T&E Species Cultural/Historic Recreation Area of Responsibility _x Flows Water Quality |
| Email address Mailing Address Agency Name Name and Title Phone Email address | Hannah.harris@vermont.gov One National Life Drive Main Building, 2nd Floor Montpelier, VT 05620 Agency Contact Vermont DEC, Watershed Division Eric Davis, River Ecologist | _x Fish/Wildlife _x Watershed T&E Species Cultural/Historic Recreation Area of Responsibility _x Flows Water Quality _x Fish/Wildlife |
| Email address Mailing Address Agency Name Name and Title Phone | Hannah.harris@vermont.gov One National Life Drive Main Building, 2nd Floor Montpelier, VT 05620 Agency Contact Vermont DEC, Watershed Division Eric Davis, River Ecologist 802-490-6180 eric.davis@vermont.gov | _x Fish/Wildlife _x Watershed T&E Species Cultural/Historic Recreation Area of Responsibility _x Flows Water Quality _x Fish/Wildlife Watershed |

| | Agency Contact | Area of Responsibility |
|--------------------|---|---------------------------|
| Agency Name | Vermont Department of Environmental Protection | x Flows |
| Name and Title | Ms. Betsy Simard | _x Water Quality |
| | | Fish/Wildlife |
| Phone | Betsy.simard@vermont.gov | _x Watershed |
| Email address | <u>settly formatting vermontagov</u> | T&E Species |
| Mailing Address | One National Life Drive, Davis 3 | Cultural/Historic |
| | Montpelier, VT 05620-3208 | Recreation |
| Agong Contact | | Area of |
| | Agency Contact | Responsibility |
| Agency Name | Vermont Fish & Wildlife | Flows |
| Name and Title | Mr. Steve Parren, Wildlife Diversity Program Mgr. | Water Quality |
| | 802-371-7142 | _x Fish/Wildlife |
| Phone | steve.parren@vermont.gov | Watershed |
| Email address | Department 111, West Street | _x T&E Species |
| Mailing Address | Essex Junction, VT 05452 | Cultural/Historic |
| | | Recreation |
| A manage Countries | | Area of |
| Agency Contact | | Responsibility |
| Agency Name | Vermont Division for Historic Preservation | Flows |
| Name and Title | Laura Trieschmann State Historic Preservation Officer | Water Quality |
| | Officer 802-828-3540 | Fish/Wildlife |
| Phone | laura.trieschmann@vermont.gov | Watershed |
| Email address | 1 National Life Drive | T&E Species |
| Mailing Address | Davis Building, 6th Floor Montpelier, VT 05620 | _x_ Cultural/Historic |
| | | Recreation |

C. Current stakeholder contacts that are actively engaged with the facility (copy and repeat the following table as needed).

| Tollowing table (| | Aver of |
|------------------------|--|---------------------------|
| Stakeholder Contact | | Area of Responsibility |
| Organization | Friends of the Winooski River | _x Flows |
| Name | | x Water Quality |
| Name and Title | Ms. Ann Smith | x Fish/Wildlife |
| | | x Watershed |
| Phone | ann@winooskiriver.org | x T&E Species |
| Email address | P.O. Box 777 | Cultural/Historic |
| Mailing Address | Montpelier, VT 05601 | _x Recreation |
| | Stakeholder Contact | |
| O sa a si alia a | , | Responsibility |
| Organization Name | MadDog Trout Unlimited | _x Flows |
| Name and Title | Clark Amadon, Jared Carpenter | _x Water Quality |
| Name and mile | ciark/illiadon, sarea earpenter | _x Fish/Wildlife |
| Phone | | Watershed |
| Email address | <u>clark@amadononline.net</u> , | T&E Species |
| Mailing Address | <u>rjaredcarpenter@gmail.com</u> | Cultural/Historic |
| Wanning / taur ess | PO Box 892 | _x Recreation |
| Montpelier, VT 05601 | | Aver of |
| | Stakeholder Contact | Area of Responsibility |
| Organization | Central Vermont Regional Planning Commission | x Flows |
| Name | | x Water Quality |
| Name and Title | Daniel Currier, Program Manager | x Fish/Wildlife |
| | | x Watershed |
| Phone | currier@cvregion.com | x T&E Species |
| Email address | 29 Main Street, Suite 4 | x Cultural/Historic |
| Mailing Address | Montpelier, VT 05602 | _x Recreation |
| | Stakeholder Contact | Area of |
| | | Responsibility |
| Organization | Vermont Paddlers Club | _x Flows |
| Name Name and Title | Michael Mainer Hugh Pritchard | Water Quality |
| ivallie allu Title | Trugit i fitcharu | Fish/Wildlife |
| Phone | Mainer.michael@gmail.com | Watershed |
| Email address | hughpritchard@gmail.com | T&E Species |
| Mailing Address | 37 Terrace Street | Cultural/Historic |
| Maining Addices | Montpelier, VT 05602 | _x Recreation |

| Native American Tribes | | |
|------------------------------|--|--|
| Roger Longtoe Sheehan, Chief | Ronny Burt, Chief | |
| Elnu Tribe of the Abenaki | Abenaki Nation at Missisquoi | |
| Tribal Headquarters | 100 Grand Avenue | |
| 5243 VT Route 30 | Swanton, VT 05488 | |
| Jamaica, VT, 05343 | | |
| gitceedadann@yahoo.com | | |
| Don Stevens, Chief | Paul J. Bunnell, Chief | |
| Nulhegan Abenaki Tribe | Koasek Traditional Band of the Koas Abenaki Nation | |
| 156 Bacon Drive | 32 Hoit Mill Road, #202 | |
| Shelburne, VT 05482 | Weare, NH 03281 | |
| chiefdonstevens@comcast.net | koasek@yahoo.com | |
| | BunnelLoyalist@aol.com | |

Sworn Statement and Waiver Form

All applications for LIHI Certification must include the following sworn statement before they can be reviewed by LIHI:

SWORN STATEMENT

As an Authorized Representative of Winooski Hydroelectric Company, the Undersigned attests that the material presented in the application is true and complete.

The Undersigned acknowledges that the primary goal of the Low Impact Hydropower Institute's certification program is public benefit, and that the LIHI Governing Board and its agents are not responsible for financial or other private consequences of its certification decisions.

The Undersigned further acknowledges that if LIHI Certification of the applying facility is granted, the LIHI Certification Mark License Agreement must be executed prior to marketing the electricity product as LIHI Certified®.

The Undersigned further agrees to hold the Low Impact Hydropower Institute, the Governing Board and its agents harmless for any decision rendered on this or other applications, from any consequences of disclosing or publishing any submitted certification application materials to the public, or on any other action pursuant to the Low Impact Hydropower Institute's certification program.

FOR PRE-OPERATIONAL CERTIFICATIONS:

The Undersigned acknowledges that LIHI may suspend or revoke the LIHI Certification should the impacts of the facility, once operational, fail to comply with the LIHI program requirements.

Company Name: Winooski Hydroelectric Company

Authorized Representative:

Name: Matthew Rubin

Title: General Partner

Authorized Signature: Mathew Rubin

Date: 3-25-2021